

From Majorana to LHC  
Workshop on the origin of  $\nu$  mass

ICTP  
Trieste, 2/10/13

# Light and very light sterile $\nu$ s



Antonio Palazzo  
MPI für Physik (München)



# Outline

## Introduction

A critical look to the hints of light sterile  $\nu$ s

From light to very light sterile  $\nu$ s

## Conclusions

# Introduction

Beyond three neutrino families



# The PMNS mixing matrix

$$|\nu_\alpha\rangle = \sum_{i=1}^3 U_{\alpha i}^* |\nu_i\rangle$$

$$U = O_{23} \Gamma_\delta O_{13} \Gamma_\delta^\dagger O_{12}$$

$$\Gamma_\delta = \text{diag}(1, 1, e^{+i\delta})$$

$$\delta \in [0, 2\pi]$$

Dirac CP-violating phase  $\delta$

$U$  is non-real if  $\delta \neq (0, \pi)$

Explicit form:

$$U = \begin{pmatrix} 1 & 0 & 0 \\ 0 & c_{23} & s_{23} \\ 0 & -s_{23} & c_{23} \end{pmatrix} \begin{pmatrix} c_{13} & 0 & s_{13}e^{-i\delta} \\ 0 & 1 & 0 \\ -s_{13}e^{i\delta} & 0 & c_{13} \end{pmatrix} \begin{pmatrix} c_{12} & s_{12} & 0 \\ -s_{12} & c_{12} & 0 \\ 0 & 0 & 1 \end{pmatrix}$$

$$s_{23}^2 \sim 0.39$$

$$\theta_{23} \sim 39^\circ$$

$$s_{13}^2 \sim 0.023$$

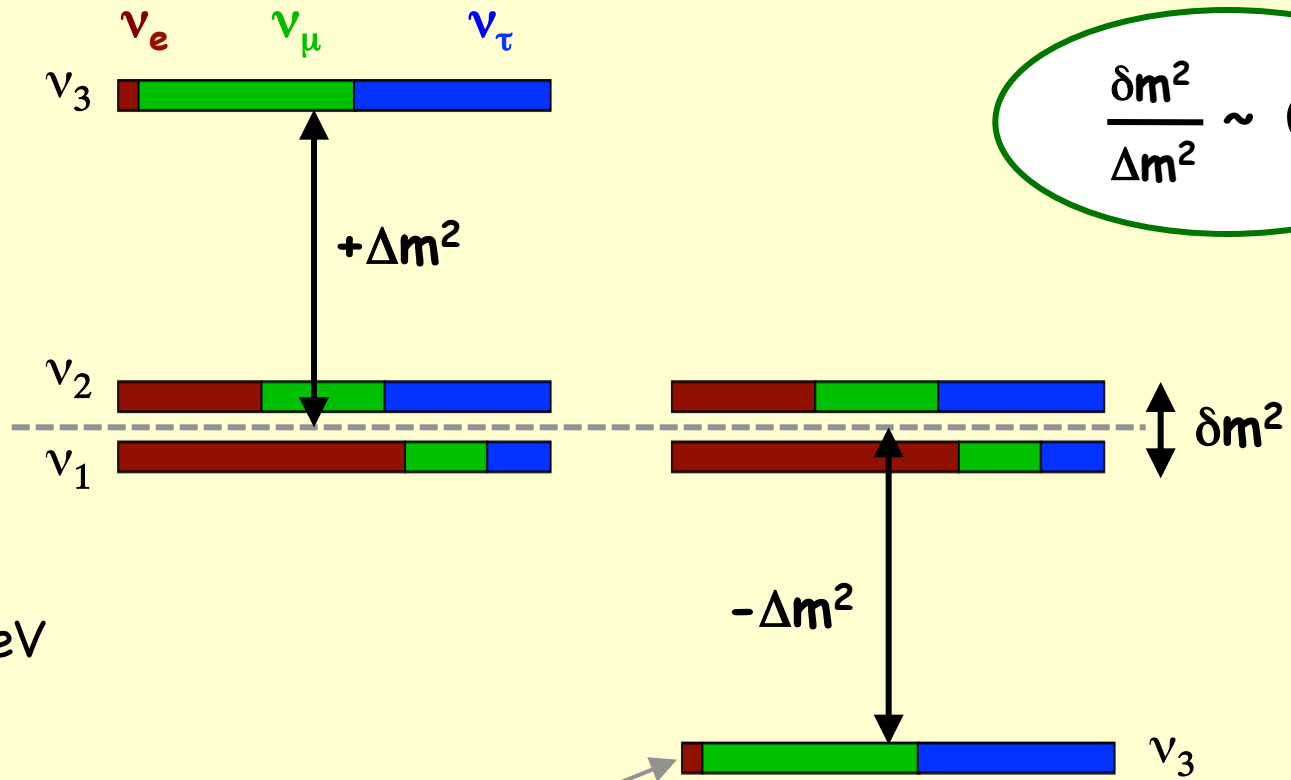
$$\theta_{13} \sim 9^\circ$$

$$s_{12}^2 \sim 0.31$$

$$\theta_{12} \sim 34^\circ$$

# The neutrino mass spectrum

NH                      or                      IH                      ?



$$|U_{e3}|^2 \equiv \sin^2 \theta_{13} \simeq 0.025$$

# Why go beyond the standard picture?

## Experimental hints

Although the 3 $\nu$  scheme explains most of the data  
an increasing number of anomalies are showing up

## Theory

Many extensions of the SM point towards new  
 $\nu$  properties (interactions, new states,...)

## Acquired knowledge

Precision on standard parameters enhances  
the sensitivity to any kind of perturbation

## Future data

A rich plan of new experiments will allow us  
to explore and chart new territories

# Why introduce new light $\nu$ species?

A few anomalies seem to point towards sterile neutrino species  $\nu_s$ 's [singlets of  $U(1) \times SU(2)$ ]

(I) Accumulating hints of (sub-)eV  $\nu_s$ 's from oscillation phenomenology and cosmology

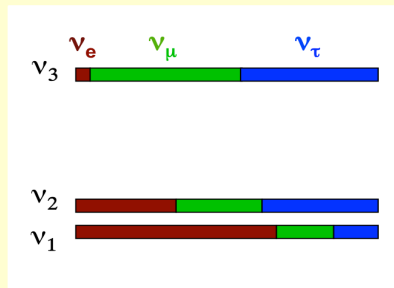
(II) Indications of “warm” dark matter from astrophysical “small-scale” problems (keV  $\nu_s$ 's are a good option)

I will discuss only (sub-)eV  $\nu_s$ 's

# The success of the 3ν scheme must be preserved

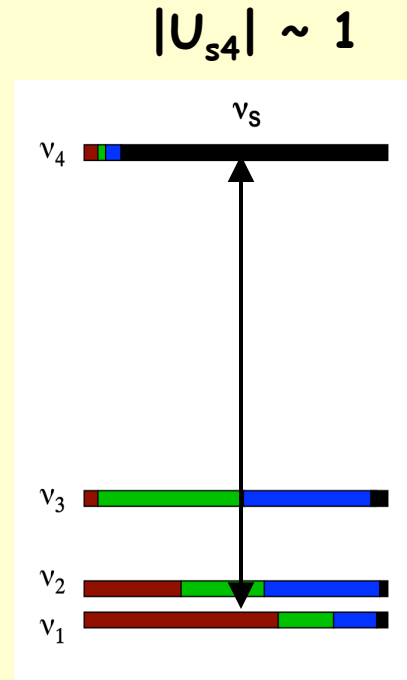
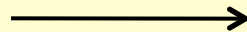
## The 3+1 scheme

The 4<sup>th</sup> ν state induces a small perturbation of the 3-flavor framework



$$\Delta m_{\text{atm}}^2$$

$$\Delta m_{\text{sol}}^2$$



$$\Delta m_{\text{new}}^2 \sim 1 \text{ eV}^2$$

Strong effects are expected in short-baseline (SBL) reactor and accelerator experiments and in cosmology

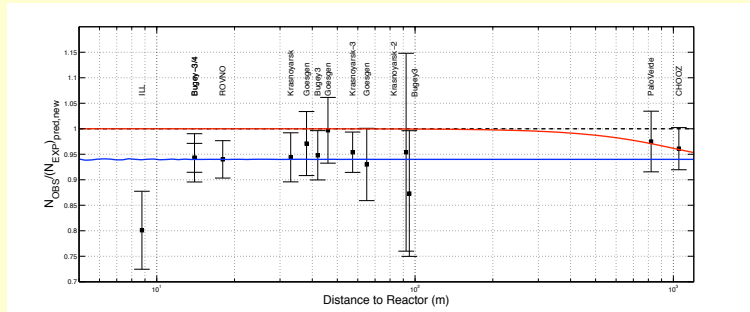
Small (but non-zero) effects expected in “ordinary” data (solar, atm., LBL-react, LBL-accel.) used in the 3ν fits



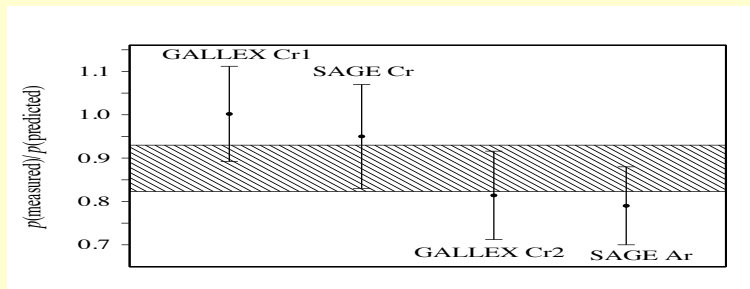
**Hints of light (eV) sterile neutrinos**

**A critical outlook**

# The reactor and gallium anomalies



Mention et al. arXiv:1101:2755 [hep-ex]



SAGE coll., PRC 73 (2006) 045805

In a 2ν framework:

$$P_{ee} \simeq 1 - \sin^2 2\theta_{new} \sin^2 \frac{\Delta m_{new}^2 L}{4E}$$

In a 3+1 scheme:

$$P_{ee} = 1 - 4 \sum_{j>k} U_{ej}^2 U_{ek}^2 \sin^2 \frac{\Delta m_{jk}^2 L}{4E}$$

$$\Delta m_{sol}^2 \ll \Delta m_{atm}^2 \ll \Delta m_{new}^2$$

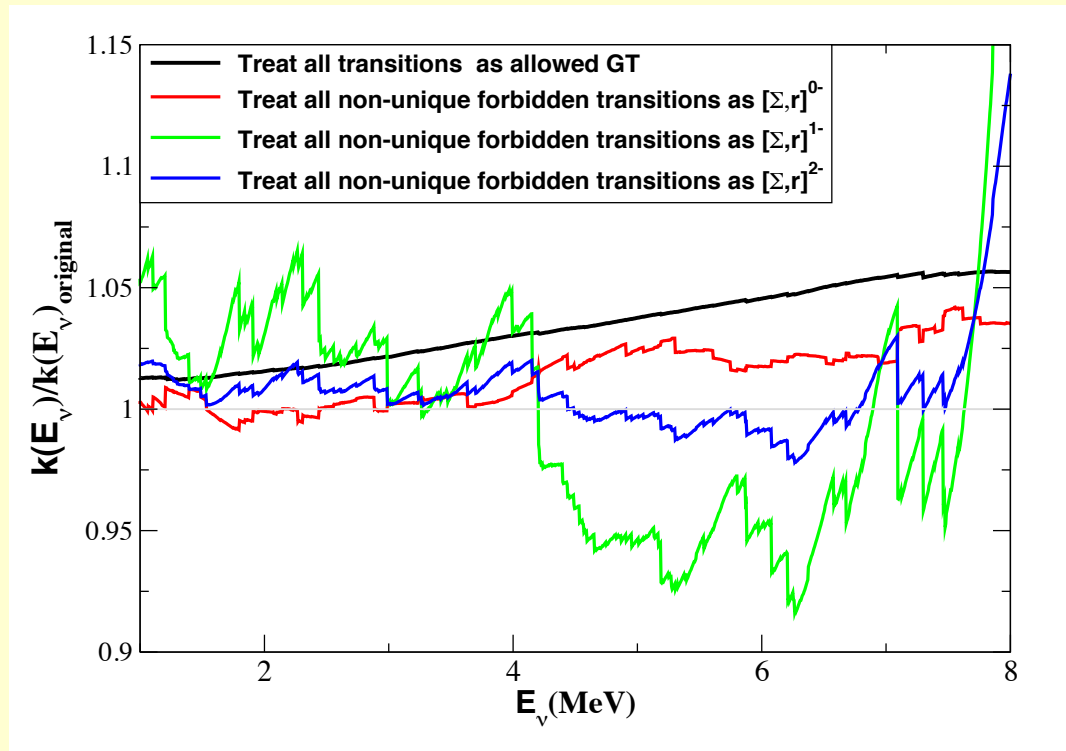
$$\sin^2 \theta_{new} \simeq U_{e4}^2 = \sin^2 \theta_{14}$$

Warning: both are mere normalization issues

The culprit may be in hidden systematics

# One example

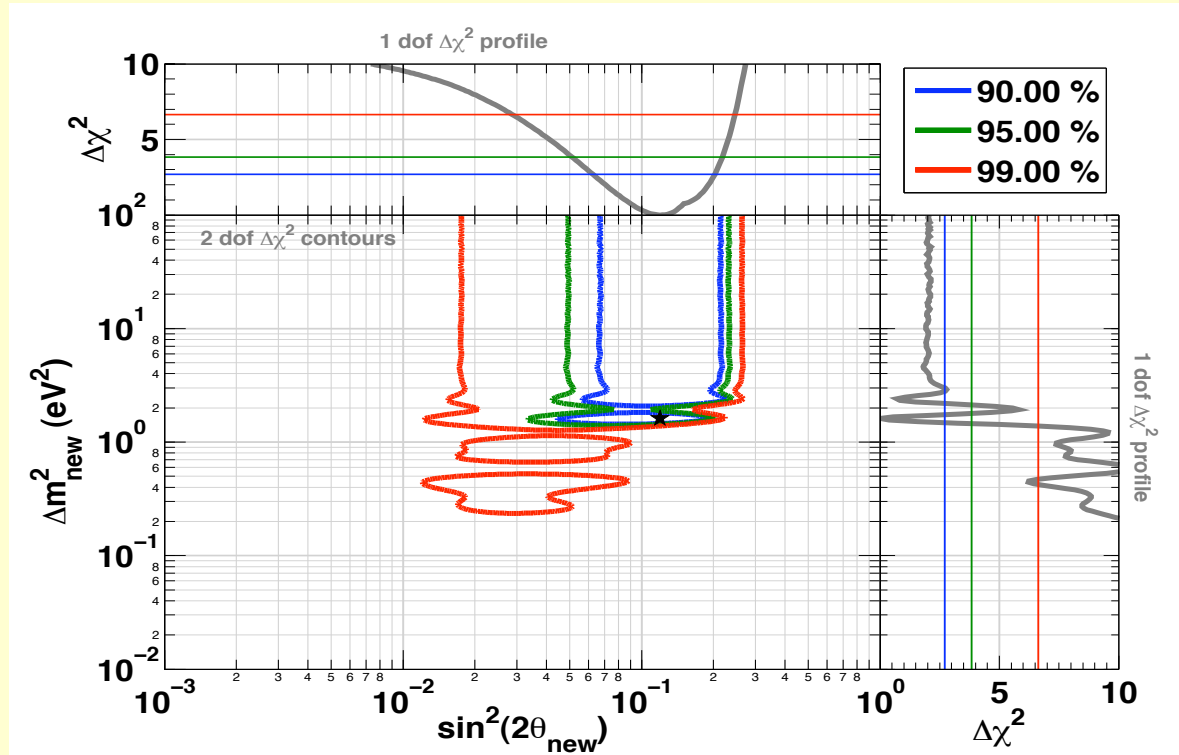
Systematics in reactor spectra may have been underestimated



A.C. Hayes et al arXiv:1309.4146 [nucl-th]

However, if we believe the anomalies point to new physics...

# Fitting the anomalies with sterile $\nu$ oscillations

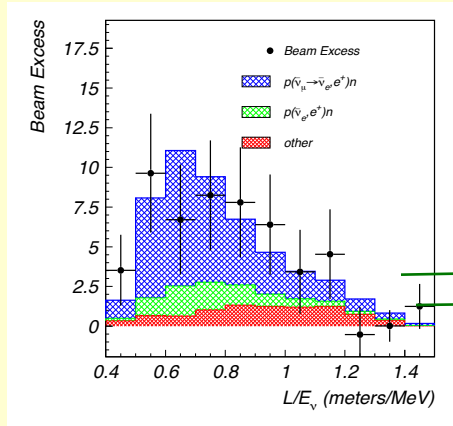


Mention et al., PRD 83 073006 (2011)

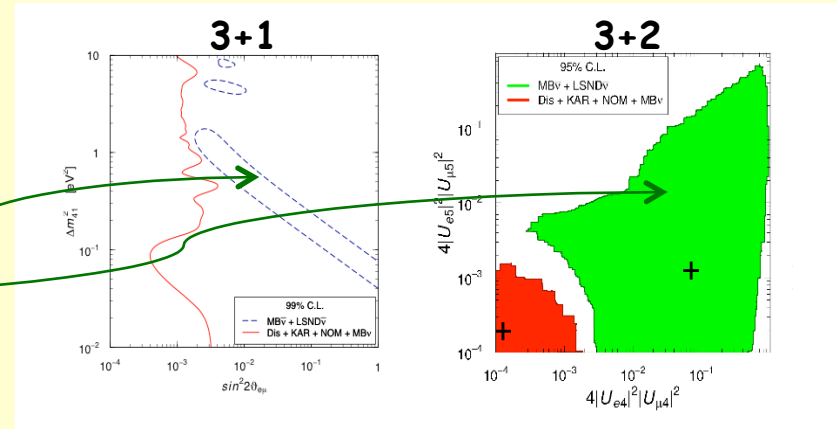
$$\sin^2 2\theta_{new} \simeq 0.1$$

$$\Delta m_{new}^2 \gtrsim 1 \text{ eV}^2$$

# The SBL accelerator anomaly



LSND, PRL 75 (1995) 2650



Giunti and Laveder, arXiv:1107.1452

## Warning:

In tension with disappearance searches:

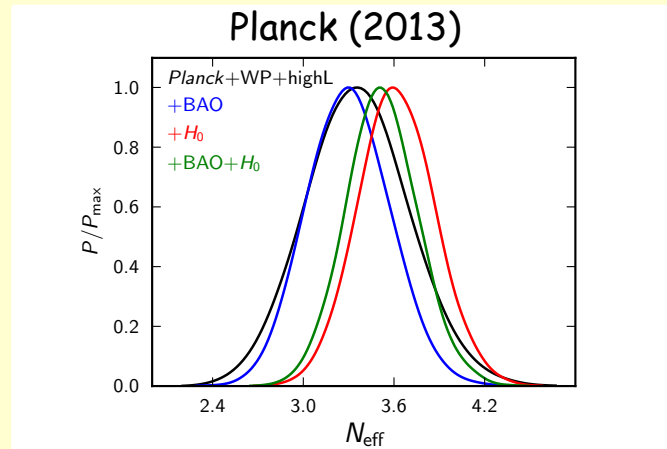
$\nu_\mu \rightarrow \nu_e$  positive appearance signal incompatible with joint  $\nu_e \rightarrow \nu_e$  (positive) &  $\nu_\mu \rightarrow \nu_\mu$  (negative) searches

Theory:

$$\sin^2 2\theta_{e\mu} \simeq \frac{1}{4} \sin^2 2\theta_{ee} \sin^2 2\theta_{\mu\mu} \simeq 4|U_{e4}|^2 |U_{\mu4}|^2$$

Experiments:  $\sim \text{few } \%$   $\sim 0.1$   $< \text{few } \%$

# The “dark radiation” anomaly



extra relativistic content  
~ 2 sigma effect

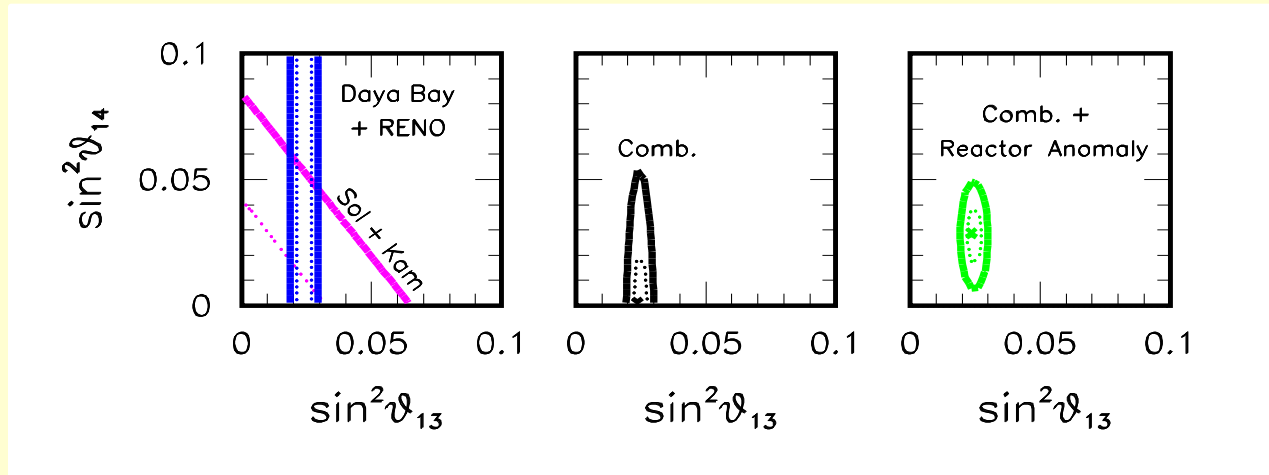
## Warnings:

- $\Delta N_{\text{eff}} > 0$  driven by tension in  $H_0$  determination (CMB vs Astro)
- $\Delta N_{\text{eff}} \in [0, 1]$  requires a mechanism hampering vs thermalization
- $N_{\text{eff}}$  is not specific of sterile neutrinos



# What “ordinary” data tell us on $e\nu$ $\nu s$ ?

A.P., Invited Review for Mod. Phys. Lett. A 28, 1330004 (2013)



More details in A.P., PRD 83, 113013 (2011); A.P., PRD 85, 077301 (2012)

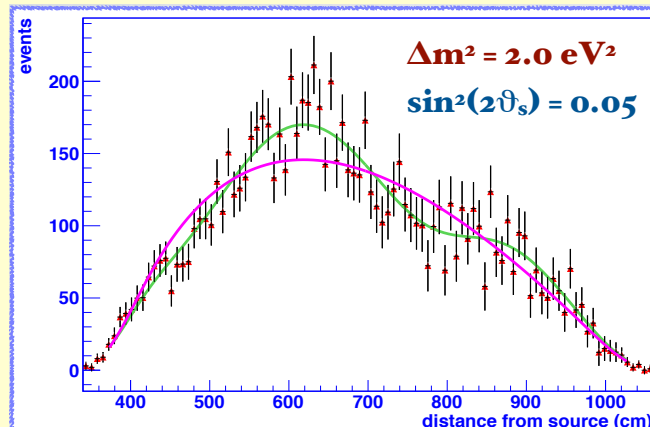
- **Solar + LBL reactors**  $\longrightarrow$   $\sin^2 \theta_{14} < 0.04$  (90% C.L.)
- Bound independent of reactor fluxes (KamLAND only shape)
- **Combination reduces the indication to the  $\sim 2\sigma$  level**

# How to shed light onto a confused picture

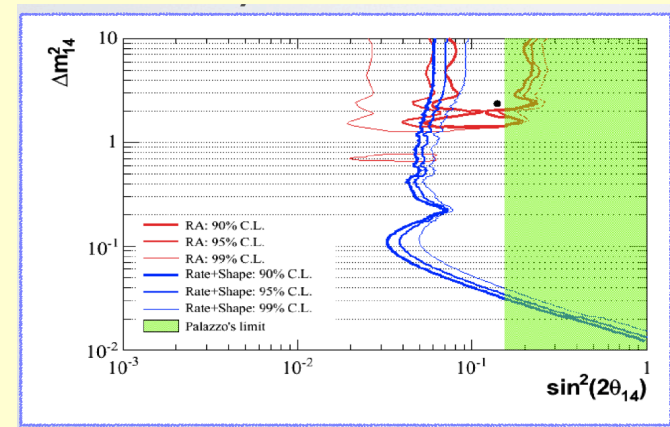
Smoking gun: oscillatory pattern (in energy and/or space)

A promising option:  $\nu$  source close or inside Borexino

External  $^{51}\text{Cr}$  source



M. Pallavicini @ Neutrino 2012



M. Pallavicini @ Neutrino 2012

Several other projects under scrutiny

# A critical summary on eV sterile neutrinos

Three pieces of data may be interpreted as positive indications

Reactor, gallium, accelerator anomalies (systematics under control?)

Their simultaneous interpretation is problematic due to strong tension among different datasets. This difficulty weakens the case for eV  $\nu$ s

Cosmology tends to disfavor eV sterile neutrinos (more on this later) as it can tolerate only sub-eV masses and  $\Delta N_{\text{eff}}$  smaller than unity

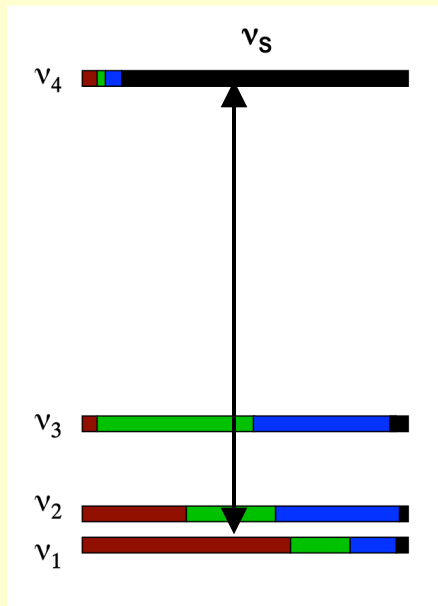
The overall picture is rather confused. The final word will come from new more sensitive experiments, which are under development.

**Towards a change of paradigm**

**From Light to Very Light Sterile vs**

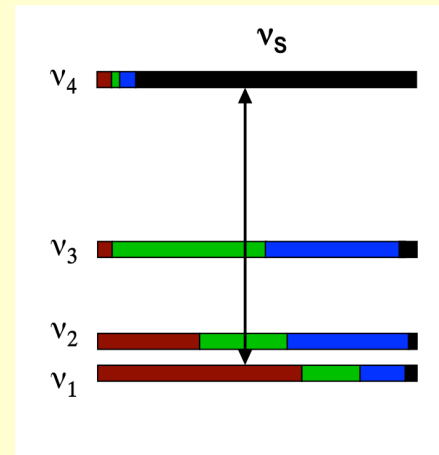
# 3+1 mass spectrum: Light vs Very Light

Light



$$\Delta m_{14}^2 \sim 1 \text{ eV}^2$$

Very Light



$$\Delta m_{14}^2 \sim [10^{-3} - 10^{-1}] \text{ eV}^2$$

# Motivations for investigating VLS $\nu$ 's

Theory does not provide solid information on  $\nu_s$  mass-mixing properties and these should be investigated without prejudice

“Classical” eV light sterile neutrinos have several problems

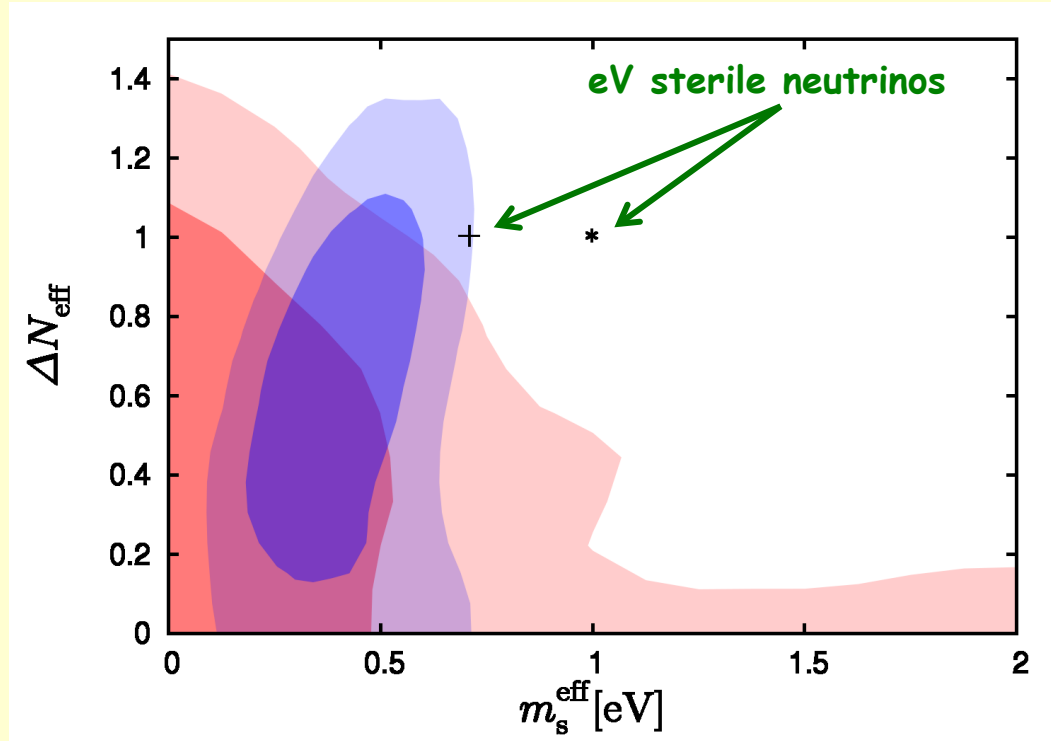
The latest cosmological analyses present anomalous features which can be easily explained by VLS $\nu$ 's (but not by eV  $\nu_s$ )

For the first time new reactor experiments, born for other purposes (measure  $\theta_{13}$ ) allow us to probe small values of  $\Delta m^2_{14}$



# New trends in cosmological data

$$\Delta N_{\text{eff}} \sim 0.6$$



$$m_\nu \sim 0.4 \text{ eV}$$

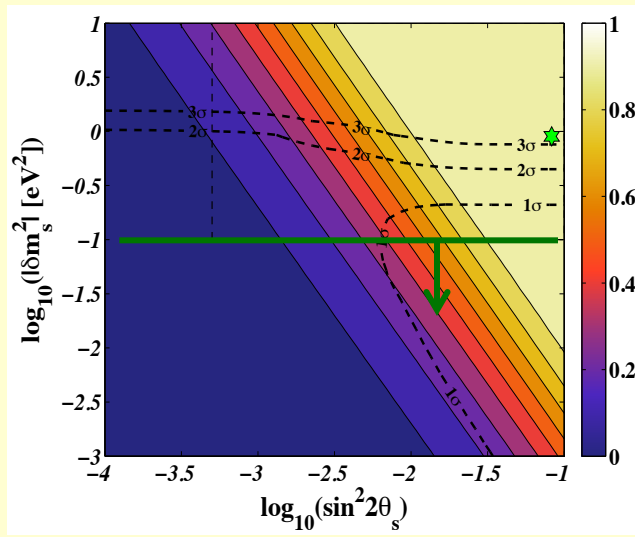
Haman and Hasenkamp [1308.3255 astro-ph]

Similar findings in: Wyman et al. [1307.7715 hep-ph]  
Giunti et al. [1309.3192 astro-ph]

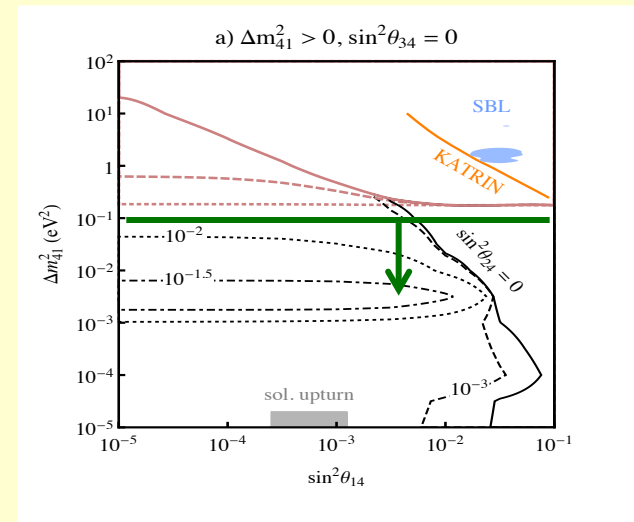
# A $VLS_\nu$ provides both features

Contribution to the absolute neutrino mass in the sub-eV range

Partial thermalization for  $\Delta m_{14}^2 \in [10^{-3}, 10^{-1}] \text{ eV}^2$  and  $U_{e4}^2 < 10^{-2}$



Hannestad et al 1204.5861



Mirizzi et al. 1303.5368

No need to resort to more exotic mechanisms  
(a sterile  $\nu$  is already exotic!) such as big lepton asymmetry

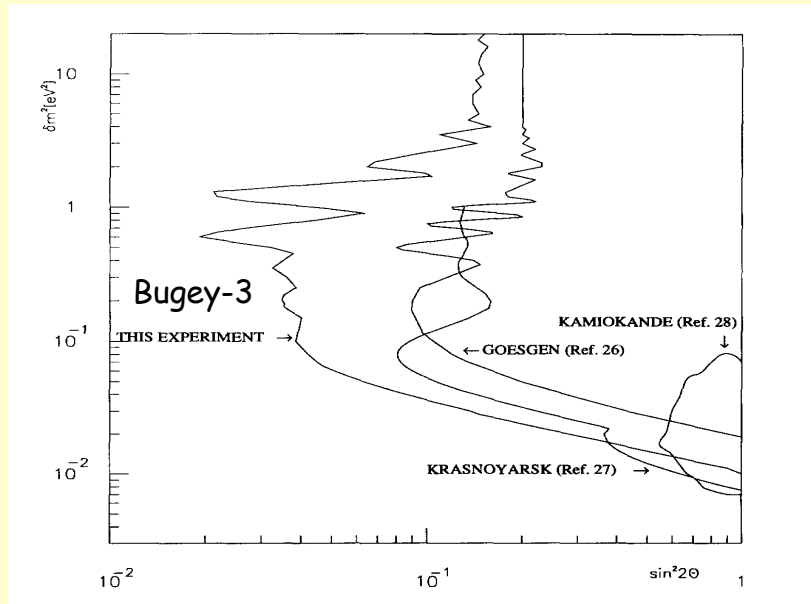
First laboratory constraints on VLSv's from

$\theta_{13}$ -sensitive reactor experiments

see A.P. [1308.5880 hep-ph]

# Role of reactor experiments in $\nu_s$ searches

Reactor experiments are sensitive to the mixing of the sterile neutrinos with the electron neutrino ( $|U_{e4}|^2 = \sin^2\theta_{14}$ )



Existing constraints limited to  
 $\Delta m^2_{14} > \text{few} \times 10^{-2} \text{ eV}^2$

Were obtained with  
baselines  $O(100 \text{ m})$

Expected sensitivity of  $\theta_{13}$ -sensitive experiments to smaller  $\Delta m^2_{14}$   
Baselines of few hundreds meters (near site) to 1-2 km (far site)

## 4ν formulae valid at reactors

Neglecting terms prop to  $|U_{e3}|^2|U_{e4}|^2$  or  $\Delta m_{\text{sol}}^2$  we have

$$P_{ee} \simeq 1 - \sin^2 2\theta_{13} \sin^2 \left( \frac{\Delta m_{13}^2 L}{4E_\nu} \right) - \sin^2 2\theta_{14} \sin^2 \left( \frac{\Delta m_{14}^2 L}{4E_\nu} \right) .$$

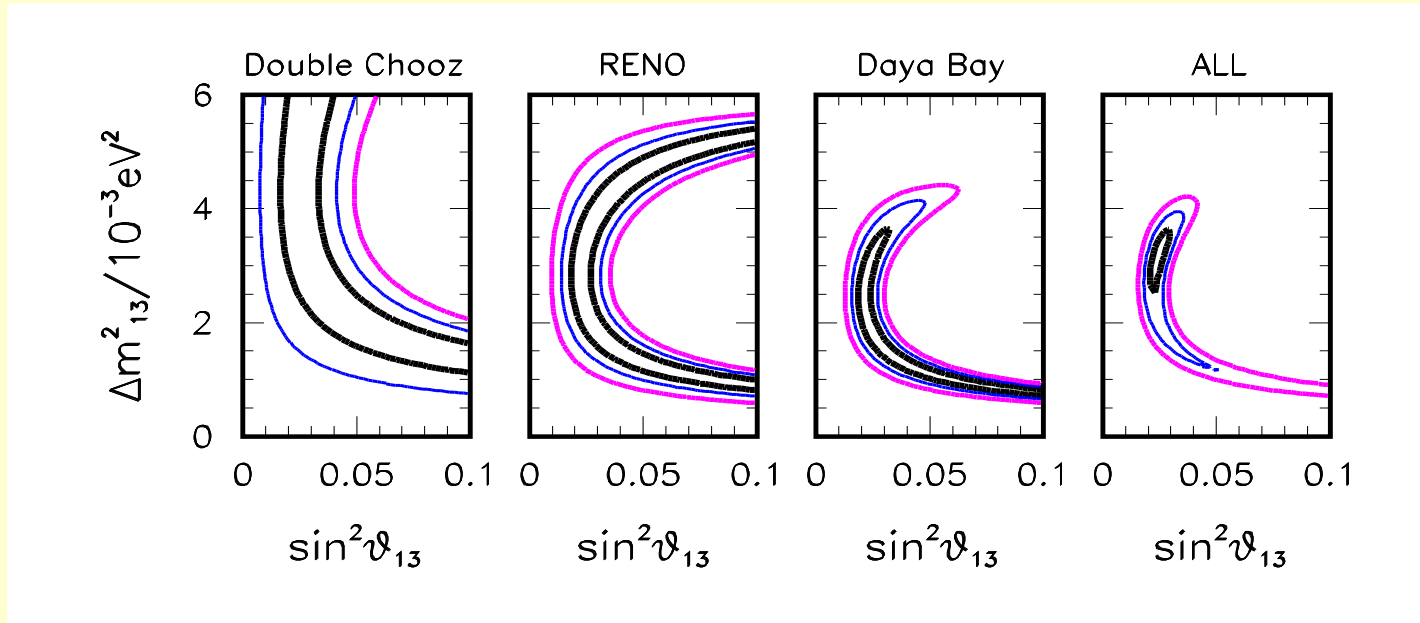
Sizable effects expected both at near and far detectors

$$\frac{\Delta m_{14}^2 L}{4E_\nu} \simeq 1.267 \left( \frac{\Delta m_{14}^2}{10^{-2} \text{ eV}^2} \right) \left( \frac{L}{400 \text{ m}} \right) \left( \frac{4 \text{ MeV}}{E_\nu} \right) .$$

Far/near ratios are expected to provide information on VLSv's

# 3-flavor analysis ( $\theta_{14}=0$ )

A.P. [1308.5880 hep-ph]



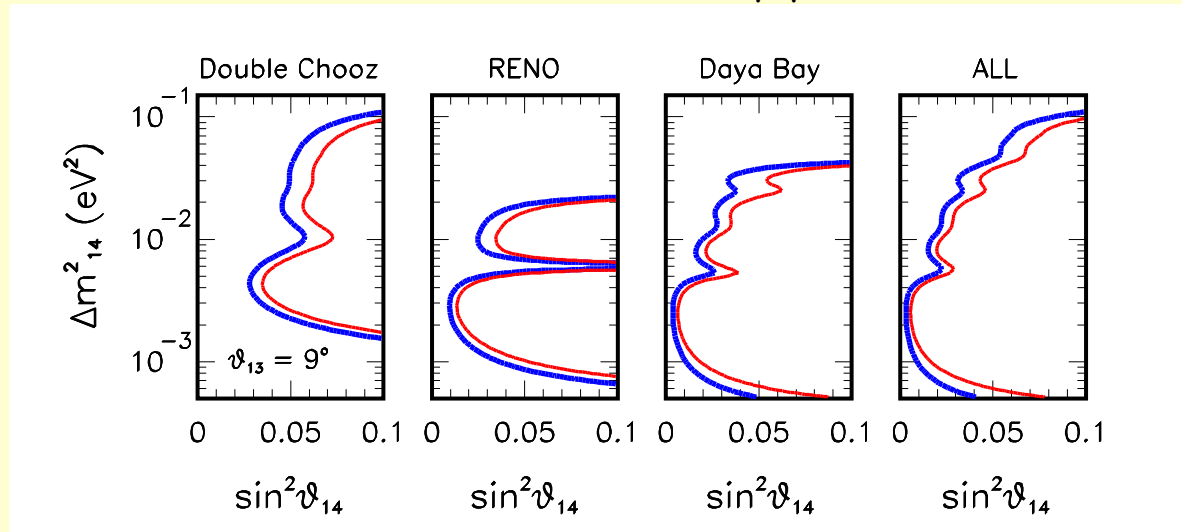
Excellent agreement with the three collaborations

Combination gives  $\theta_{13}$  at  $\sim 10$  sigma level  $\sin^2\theta_{13} = 0.023 \pm 0.002$



# 4-flavor analysis performed at fixed $\theta_{13}$

A.P. [1308.5880 hep-ph]



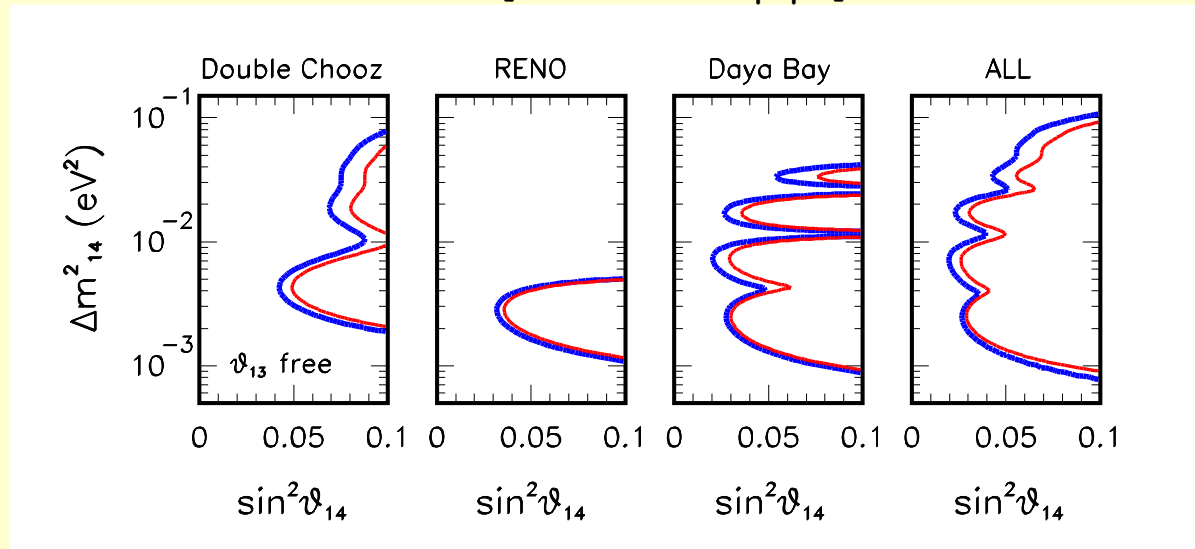
All the three experiments exclude a lobe around the atm. splitting  
(far site sees the oscillation phase, at near site negligible effects)

All the three experiments exclude a second lobe around  $10^{-2}$  eV<sup>2</sup>  
(at far site oscillations averaged, near site sees oscillation phase)

D-Chooz used Bugey-4 (15 m) as an anchor, limits up to  $10^{-1}$  eV<sup>2</sup>

# 4-flavor analysis performed for free $\theta_{13}$

A.P. [1308.5880 hep-ph]



General degradation of sensitivity in all the three experiments

Entire lobes disappear due to strong degeneracies among  $\theta_{13}$  and  $\theta_{14}$

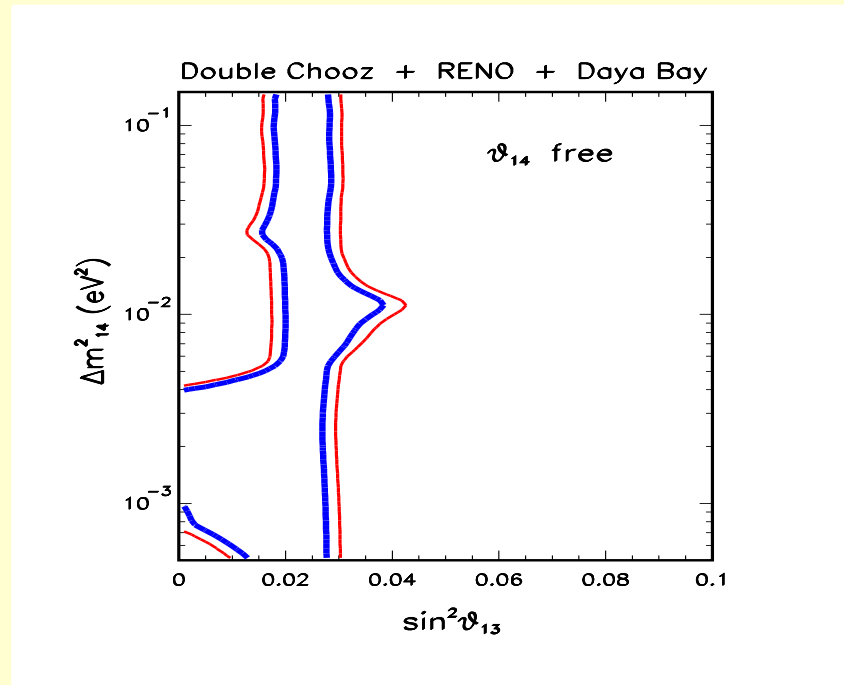
Degradation less severe in:

D-Chooz (Bugey-4 at 15 m as an anchor)

Daya-Bay (additional near/near ratio constrain values  $> 10^{-2} \text{ eV}^2$ )

Noticeable synergy in the global combination

# Estimate of $\theta_{13}$ in a 4-flavor framework



A.P. [1308.5880 hep-ph]

Standard 3 $\nu$  estimate is robust provided that  $\Delta m^2_{14} > 6 \times 10^{-3} \text{ eV}^2$

No lower bound for smaller  $\Delta m^2_{14}$  due to degeneracy of  $\theta_{13}$  and  $\theta_{14}$

However, in this region lower bound by T2K (4 $\nu$  effects negligible)

# Conclusions

Light (eV) sterile neutrinos hinted at by terrestrial experiments but a consistent picture is missing. Final word to new experiments

Very light sterile neutrinos (VLSv's)  $\Delta m^2 \sim [10^{-3}-10^{-1}] \text{ eV}^2$  offer an option for cosmo hints (dark radiation and hot-dark-matter)

First constraints on VLSv's obtained with  $\theta_{13}$ -dedicated experiments

Further information on VLSv's can be gained by spectral analysis and from LBL accelerator experiments and atmospheric neutrinos

Not unreasonable to think that several sterile  $\nu$ s can co-exist and explain some observations: (SBL, eV), solar spectrum ( $10^{-3}$  eV), dark radiation (sub-eV), DM (keV), Leptogenesis (TeV), small  $\nu$  mass (GUT)

**ALL MASS SCALES SHOULD BE PROBED WITHOUT PREJUDICES!**

**Thank you  
for  
your attention!**