

# Emergent Coherence From Field-Induced Instabilities of a Fractionalized Quantum Spin Liquid

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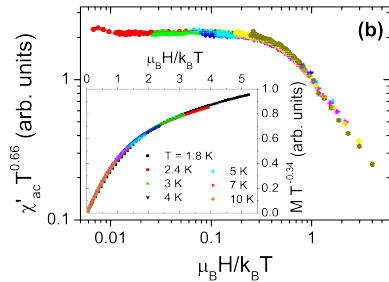
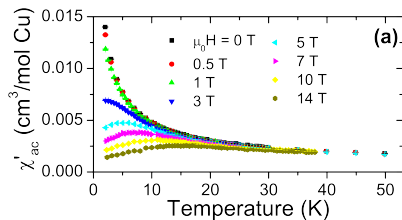
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- Motivation.
- Kitaev Honeycomb Model: Fractionalization and Topological Order
- Our Model: Simplest Perturbed Kitaev Model
- Emergent Phenomena from a Perturbed Fractionalized Spin Liquid
- Applications
- Discussion and Open Issues.

# Motivation

- Quantum Spin Liquids (QSL): Non-magnetic ground states of quantum spin models which do *not* spontaneously break *any* symmetries of the Hamiltonian.
- Elusive because (even quantum) spins generically “like to order”.
- Exception(s) (Any- $S$ ) Heisenberg model on a Kagome lattice. Long-standing open problem. Quantum version (Herbertsmithite) shows finite- $T$  signatures of a *critical* QSL (Helton et al, Mendels et al,...)
- nature of ground state (complex VBS,  $Z_2$  QSL,  $U(1)$ -RVB) unsettled and controversial.

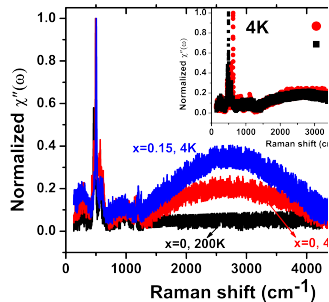
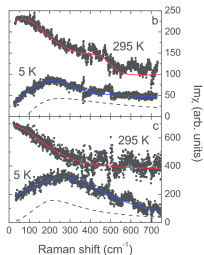
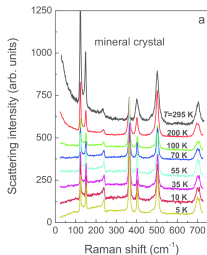
# Susceptibility data



## Motivation ....

- Increasing number of *real* Mott insulating TMOs with geometrically frustrated lattices (triangle, kagome) or with frustration induced by *orbital* degrees of freedom (Iridates).
- (Pseudo)spin frustration consequence of *directionality* of orbital hoppings in Mott-insulating TMO.
- Kugel-Khomskii spin-orbital Hamiltonian is frustrated in the orbital sector. However, care needed since crystal-field, spin-orbit, extended Heisenberg couplings can generically play spoilsport.
- But may it still be possible to consider these as perturbations over the idealized frustrated model???

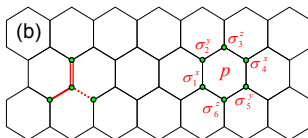
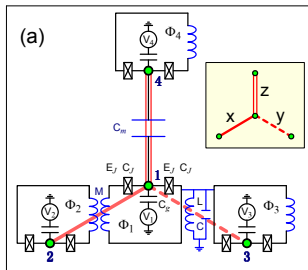
# Raman Shift data



$$\mathcal{H} = \sum_a J_a \sum_{\langle i,j \rangle_a} S_i^a S_j^a + J \sum_{\langle i,j \rangle} \mathbf{S}_i \cdot \mathbf{S}_j + (\dots)$$

- Artificially Engineered Kitaev Models with “Simple” Perturbations, e.g, Zeeman field!
- Explicit proposal of specially engineered Josephson Junction arrays (F. Nori’s group)
- For  $J = 0$  rigorous topological order (TO).

# Josephson Junction Array (Phys. Rev. B 81, 014505 (2010)).





$$\mathcal{H} = \sum_a J_a \sum_{\langle i,j \rangle_a} S_i^a S_j^a + J \sum_{\langle i,j \rangle} \mathbf{S}_i \cdot \mathbf{S}_j + (\dots)$$

- Deform honeycomb into brickwall lattice with “white” and “black” sites.
- Open BC: Consider JW transformation which threads the entire lattice by simple 1D path.

$$\sigma_{ij}^{\dagger} = 2[\prod_{j' < j, i} \sigma_{i'j'z}] [\prod_{i' < i} \sigma_{i'j}^z] c_{ij}^{\dagger}$$

$$\sigma_{ij}^z = (2c_{ij}^{\dagger}c_{ij} - 1)$$

- Majoranas:  $A_w = (c - c^{\dagger})_w/i$ ,  $B_w = (c + c^{\dagger})_w$  and  $A_b = (c + c^{\dagger})_b$ ,  $B_b = (c - c^{\dagger})_b/i$ , followed by the introduction of fermions  $c = (A_w + iA_b)/2$ ,  $c^{\dagger} = (A_w - iA_b)/2$ .

$$H_K = -\frac{i}{4} \left[ \sum_{x\text{-bonds}} J_x A_w A_b - \sum_{y\text{-bonds}} J_y A_b A_w - \sum_{z\text{-bonds}} J_z \alpha_{bw} A_b A_w \right]$$

- Where,  $\alpha_{bw} = iB_b B_w$  defined on each Z bond.
- With  $[\alpha_{bw}, H_K] = \pm 1$

## Formalism....

- Applying the transformations  $c = \frac{1}{2}(A_w + iA_b)$ ,  $c^\dagger = \frac{1}{2}(A_w - iA_b)$ , we get

$$H_{K1} = \frac{1}{4} [J_x \sum_i (c_i^\dagger + c_i)(c_{i+e_x}^\dagger + c_{i+e_x}) + J_y \sum_i (c_i^\dagger + c_i)(c_{i+e_y}^\dagger - c_{i+e_y})]$$

$$H_{K2} = J_z \sum_i \alpha_i (2c_i^\dagger c_i - 1)$$

- Local order parameters!
- Consider  $\sigma_{1w}^y \sigma_{2b}^z \sigma_{3w}^x = \frac{1}{i} (c^\dagger - c)_w \sigma_{2b}^z \sigma_{1w}^z \sigma_{2b}^z (c^\dagger - c)_w$   
 $= i(c^\dagger + c)_{1w} (c^\dagger + c)_{3w} = iB_{1w} B_{3w}$  and  $\sigma_{6b}^x \sigma_{5w}^z \sigma_{4b}^y = iB_{4b} B_{6b}$
- $I_h = \sigma_{1w}^y \sigma_{2b}^z \sigma_{3w}^x \sigma_{4b}^y \sigma_{5w}^z \sigma_{6b}^x = \alpha_{34} \alpha_{16}$ ;  $[I_h, H_K] = 0$ .

## Formalism.....

- Vortex variables products of  $z$  consecutive Ising bond variables  $\alpha_r$
- $[\alpha_i, c_i] = 0 = [\alpha_i, c_i^\dagger]$ , G.S:- All  $\alpha_i = 1(-1)$ .
- After Fourier transformation we get,

$$H_K = \sum_q \left[ \epsilon_q c_q^\dagger c_q + \frac{i\Delta_q}{2} (c_q^\dagger c_{-q}^\dagger + h.c) \right]$$

$$\epsilon_q = \frac{1}{4} [2J_z - 2J_x \cos q_x - 2J_y \cos q_y]$$

$$\Delta_q = 2J_x \sin q_x + 2J_y \sin q_y$$

- wave function:  $|G\rangle = \prod_k (u_k + v_k c_k^\dagger c_{-k}^\dagger) |0\rangle$

# Perturbations

- Simplest perturbation: “External” Zeeman field,  $H_z = -h_z \sum_i S_i^z$ ;  
 $H = H_K + H_z$
- Naive expectation: field induced magnetization, perhaps metamagnetic transition.
- In Kitaev case, however,  $S^z = ib^z c$ ,  $[b_i^x b_j^x, H] = 0 = [b_i^y b_j^y, H]$   
 $\forall (ij) \parallel xx, yy \implies$  emergent, local  $Z_2$  symmetries.
- Topological order (TO) only partially lifted, as  $[b_i^z b_j^z, H] \neq 0$

# Nature of the remnant TO!

- Focus on the XX-YY part. For a single chain, can solve exactly!
- For  $J_x \neq J_y$ ,  $\epsilon_q^\pm = \pm \sqrt{(J_x^2 + J_y^2 + 2J_x J_y \cos q_x)}$ ; lower band full, energy gap.
- For  $J_x = J_y$ ; gap closes continuously. Transition does not involve change of symmetry, but of TO.
- We can write,  $S_i^x = \tau_{i-1}^x \tau_i^x$ ,  $S_i^y = \prod_{l=i}^{2N} \tau_l^y$
- $H_K = \sum_{i=1}^N (J_x \tau_{2i-2}^x \tau_{2i}^x + J_y \tau_{2i}^y)$ , 1D QIM!
- For  $J_x > J_y$  :-  $\text{Lim}_{i \rightarrow \infty} \langle \tau_0^x \tau_{2i}^x \rangle \sim [1 - (\frac{J_y}{J_x})^2]^{1/4}$   
 $= \text{Lim}_{i \rightarrow \infty} \langle \prod_{l=2}^{2i+1} S_l^Y \rangle \neq 0$

- Hence string orders both melt at QCP ( $J_x = J_y$ ).
- Due to emergent d=1 GLS partial topological order survives.
- The QCP is easy to characterize in dual variables, where two spin nematic ordered states  $\langle S_i^x S_j^x - S_i^y S_j^y \rangle = \pm \langle n \rangle$ , simultaneously vanish at  $J_x = J_y$  (“spin liquid”!)
- How does the field induced magnetization along ZZ-bonds “interplay” with remnant TO above? Consequences?

## Our work starts here

- Clearer picture from JW fermion language!

- $H_z = 2h_z \sum_i (c_i^\dagger \alpha_i + h.c.) i$

$$H_K = \sum_q [\epsilon_q c_q^\dagger c_q + \frac{i\Delta_q}{2} (c_q^\dagger c_{-q}^\dagger + h.c.)] + \frac{J_z}{4} \sum_i (2n_{\alpha,i} - 1)(2c_i^\dagger c_i - 1)$$

- “Hubbard like” model of JW fermions.
- p-wave BCS pairing.
- onsite “Hubbard”  $U = J_z$ .
- local “spin-flip” or hybridization.
- $\implies$  orbital selectivity on a 2-D square lattice.

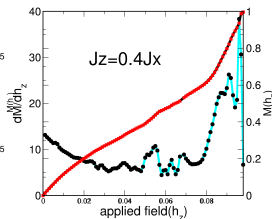
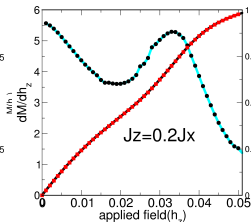
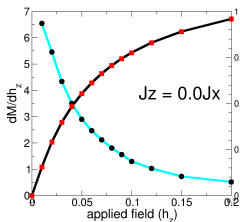


- However, as  $[n_{i\alpha}, H] \neq 0$ , local  $Z_2$  gauge symmetry is lost.
- Gauge field becomes truly dynamical.
- Now, no exact solution.
- However can still be solved almost exactly as:
- xx,yy spin correlations exactly subsumed into bilinears of JW fermions.
- At  $h_z = 0$  spin correlations rigorously only 1 lattice spacing long.
- Problem is similar to **Anderson OC**; however, singular behavior cut off by “Dirac” JW fermion spectrum, and by non-zero  $(J_z/2) \alpha$  fermion energy (Baskaran et al. 2007, Knolle et al. 2014).
- For  $h_z \neq 0$ , an approximation, however is expected to be adequate.

- Impurity solver: Two-band IPT.
- Works quantitatively for related spinful Anderson Lattice model.
- Expect p-wave BCS+ field induced magnetization, **perhaps metamagnetic quantum criticality.**
  
- **Surprises in Store!!**

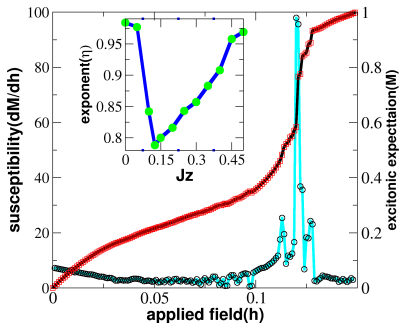
# Susceptibility : anisotropic Kitaev limit

- Small  $h_z$ : spin liquid remains stable (symmetry protected TO).
- $J_x = J_y > J_z$ , For  $J_z < 0.25J_x$   $m(h_z)$  smooth function of  $h_z$ .



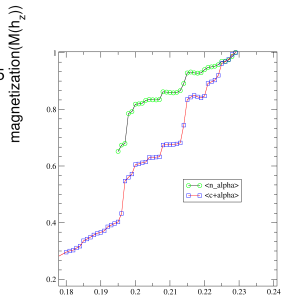
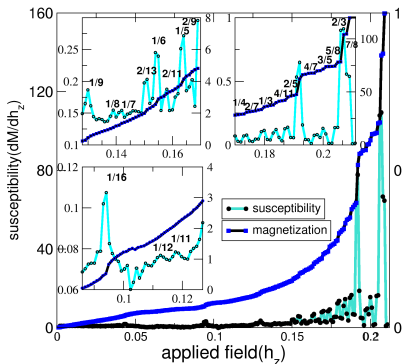
# Susceptibility : anisotropic Kitaev limit

- The nature is similar to conventional field-induced magnetization in a “free  $e^-$ ” paramagnet.
- However,  $m(h_z) \propto h^\alpha$ , where  $0.78 < \alpha < 1.0$ .
- Using exact GFs of KM, can show that  $\langle S_i^z; S_j^z \rangle \propto (i - j)^{-4}$  (Feigelman et al.).



# Plateaus and jumps: isotropic Kitaev limit

- However for  $h > h_z^c$ , we find a remarkable series of magnetization plateaus in  $m_{h_z}$  vs  $h_z$  at
- $\frac{m}{m_{sat}} = \frac{1}{16}, \frac{1}{12}, \frac{1}{11}, \frac{1}{9}, \frac{1}{8}, \frac{1}{7}, \frac{2}{13}, \frac{1}{6}, \frac{2}{11}, \frac{1}{5}, \frac{2}{9}, \frac{1}{4}, \frac{2}{7}, \frac{1}{3}, \frac{3}{8}, \frac{3}{7}, \frac{2}{5}, \frac{4}{7}, \frac{5}{8}, \frac{3}{4}$ .

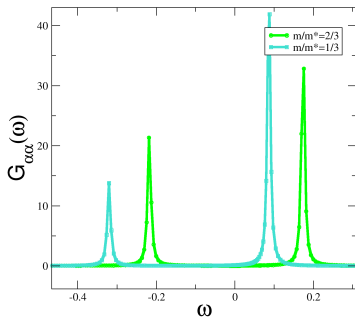
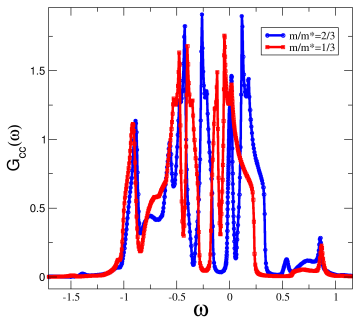


- Both even and odd denominator plateaus.
- Odd denominator plateaus in  $\sigma_{xy}$  well-known in FQHE, which is also the odd only other example of a real system showing rigorous TO.
- Also the possibility of even denominators is observed in Shastry-sutherland models (Mila's talk): crystals of two triplon bound states. due to competition between frustration and field induced magnetization.

- So no relation to FQHE but to “incompressible” solids of kink-dipole crystals (excitonic solids), sandwiched between BECs of kink-dipoles.
- Oscillations in  $\chi_{h_z}$  as  $dHvA$  or  $SdH$  quantum oscillations of JW fermions in partially magnetized spin liquid phase. Hidden coherence in a spin liquid (Anderson, 1973).
- Here due to nodal Bogoliubov (p-wave) fermions in  $H_K$  the TO phase of KM unstable to an intricate sequence of partial ordered “solids” co-existing with remnant of TO state (before reaching saturation).

# Spectral functions : different plateaus

- Clear orbital selectivity:  $G_{\alpha\alpha}(\omega) \implies$  Kondo Insulator.
- $G_{CC}(\omega)$ : “spin-metal” or “nodal” JW-Bogoliubov qps.

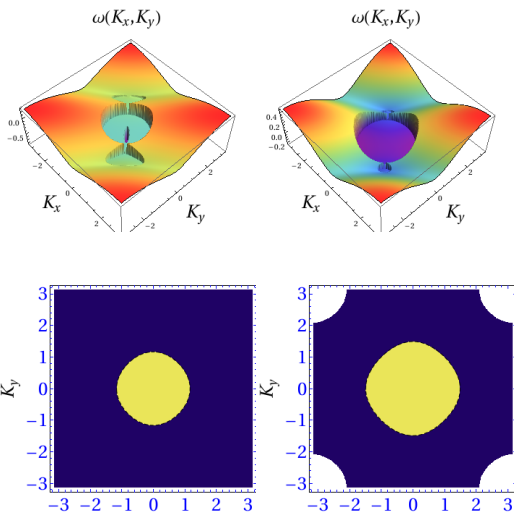




- Large-scale spectral weight reshuffling across energy scales  $O(2J_x)$  occurs. Thus the plateaus originate from between Mott localization ( $J_z$ ) and hybridization + hopping ( $\hbar, J_x$ ).
- Can be missed by static HF.
- Alike  $FL^*$  (c.f f electron QCP, Senthil et al.; PRL 2003)
- Topological  $FL^*$  state!

# Dispersion and “Fermi surface”

- $G_{cc}^{-1}(k, \omega) = 0$



- Surface of zeroes of  $G_{cc}(k, \omega)$ , rather than of poles.
- Evident via  $\Sigma_{an}(k, \omega) = \frac{\Delta_k^2}{\omega + \epsilon_k + \Sigma_{cc}(-\omega) - \frac{\hbar^2}{\omega - \Sigma_{\alpha\alpha}(\omega)}}$
- So poles of  $\Sigma_{an}$  appear as zeroes of  $G_{cc}(k, \omega)$
- again, exactly alike  $FL^*$  in OSMT.
- Topological change of FS across each plateau.
- Explicit realization of YRZ ansatz (cf. underdoped cuprates).
- Remarkably, all this caused by field-induced spectral-weight transfer from QSL to the magnetized component.

# Kitaev Toric Code Model (TCM)

- In JW fermion language,  $J_z \gg J_x \implies$  no double occupancy constraint.
- Implement by Gutzwiller Projection:  $P_G = \prod_i (1 - n_{i\alpha} n_{i\beta})$  acting on  $|\psi_{PBCS}\rangle$ .
- $|\Psi_{TC}\rangle = P_G \prod_k (u_k + v_k c_k^\dagger c_{-k}^\dagger) |0\rangle$
- Precisely the Gutzwiller-projected p-wave BCS state or p-wave RVB state! (F. Becca et al.)
- Can investigate TCM and its non-abelian excitations in terms of variational wavefunctions/DMFT.

- Josephson charge qubits joined together together in a special way along three bonds.
- Capacitive coupling along XX bonds.
- Inductive coupling along YY bonds.
- Charge coupling along ZZ bonds.
- Identify Kitaev spins with  $n_i = \frac{1-S_i^z}{2}$ ,  $\cos\phi_i = \frac{S_i^x}{2}$ ,  $\sin\phi_i = -\frac{S_i^y}{2}$
- This is written in the Cooper pair number basis,  $n_i = 0, 1 = |\uparrow\rangle_i, |\downarrow\rangle_i$

- If each charge qubit placed at  $n_i = \frac{1}{2} = n_g$ , and  $J_x (= J_y) < J_z$
- We get  $H = H_K + h_i S_i^x$  (not  $S_i^z$ !)
- But  $S_i^x \rightarrow S_i^z$ ,  $S_i^z \rightarrow -S_i^x$
- $\implies H = H_K + h_i S_i^z$ ,  $J_z < J_x = (J_y)$   $h = E_{J_i}(\phi_i) = 2E_{J_i} \cos\left(\frac{\pi\phi_i}{\phi_0}\right)$   
where  $\phi_0 = \frac{\hbar\pi}{e}$ .
- **Exactly our model!**

# Relevances

- Upon varying flux by changing real magnetic field or/and adding non-magnetic impurities we have a host of “JW excitonic solid” crystals.
- Can be realised as competition between material parameters and flux.
- Kink-dipole crystals!
- Co-exist with remnant of TO state of  $H_K$  (critical topological supersolid).
- Excitonic Josephson Effect as in e-h bilayers (Y Joglekar et al., PRB 72, 205313 (2005)).

- Critical current  $J_c \simeq h_z^2 \langle c_i^\dagger \alpha_i; c_j^\dagger \alpha_j \rangle \simeq h_z^2 \langle c_i^\dagger \alpha_i \rangle \langle c_j^\dagger \alpha_j \rangle$
- Also have direct “fermionic” current from p-wave Bogoliubov quasiparticles.
- Critical current shows fractional oscillations as flux ( $\phi_i$ ) ramped up.
- Also fractional Shapiro steps, generation of GHz (sub GHz) radiation (Topological plasmonics?)



## Open Questions ..

- Search for suitable TM oxide-based materials?
- Orbital Kondo effect (topological version) and its breakdown?
- QCPs due to Kondo-breakdown?

## More Open Questions ....

- Whither Kitaev-Heisenberg model(s), Kugel-Khomskii models?
- Hole-doping: is unconventional metallicity/superconductivity cleanly demonstrable?
- Real TMO based materials?
- Generalizations to 3d hyper honeycomb lattice?
- Clean approximation-free demonstration of (some) observed plateaus.

# Conclusions

- Rigorous TO (spin-liquid) phase of  $H_K$  with Zeeman field.
- unstable to novel orders exemplifying emergent coherence.
- “magnetization steps”  $\implies$  “JW excitonic solid” crystals.
- “Barkhausen” steps in a field-perturbed spin liquid.
- Hidden coherence  $\implies$  remnant TO (emergent  $d=1$  GLS).
- Novel applications to QIP, plasmonics, TQC.
- Maybe to unconventional orders in TMO.