

# Remote sensing of soil moisture

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# Acknowledgements

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- ESA-MOST Dragon II Advanced Training Course in Land Remote Sensing, lectures by T. Le Toan, Z. Su
- Presentation at the Kick-off of WACMOS project by W. Wagner, R. de Jeu
- Z. Su, R. Van der Velde, C. Prigent, 2006, Remote Sensing of Soil Moisture – A review, ESA summer school on environmental modelling and data assimilation
- ESA summer school on environmental modelling and data assimilation, lectures by Z. Su
- With contributions from J. Wen (CAREER/CAS), Y. Ma (ITP/CAS), R. van der Velde, L. Dente, Z. Vekerdy, L. Wang, Y. Zeng, S. Lv, P. Ferrazzoli (UR)

# OBJECTIVES

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- Basics in remote sensing of soil moisture
- Some examples of soil moisture products
- How good are these data?
- Current researches

# BASICS IN REMOTE SENSING OF SOIL MOISTURE

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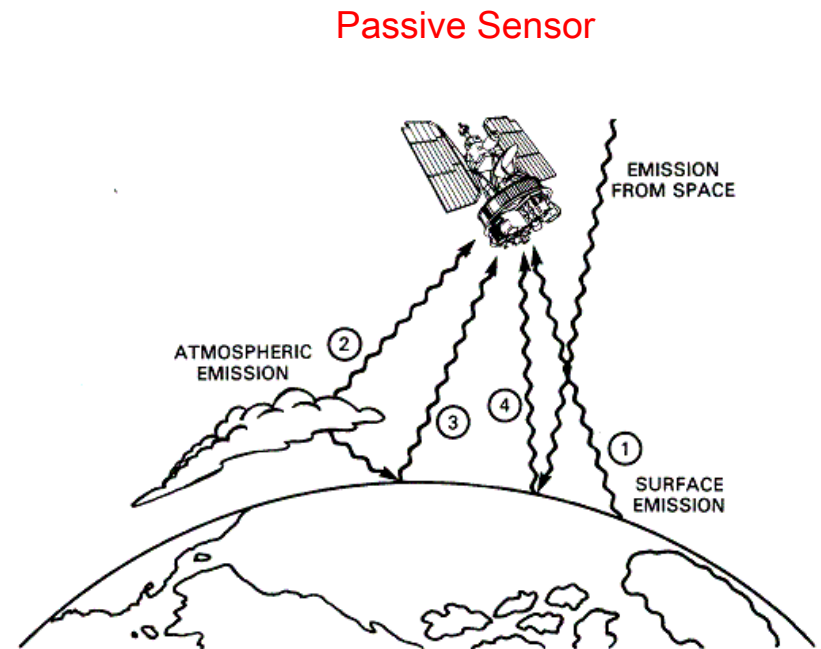
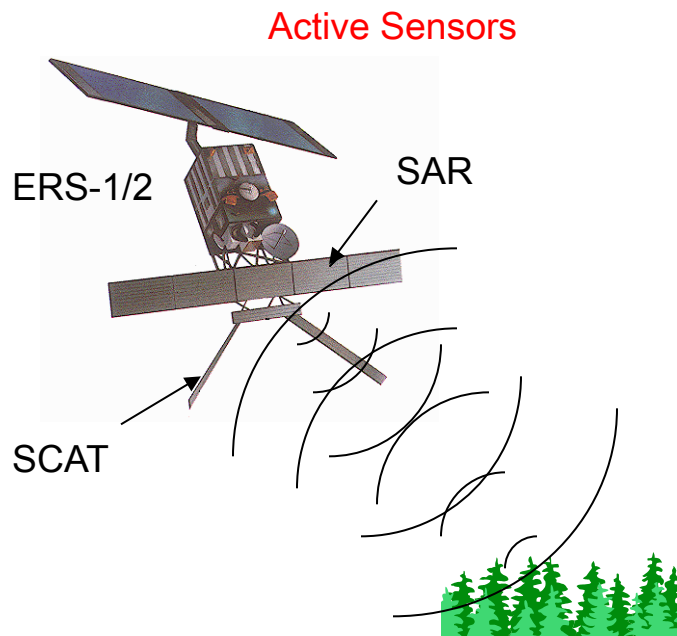
# What is microwave ? How does it work?

## What is the physical process involved?



# Measurement Principles

- **Radars** measure the energy scattered back from the surface
- **Radiometers** measure the self-emission of the Earth's surface
- Passive and active methods are interrelated through Kirchhoff's law:  
**Emissivity = 1 – Reflectivity**



# Microwave Sensors

## RADIOMETERS:

SMMR



SSM/I



TMI



AMSR-E



Windsat



SMOS



## SCATTEROMETERS:

ERS



METOP



## COMBINED

SMAP



1980

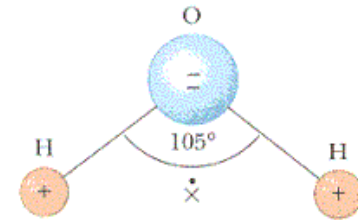
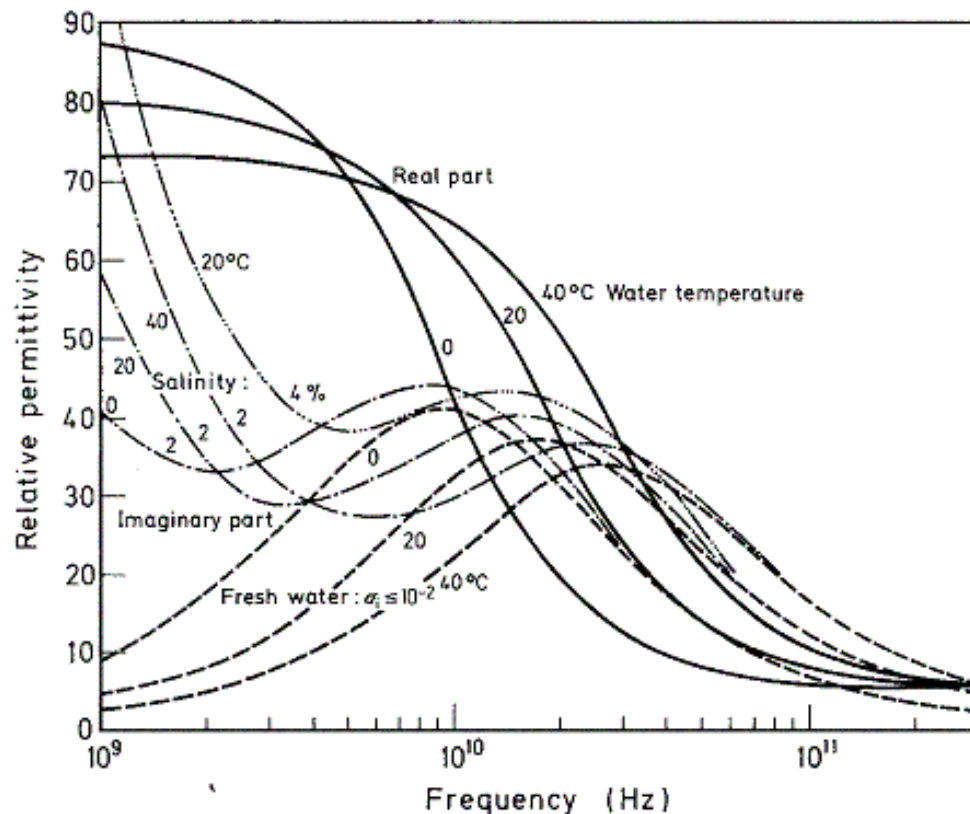
1990

2000

today

# Dielectric properties of materials

- No-polar materials are characterized by constant value of dielectric constant  $\epsilon_r$ ,
- For polar materials, dielectric constant  $\epsilon_r$  can be expressed by the Debye equation

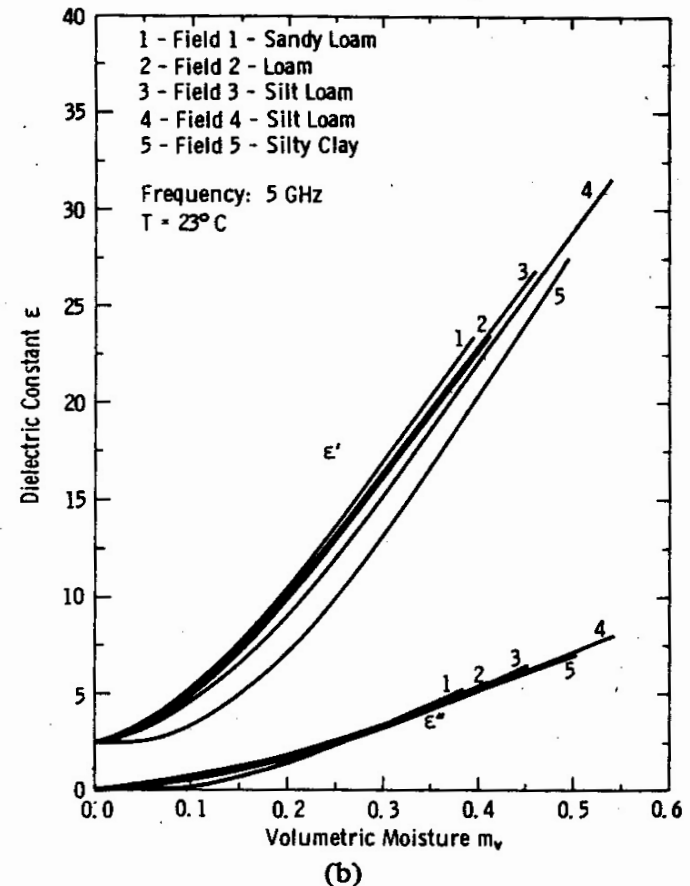
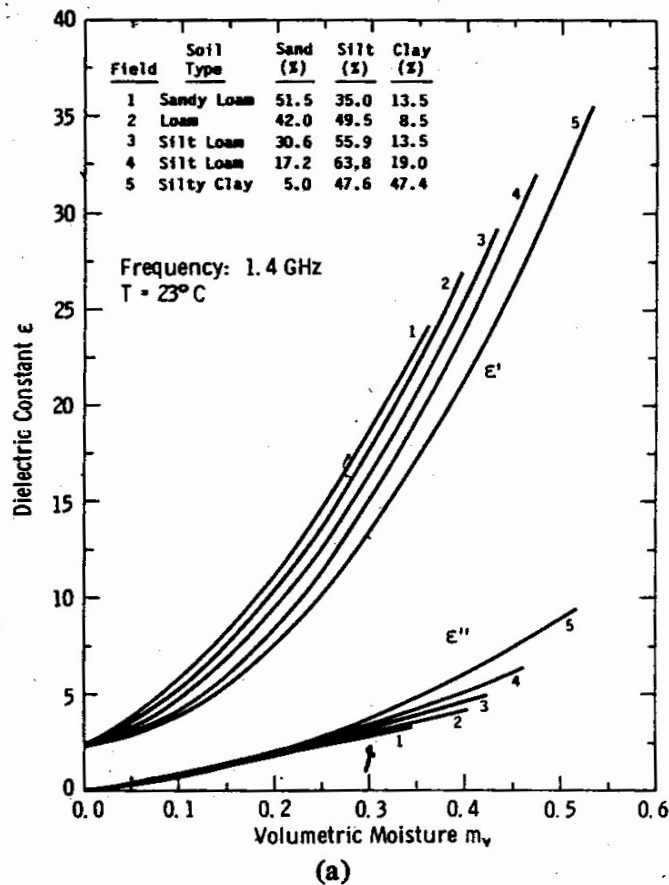


The dipole moment of water molecules causes “orientational polarization”, i.e. a high dielectric constant

Relative permittivity of pure water and sea water at 0, 20, 40 °C.

# Dielectric constant and soil moisture

- On the basis of an estimate of the mixture dielectric constant derived from the Fresnel equations and soil texture information, volumetric soil moisture can be estimated (*Hallikainen et al. 1985*).



# The radar cross-section

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The radar cross-section (RCS) is defined as

$$\sigma_{pq} = 4\pi \left| S_{pq} \right|^2 = 4\pi R^2 \frac{P_s}{P_i} \quad [\text{m}^2]$$

$R$  is the radar-target distance

$P_i$  is the incident power,

$P_s$  is the power scattered by the target.

# The backscattering coefficient

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For **distributed targets** each resolution cell contains many scatterers and the phase varies rapidly with position.

The **differential backscattering coefficient**,  $\sigma^o$ , is

$$\sigma^o = \frac{4\pi R^2}{\Delta A} \frac{P_s}{P_i} \quad [\text{m}^2/\text{m}^2]$$

where  $\Delta A$  is the area of the illuminated surface over which the phase can be considered constant.

# Dielectric properties of materials

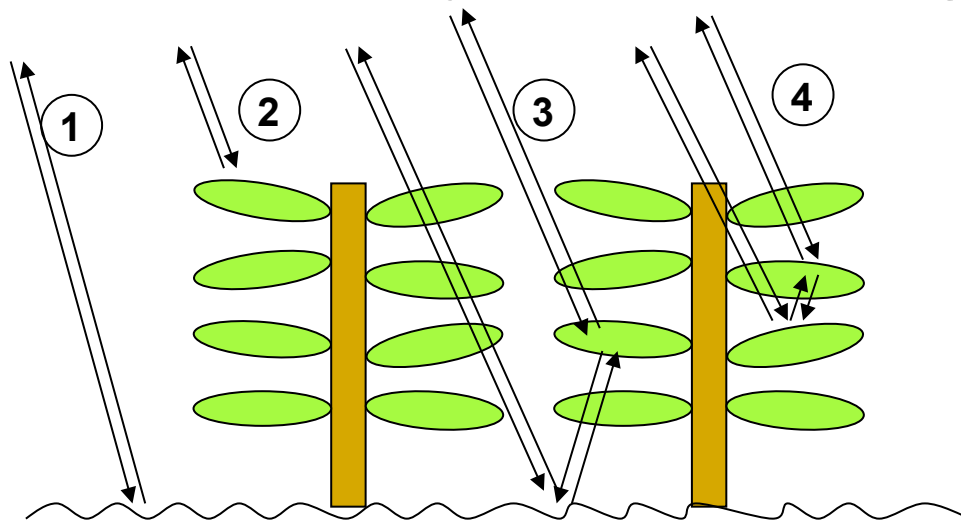
- **Decibel (dB)** is defined as 10 times of the logarithm to the base 10 of an energy ratio,  
(dB) = 10 log

$$x \text{ (dB)} = 10 \log_{10} X, \quad X = \frac{P_s}{P_i} \quad (-)$$



# Microwave scattering approaches

- Microwave scattering terms that are typically represented in physical scattering methods



$$\sigma^o = \sigma_{sur}^o + \sigma_{veg}^o + \sigma_{sur-veg}^o + \sigma_{veg-veg}^o$$

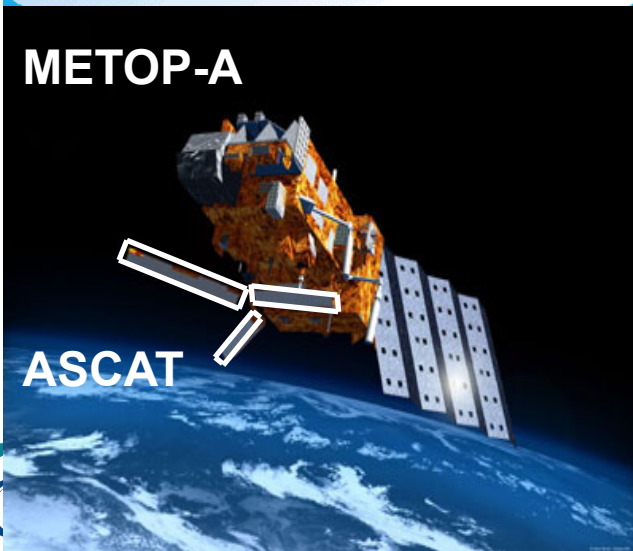
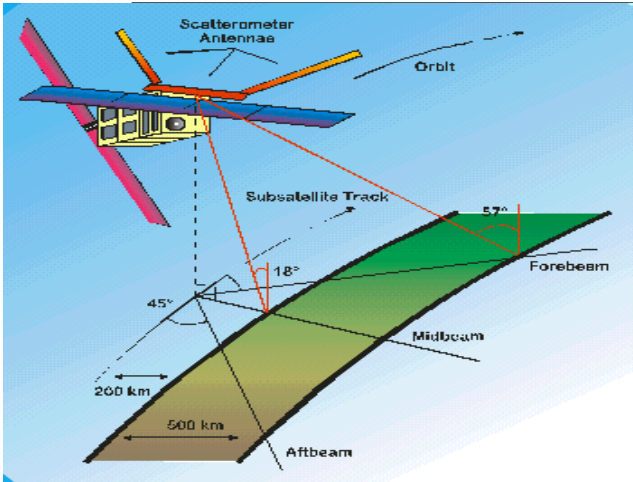
# Physical processes in retrieval of soil moisture

- The basic reason microwave remote sensing is capable of providing soil moisture information is that there is a large difference between the **dielectric constants of water (~80) and the soil particles (~4)**.
- The Fresnel reflection equations (Ulaby *et al.*, 1986) predict the surface reflectivity ( $R_0^p$ ) as a function of dielectric constant ( $\epsilon_r$ ) and the viewing angle ( $\theta$ ), (polarization p=horizontal-H or vertical-V).

$$R_0^H = \left| \frac{\cos \theta - \left( \epsilon_r - \sin^2 \theta \right)^{\frac{1}{2}}}{\cos \theta + \left( \epsilon_r - \sin^2 \theta \right)^{\frac{1}{2}}} \right|^2 \quad R_0^V = \left| \frac{\epsilon_r \cos \theta - \left( \epsilon_r - \sin^2 \theta \right)^{\frac{1}{2}}}{\epsilon_r \cos \theta + \left( \epsilon_r - \sin^2 \theta \right)^{\frac{1}{2}}} \right|^2$$

- From the reflectivity, the dielectric constant of the soil can be estimated. The dielectric constant of soil is a composite of the values of its components: air, soil particles, and water (bound and free water).

# Microwave remote sensing of soil moisture



- Retrieval with Radiative Transfer Equation (Wen and Su, 2003a, Phy. Che. Earth)
- Retrieval with change detection method (Wen and Su, 2003b, Geophys. Res. Letter)

# Retrieval with Radiative Transfer Equation

For the three antennae forward (45°), sideways (90°), and afterward (45°), (Wen & Su, 2003)

$$\sigma^0(\theta) = [1 - F_c(1 - T^2(\theta))] \sigma_{soil}^0 + F_c(\sigma_{int}^0 + \sigma_{veg}^0)$$

$$\sigma_{soil}^0(\theta) = \frac{|\Gamma(0)|^2 \exp\left(-\frac{\tan^2 \theta}{2s^2}\right)}{2s^2 \cos^4 \theta}$$

$$\sigma_{veg}^0(\theta) = \frac{\kappa_s \cos \theta}{2\kappa_e} [1 - \exp(-2\kappa_e \sec \theta)] = \frac{1}{2} \omega_v \cos \theta [1 - T^2(\theta)]$$

$\kappa_s$  and  $\kappa_e$  are scattering and extinction coefficient of vegetation discrete elements.

$\omega_v$  is the single scattering albedo of the vegetation scatters.

Where:

$\sigma^0(\theta)$  is observed backscattering coefficient,

$\theta$  is incidence angle,

$F_c$  is vegetation fractional coverage,

$T^2(\theta)$  is canopy transmittance in two ways of incoming and outgoing path,

$\sigma_{soil}^0$  is contribution of soil,  $\sigma_{veg}^0$  is vegetation volumetric contribution,

$\sigma_{int}^0$  is the contribution from land surface-vegetation interaction.

$s = \sqrt{2}\sigma/l$  is the root mean square (RMS) slope of surface height,

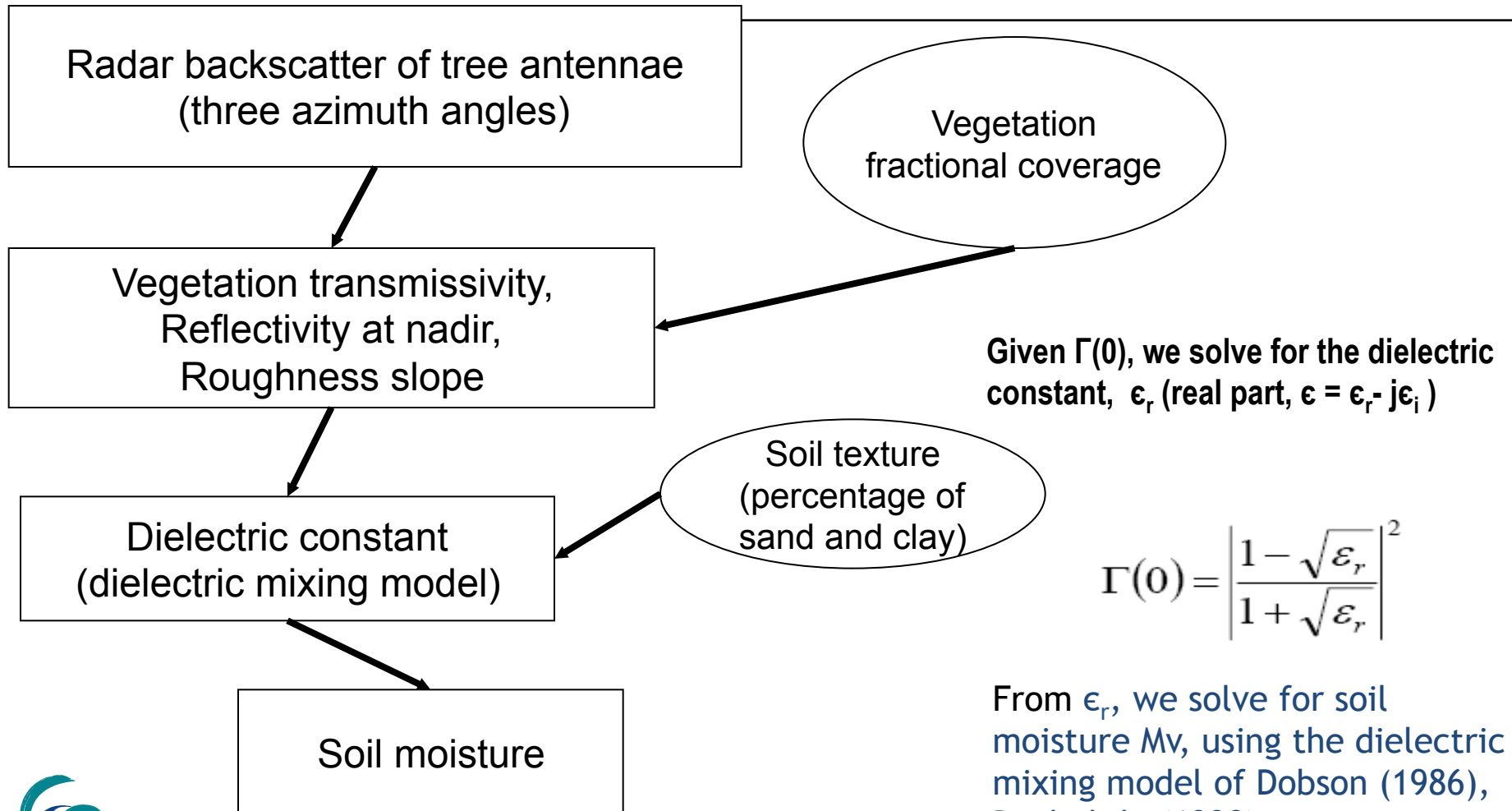
$\sigma$  is standard deviation of the surface height,

$l$  is horizontal distance between two different points on the surface using a Gaussian correlation function,

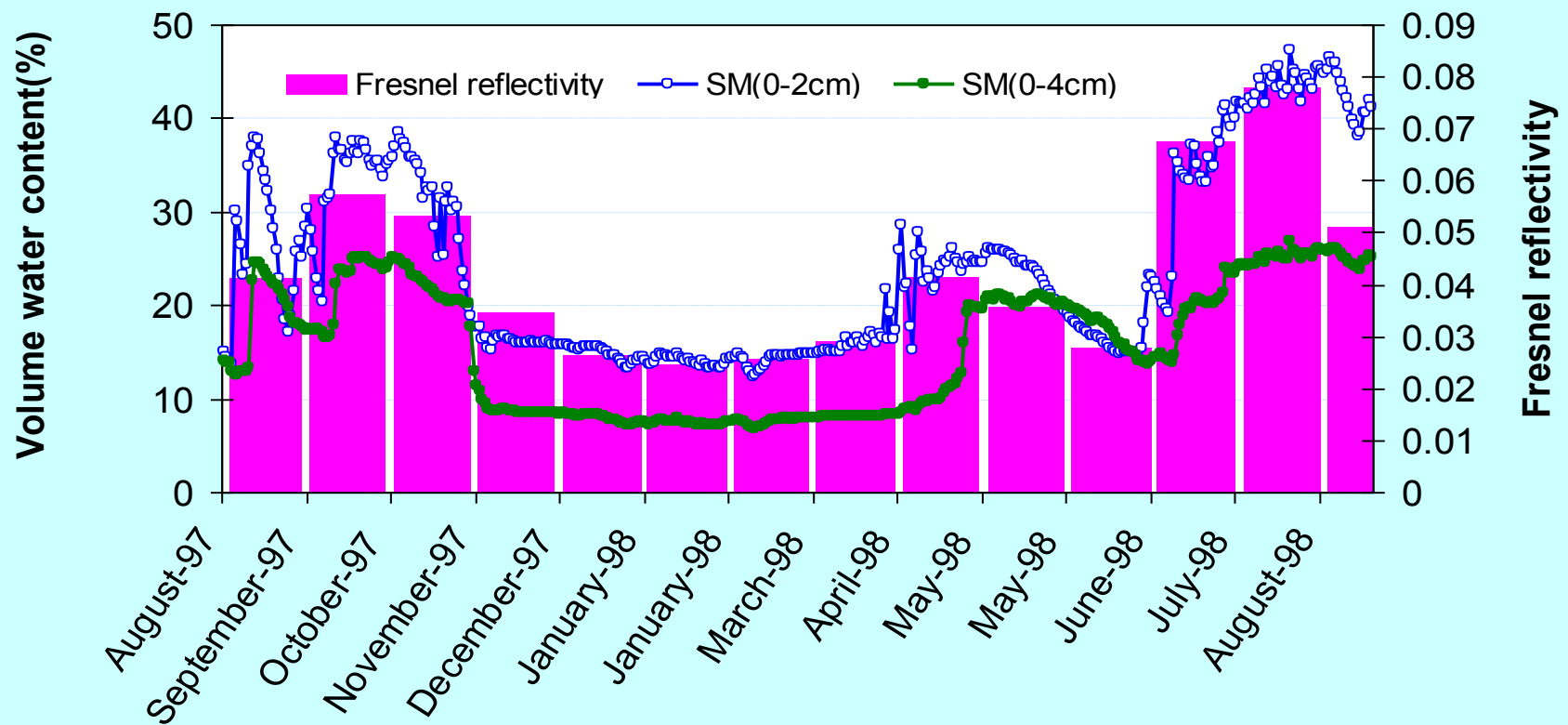
$\Gamma(0)$  is soil Fresnel reflectivity at normal incidence of a half-space.

from above eqs, we solve for  $F_c$ ,  $\Gamma(0)$ ,  $s$  or  $T$  (with some additional input for vegetation)

# Retrieval with Radiative Transfer Equation



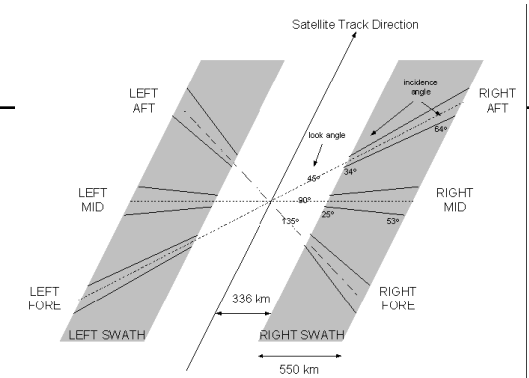
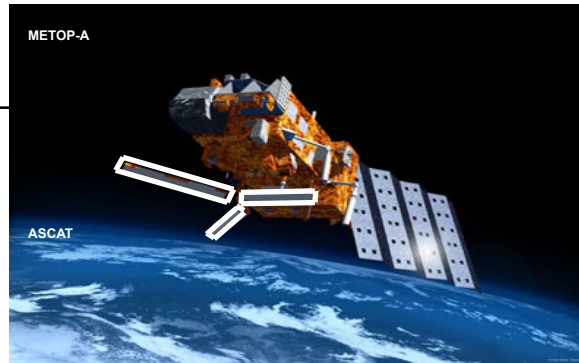
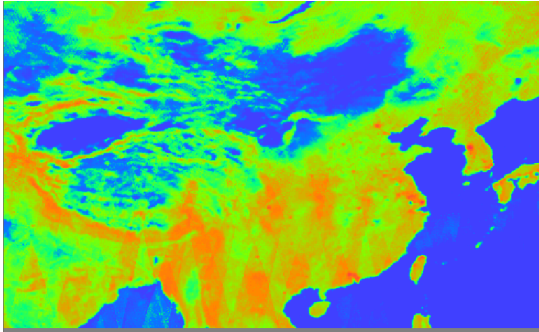
# Estimated Fresnel reflectivity and ground measured volume soil water content



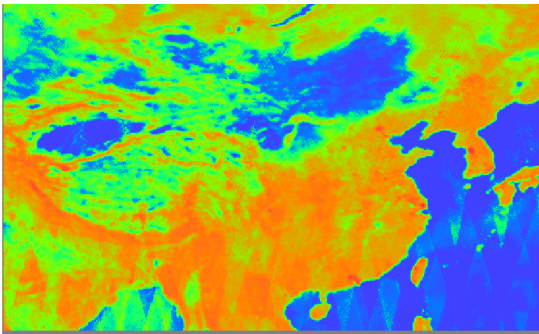


# Some results with ASCAT data (CEOP-AEGIS WP4)

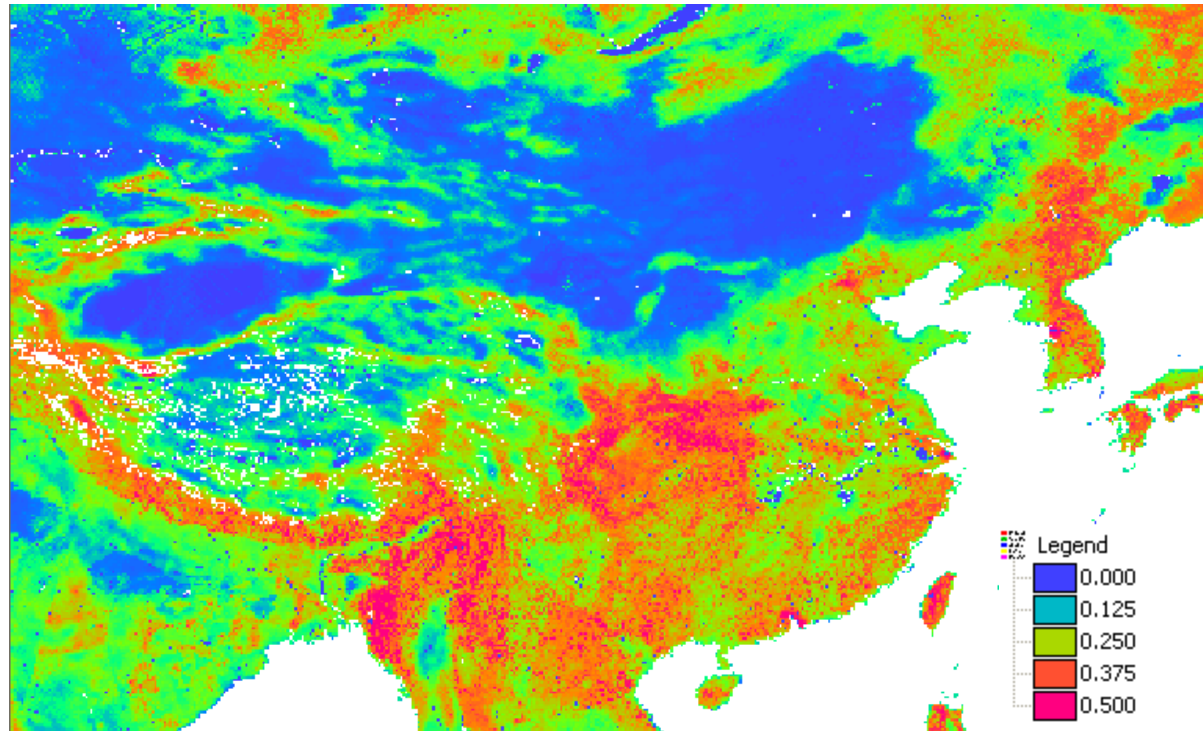
sigma zero triplet for values (dB)



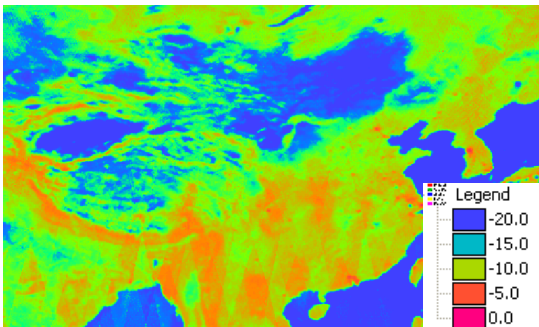
sigma zero triplet mid values (dB)



Soil moisture (m3/m3 )



sigma zero triplet after values (dB)



TE.

# Microwave radiometer methods

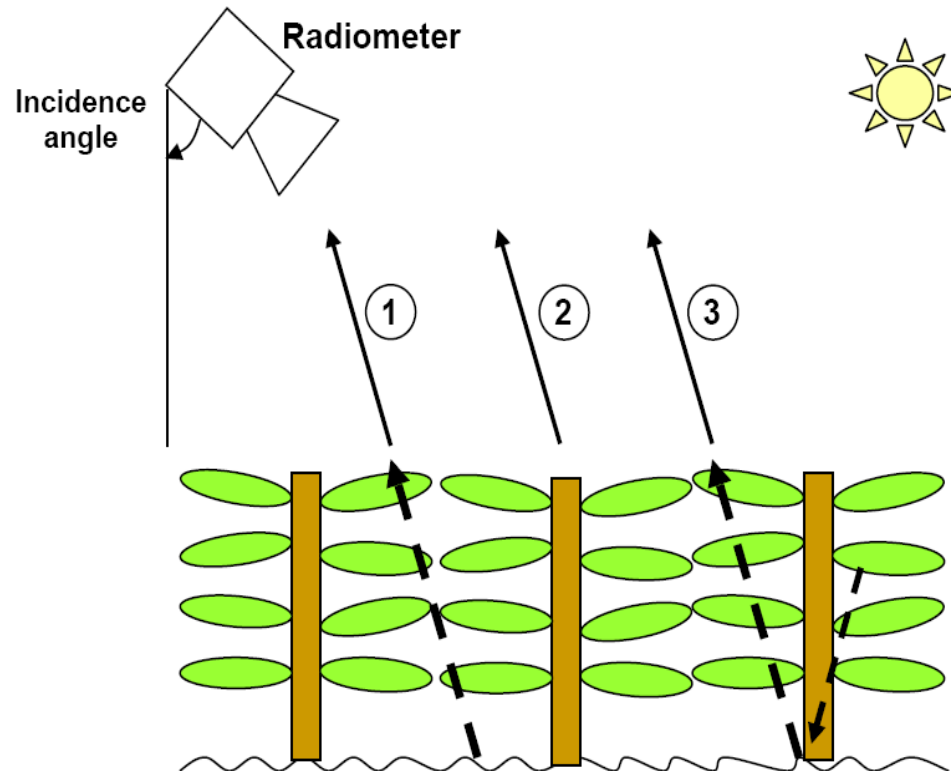


Figure 1. schematic representation of the different microwave emission terms over a vegetated surface.

$$T_B = (e_s \Gamma) T_s + (1 - \omega)(1 - \Gamma) T_c + (1 - e_s)(1 - \omega)(1 - \Gamma) T_c$$



# Passive microwave soil moisture retrieval algorithms

Overview algorithms	Input variables	Methods
<p>Temperature correction</p> $e_l = \frac{T_B}{T}$	<p>Temperature of the emitting layer</p>	<ul style="list-style-type: none"> <li>• Model inversion (Wen et al. 2003, and Bindlish et al. 2003)</li> <li>• 37 GHz microwave observations (Owe et al. 2001)</li> <li>• Other data sources, i.e. in-situ measurement, meteorological</li> </ul>
<p>Vegetation correction</p> $e_{surf} = 1 + [e_l - 1] e^{(-2\tau/\cos\theta)}$	<p>Optical depth, single scattering albedo</p>	<ul style="list-style-type: none"> <li>• 10.65 GHz MDPI (Wen et al. 2003)</li> <li>• 6.6 GHz MDPI and model simulations (Owe et al. 2001)</li> <li>• <math>\tau = bW</math> where <math>W</math> represents the vegetation water content and NDVI is used as a surrogate variable</li> </ul>
<p>Surface roughness</p> $e_{smooth} = 1 - [1 - e_{surf}] e^{(-h\cos^2\theta)}$	<p>Surface roughness</p>	<ul style="list-style-type: none"> <li>• Derived from the ERS-Windscatterometer (Wen et al., 2003)</li> <li>• <math>h</math> is assumed to 0.1 (Jackson 1993 and Bindlish et al. 2003)</li> </ul>
<p>Dielectric constant (<math>\epsilon_r</math>)</p>	<p>Soil texture information</p>	<p>Soil texture information can be obtained from national and international soil survey agencies</p>

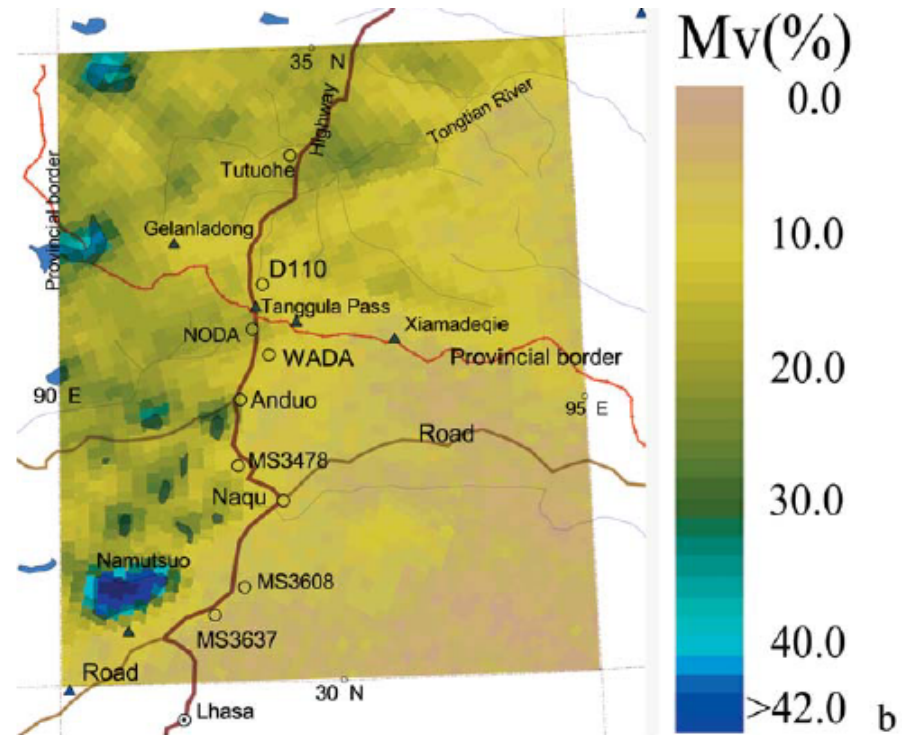
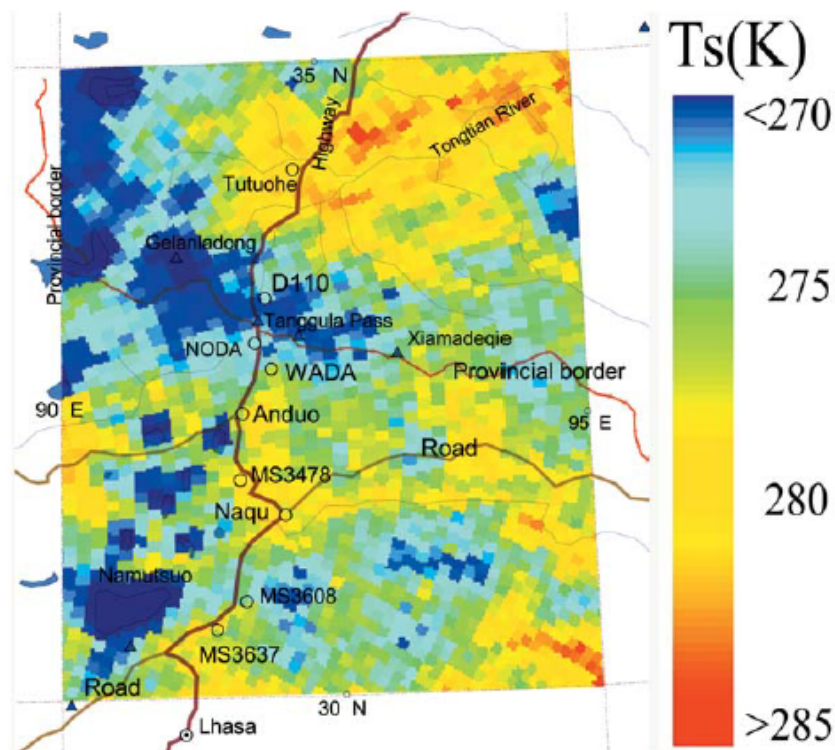
# SOME EXAMPLES OF SOIL MOISTURE PRODUCTS

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- Satellite retrievals
- Model outputs
- Reanalysis datasets

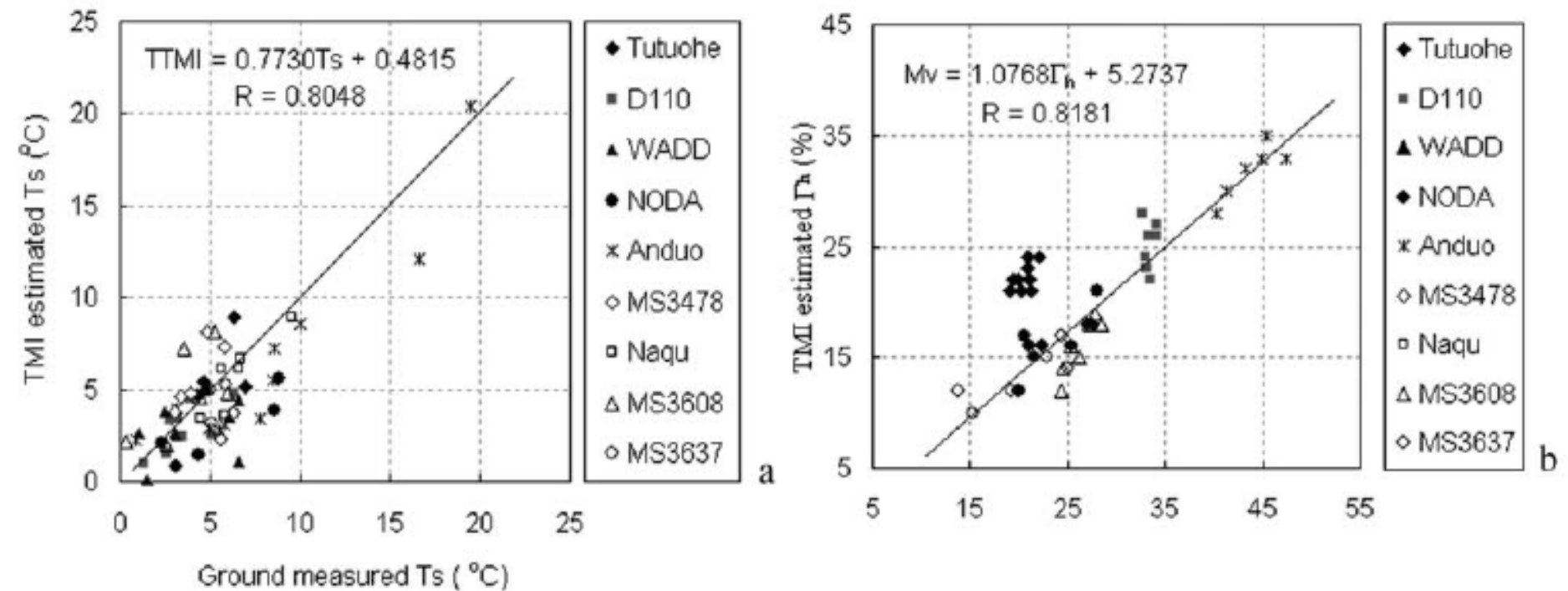
# Retrieved land surface temperature and soil moisture from TRMM/TMI data compared to GAME/Tibet in-situ data

(Wen, Su, Ma, 2003, JGR)



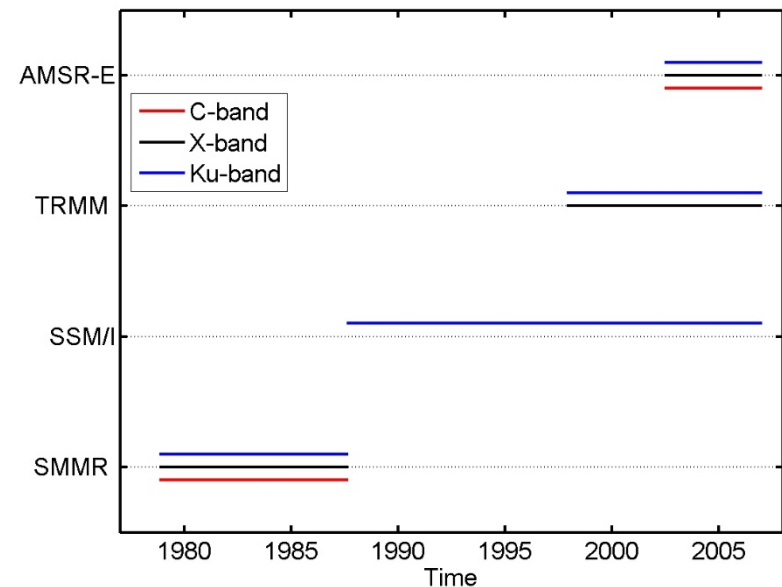
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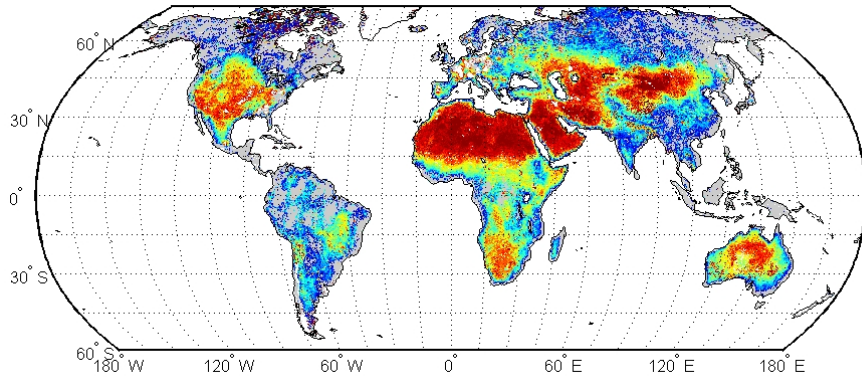


# VUA Dataset

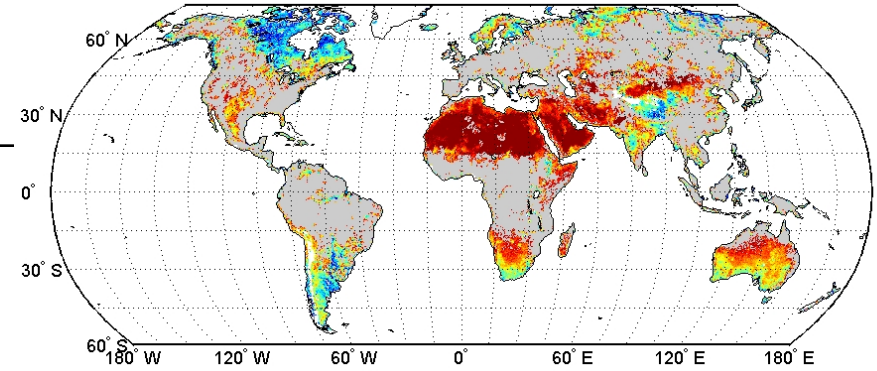
- A 30 year soil moisture dataset is derived using the Land Parameter Retrieval Model (LPRM)
  - Describes surface soil moisture (~ 1-2 cm)
    - ~ daily coverage
    - ~ 0.25 degree resolution
  - Sensors
    - SMMR
    - SSM/I
    - TMI
    - AMSR-E



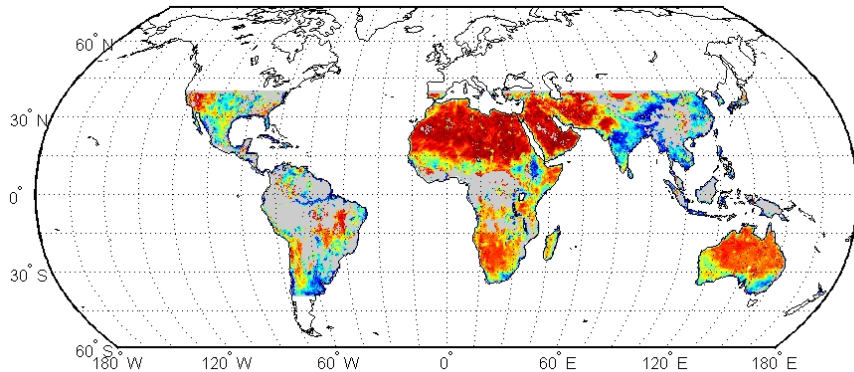
SMMR, July 1980 (C-band)  
(1978-1987)



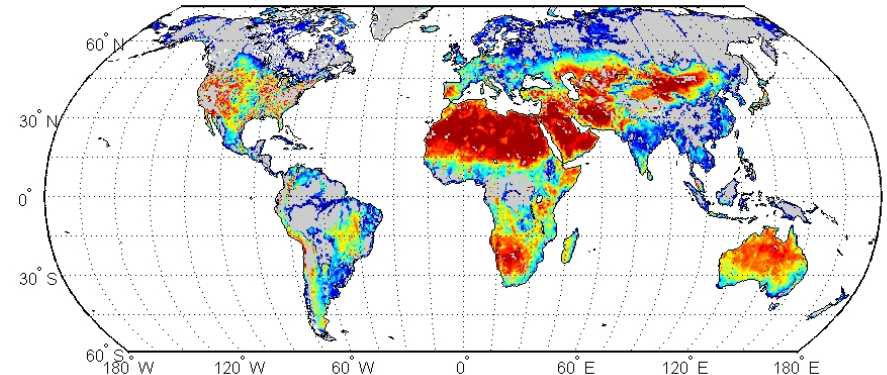
SSM/I, July 2004 (Ku-band)  
(1987-Now)



TRMM, July 2004 (X-band)  
(1997-Now)



AMSR-E, July 2004 (C-band)  
(2002-Now)

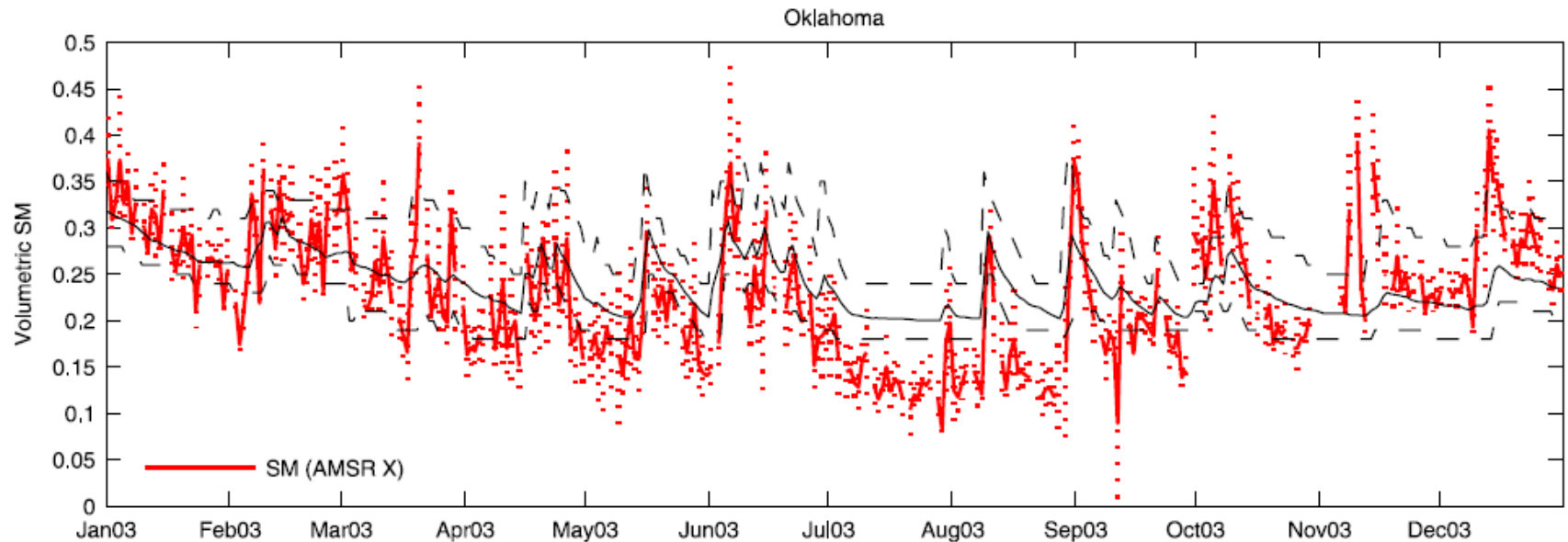


SM in  $\text{m}^3\text{m}^{-3}$





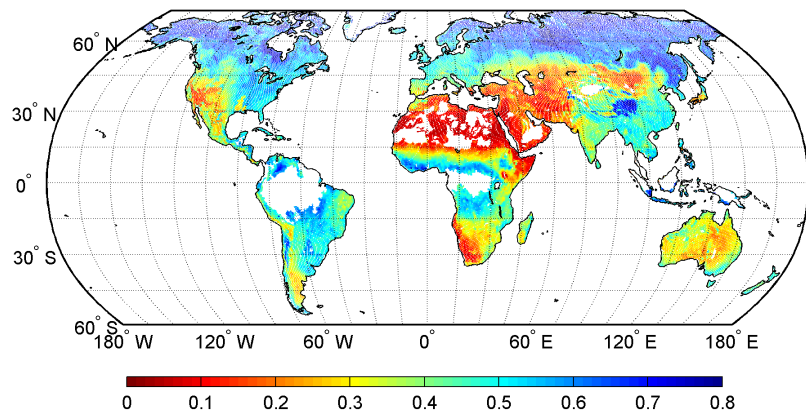
# Comparision with In-situ measurements



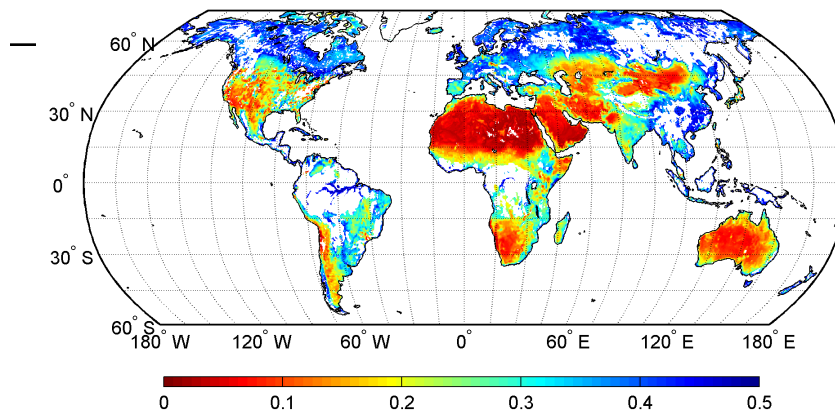
Soil Moisture Dynamics in Oklahoma, USA (from Owe et al, JGR, 2008)

# Comparison SCAT and AMSR-E Soil Moisture

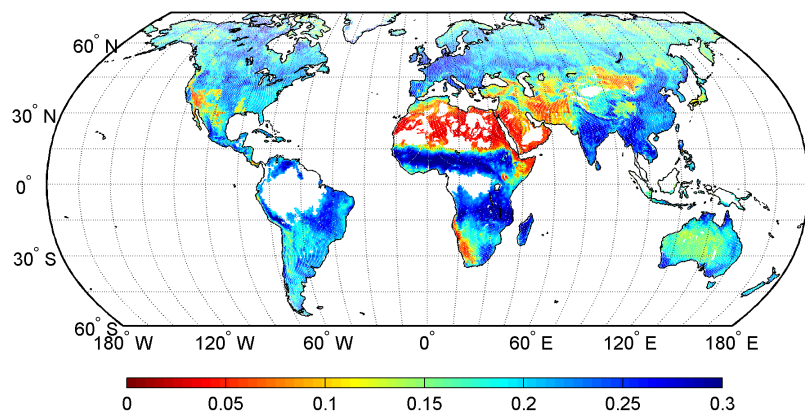
Mean SCAT



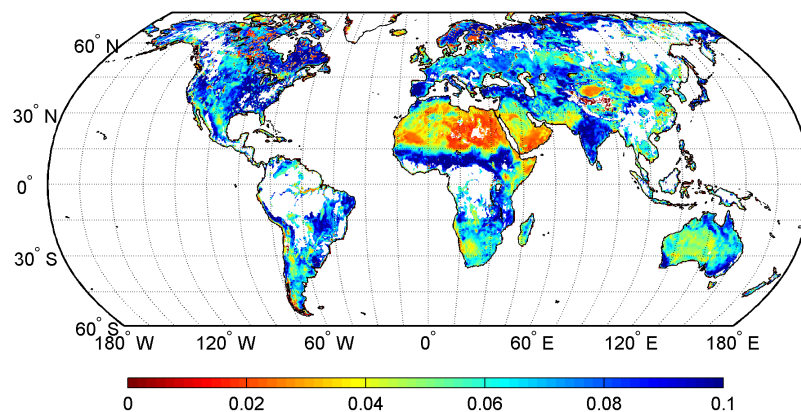
Mean AMSR-E



SCAT Seasonal Dynamics



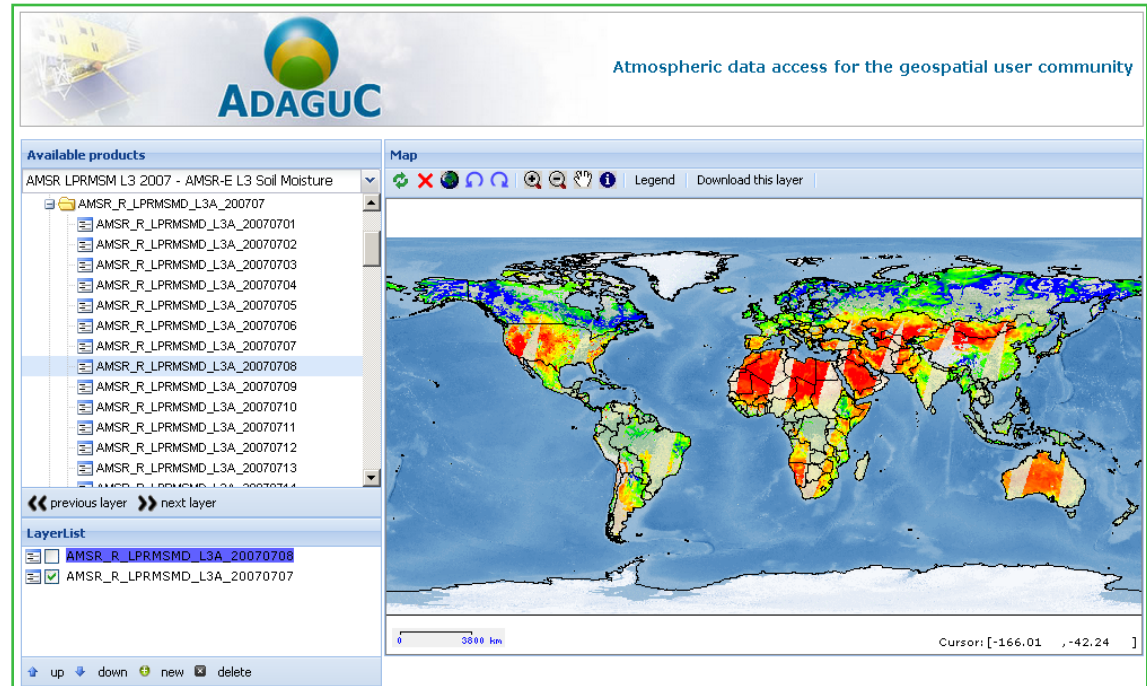
AMSR-E Seasonal Dynamics





# Data access

- Make use of the existing web portal (geoservices.falw.vu.nl)
- Data visualization
  - Data selection
  - Time
  - Region
  - Type
- Data download
  - HDF 5
  - NetCDF (3,4)
  - AAIGrid
  - GeoTIFF
- Plans for the Future
  - Near Realtime Data Access
  - Data Analysis



# *METOP Includes Advanced SCATterometer (ASCAT)*



- METOP is a polar orbiting satellite
- Suit of 13 Instruments for measuring ocean, land and atmospheric variables
- ASCAT and GOME-2 are continuity instruments from ERS series
- Launched 19 October 2006
- Operated by EUMETSAT (not ESA) as a weather and climate satellite
- EUMETSAT is first polar orbiting satellite and 2<sup>nd</sup> largest after Envisat

[http://www.esa.int/esaLP/ESA266094UC\\_LPmetop\\_0.html](http://www.esa.int/esaLP/ESA266094UC_LPmetop_0.html)

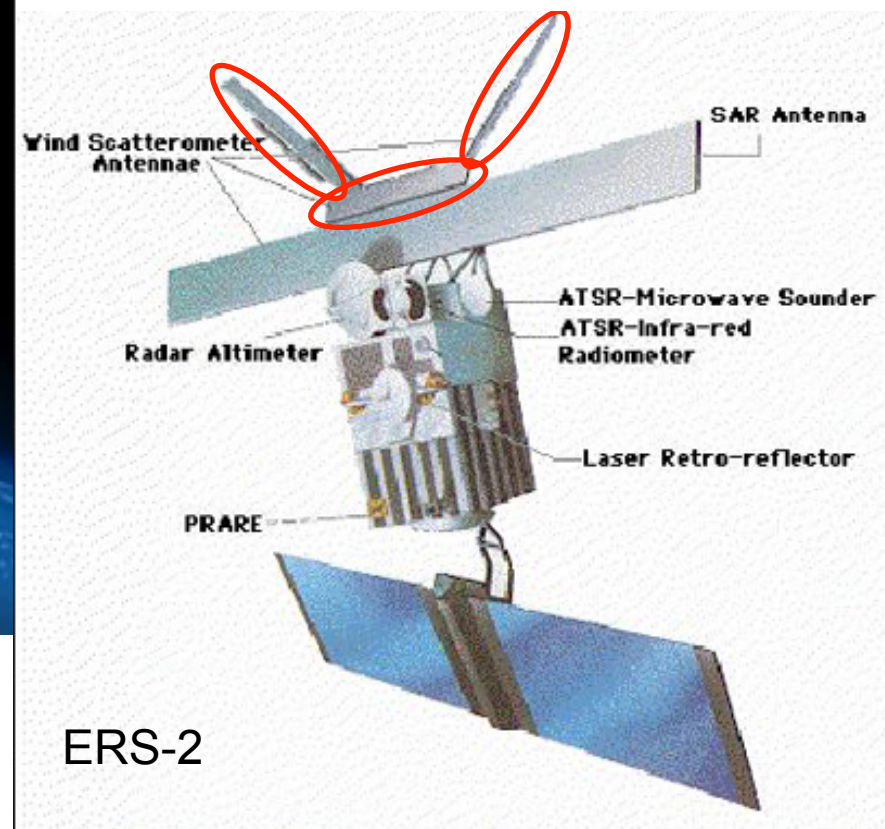
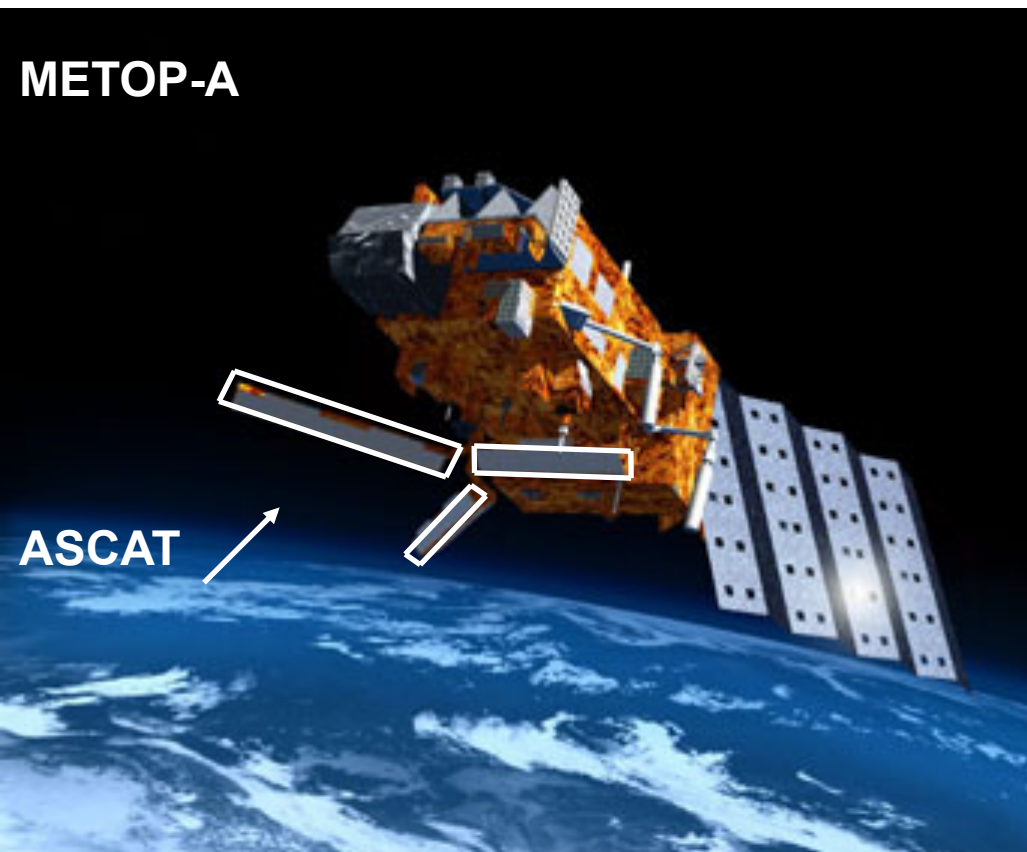
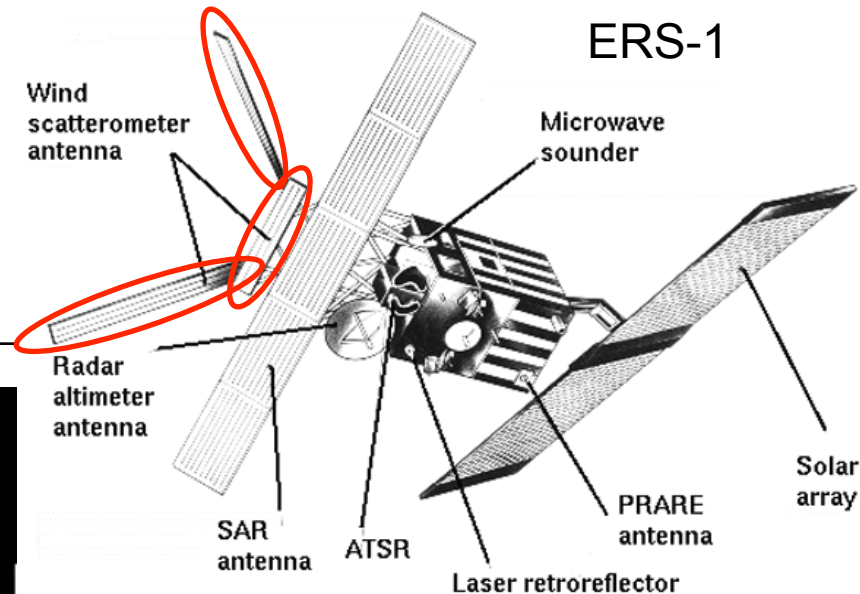
# *METOP – Polar Orbit*

*Takes ~100 minutes to orbit the earth, 70 orbits for global coverage, complete coverage every 5 days*

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ERS-1



ERS-2



# *A(SCAT): Towards global measurements of wind speed and direction over the oceans*

- The Advanced Scatterometer, or ASCAT for short, is used to determine information about the wind for use primarily in weather forecasting and climate research
  - 1<sup>st</sup> scatterometer launched on ERS-1 1991
  - 2<sup>nd</sup> scatterometer launched on ERS-2 1995
- Advanced scatterometer launched on METOP-A in 2007
  - Spatial resolution 50 km (experimental ~25km)

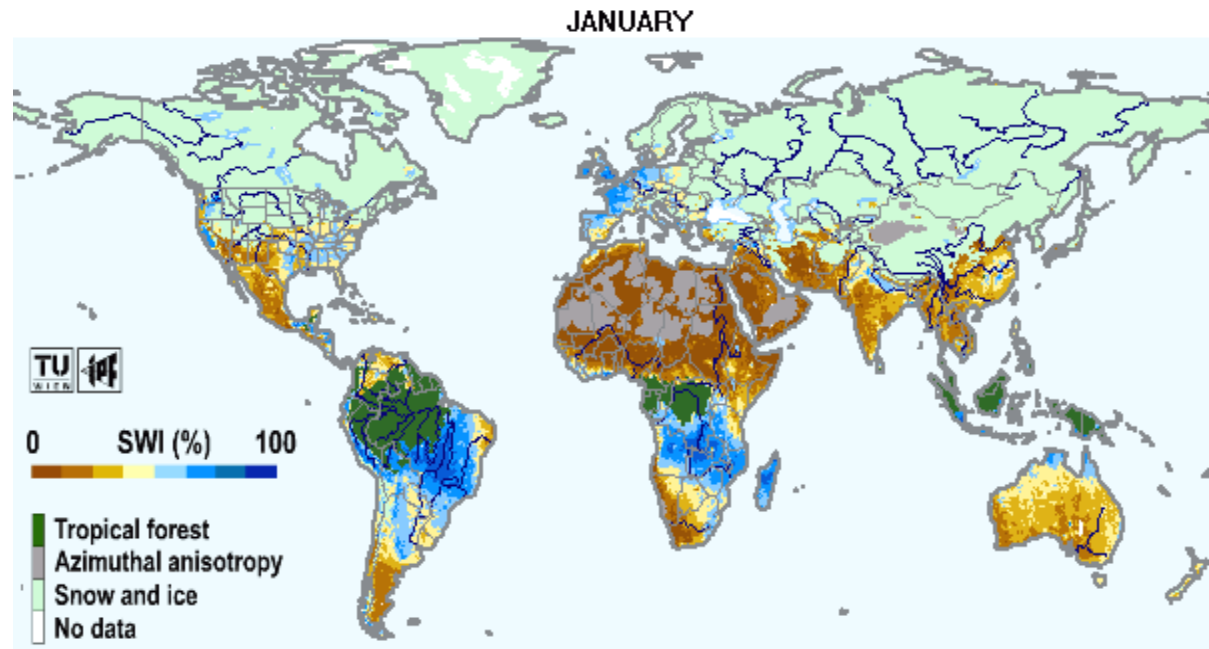
- ASCAT will also find applications in a number of other areas such as:

- The monitoring of land- and Sea-ice
- Snow cover

- **Global and regional soil**  
**Monthly soil moisture**  
**moisture**  
**mean 1992 - 2000**



UNIVERSITY OF TWENTE.



Processing Step	Mathematical Formulation
<b>Step 1</b> <i>Estimated Standard Deviation of <math>\sigma^0</math></i>	$ESD(\sigma^0) = \frac{SD(\sigma_{fore}^0 - \sigma_{aft}^0)}{\sqrt{2}}$
<b>Step 2</b> <i>Incidence Angle Depend-ency</i>	$\begin{aligned}\sigma'(40, t) &= C' + D' \cdot \Psi'(t) \\ \sigma''(40, t) &= C'' + D'' \cdot \Psi''(t)\end{aligned}$
<b>Step 3</b> <i>Normalisation</i>	$\sigma^0(40, t) = \frac{1}{3} \sum_{i=1}^3 \sigma_i^0(\theta, t) - \sigma'(40, t)(\theta - 40) - \frac{1}{2} \sigma''(40, t)(\theta - 40)^2$
<b>Step 4</b> <i>Estimated Standard Deviation of <math>\sigma^0(40)</math></i>	$ESD(\sigma^0(40)) = \sqrt{100 \cdot ESD(\sigma')^2 - \frac{5}{3} ESD(\sigma^0)^2}$
<b>Step 5</b> <i>Dry and Wet backscatter references and normali- sation of vegetation effects</i>	$\begin{aligned}\sigma_{DRY}^0(40, t) &= C_{DRY}^0 - D \cdot \Psi'(t)(\theta_{DRY} - 40) - \frac{1}{2} D'' \Psi''(t)(\theta_{DRY} - 40)^2 \\ \sigma_{WET}^0(40, t) &= C_{WET}^0 - D \cdot \Psi'(t)(\theta_{WET} - 40) - \frac{1}{2} D'' \Psi''(t)(\theta_{WET} - 40)^2\end{aligned}$
<b>Step 6</b> <i>Wet backscatter refer-ence correction</i>	$\begin{aligned}C_{WET}^0 &= C_{DRY}^0 + (19 + 30 \cdot C') \\ C_{WET}^0 &= C_{DRY}^0 + (17 + 30 \cdot C')\end{aligned}$
<b>Step 7</b> <i>Surface soil moisture</i>	$m_s(t) = \frac{\sigma^0(40, t) - \sigma_{dry}^0(40, t)}{\sigma_{wet}^0(40) - \sigma_{dry}^0(40, t)}$
<b>Step 8</b> <i>Estimated standard deviation of surface soil moisture</i>	$ESD(m_s) = \frac{ESD(\sigma_{40}^0)}{\sigma_{wet}^0(40, t) - \sigma_{dry}^0(40, t)}$

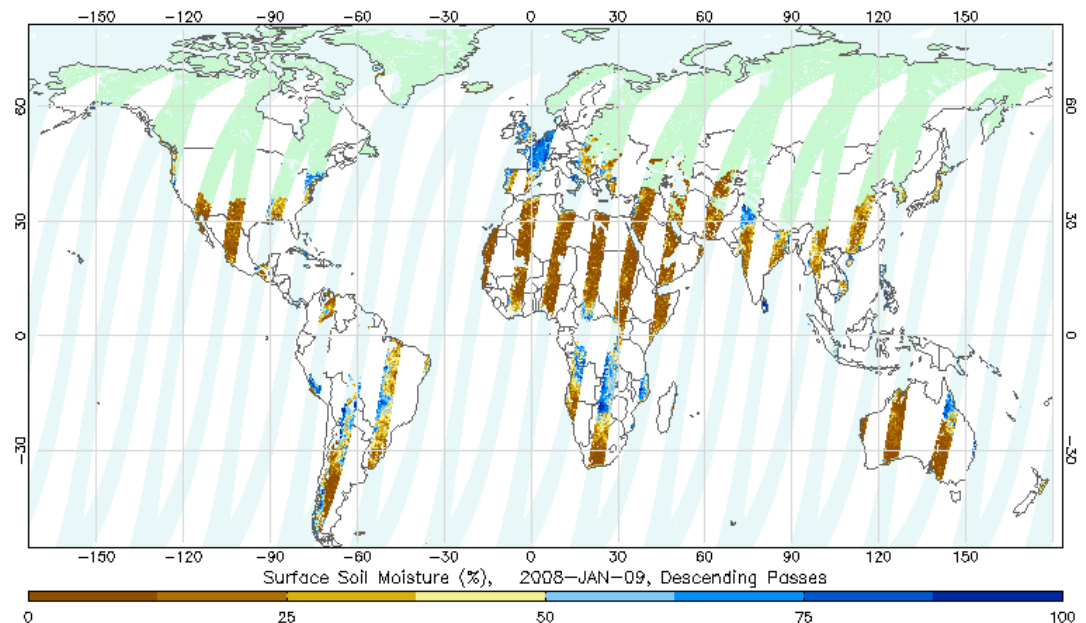
## ASCAT SM Processing Stages

The processor has been developed by the Institute of Photogrammetry and Remote Sensing of the Vienna University of Technology  
[http://www.ipf.tuwien.ac.at/radar/index.php?go=a2\\_data](http://www.ipf.tuwien.ac.at/radar/index.php?go=a2_data)

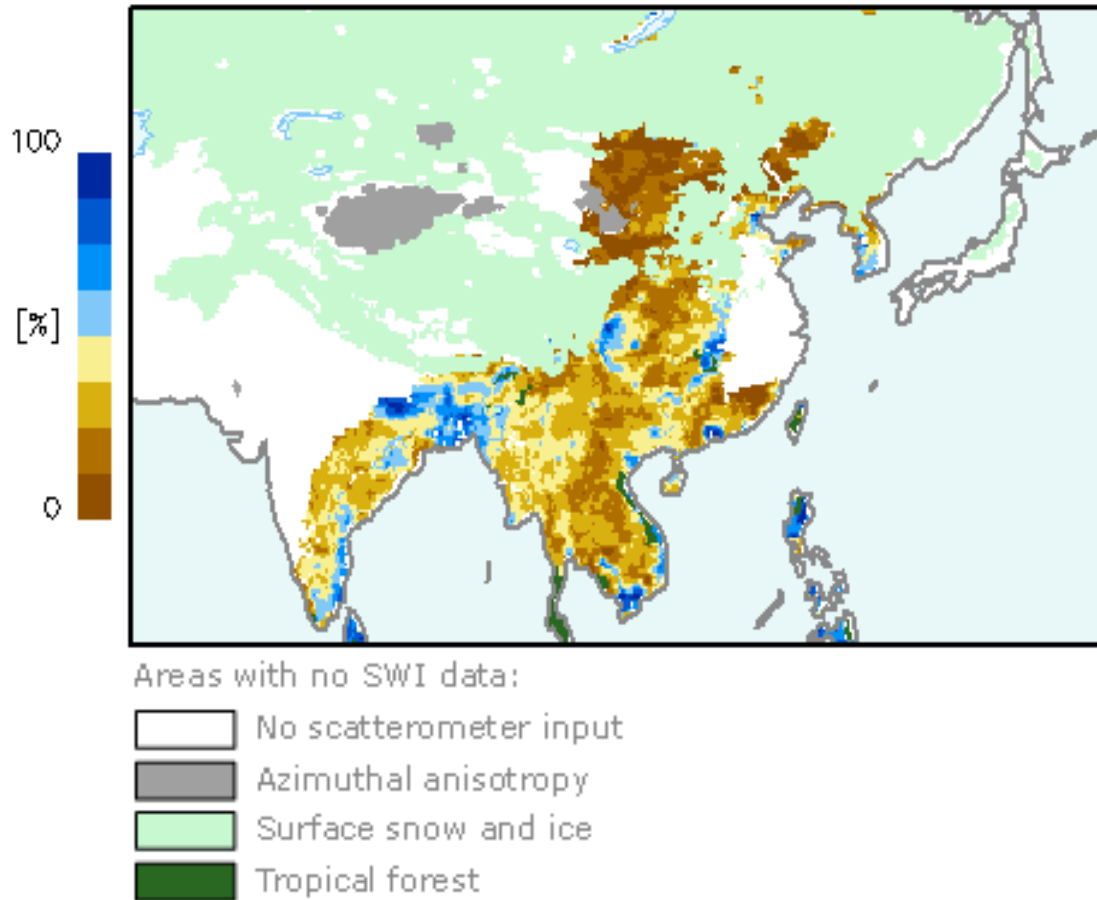
# ASCAT Products Levels 1 to 2

- ASCAT Level 0 (instrument packets)
- ASCAT Level 1b (normalised radar backscatter)
- ASCAT Level 2 wind (near surface ocean winds)
- ASCAT Level 2 soil moisture (surface soil moisture index)
  - ASCAT Level 2 soil moisture products is produced and distributed by EUMETSAT following the method developed and prototyped by the Technical University of Wien: [http://www.ipf.tuwien.ac.at/radar/index.php?go=a2\\_data](http://www.ipf.tuwien.ac.at/radar/index.php?go=a2_data)

ASCAT Level 2  
Daily coverage



## ASCAT Level 3 Products - Regional Monthly SWI SE Asia region example for January 2008



**Soil Water Index (SWI)**,  
i.e. a measure of the  
profile soil moisture  
content obtained by  
filtering the surface soil  
moisture time series with  
an exponential function.  
Data is made available on  
a monthly and regional  
basis for following regions:

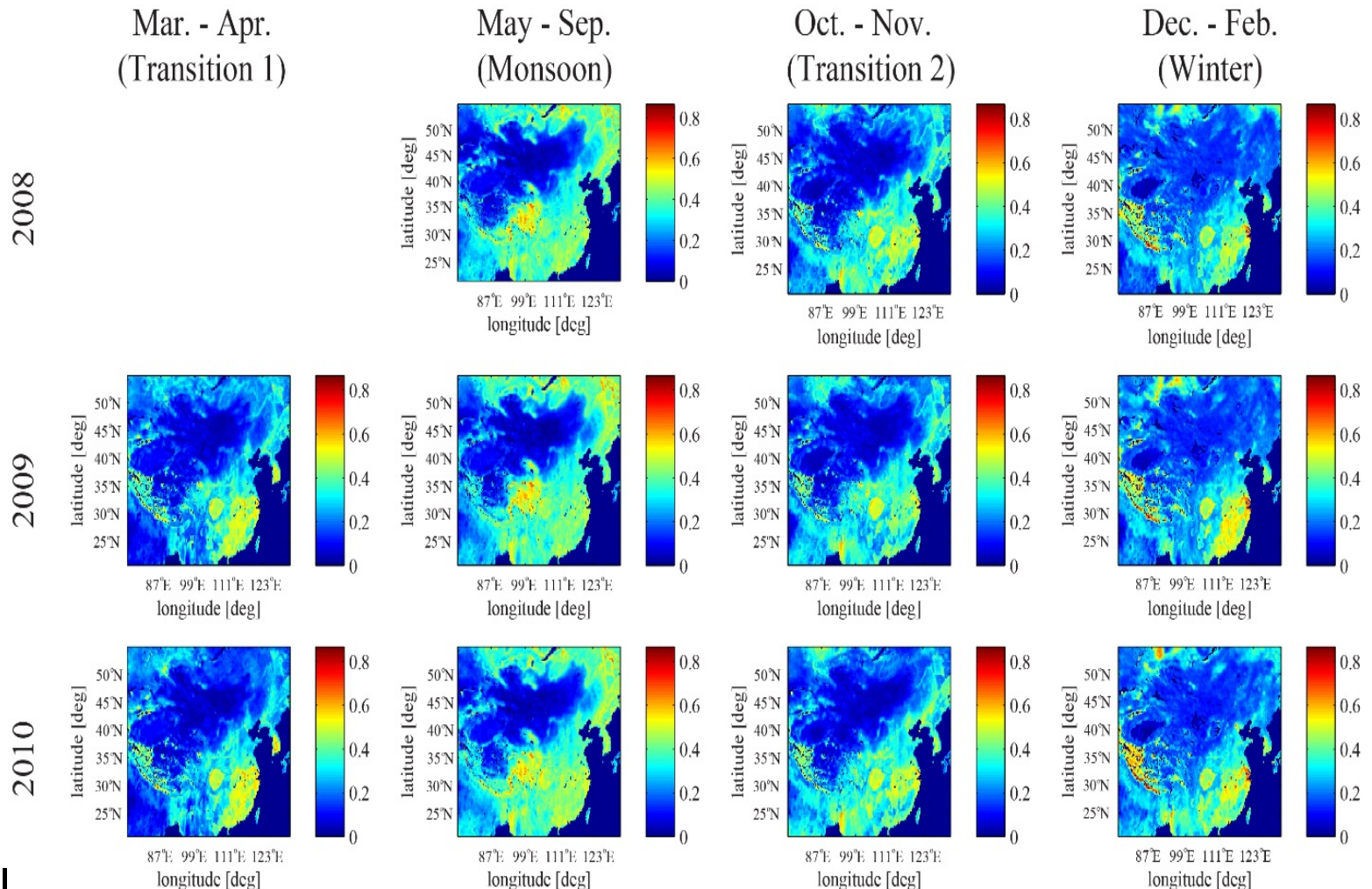
- Europe
- SE Asia
- North America
- South America
- Australasia



# NEW: A Combined Soil Moisture Product over China Using Different Sensors (AMSR-E & ASCAT)

(Y. Zeng, L. Dente, L. Wang, J. Wen, Z. Su)

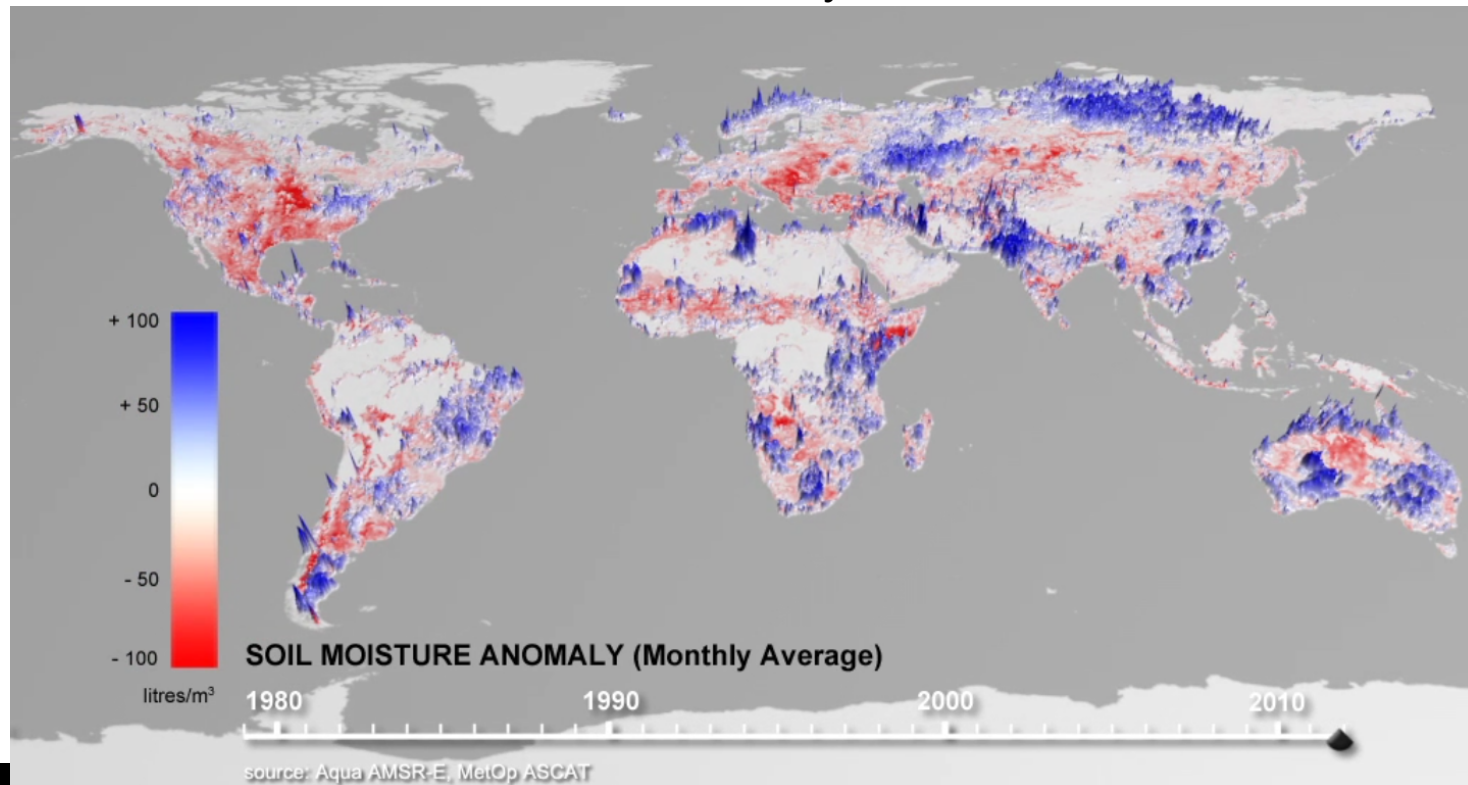
A simple Bayesian based method is used (bias correction, & variational method)



# The WACMOS global soil moisture product

<http://www.esa-soilmoisture-cci.org/node/127>

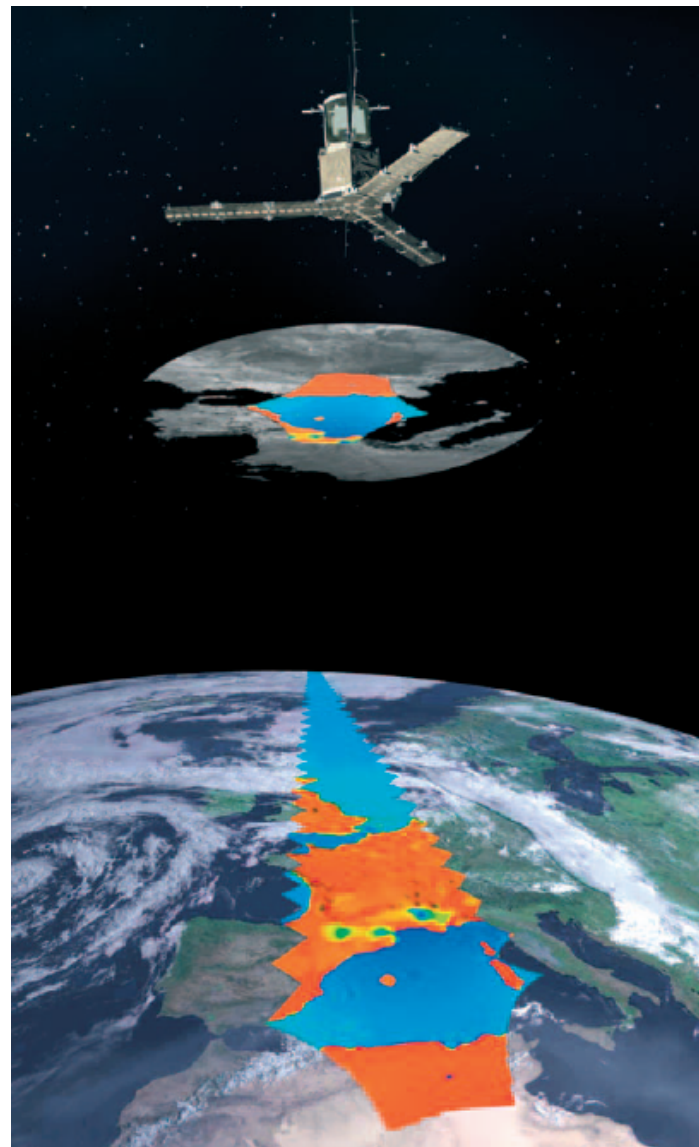
Global monthly averages of soil moisture in the early 1980s in litres per m<sup>3</sup>, followed by changes in global soil moisture to present. Major anomalies are highlighted, such as the 1992 flooding in Afghanistan, the 2005 drought in the central US, Russia's heatwave in 2010 and Australia's floods in Queensland in January 2011.



# SMOS (Soil Moisture and Ocean Salinity) - a joint [ESA](#) / CNES / CDTI Earth Observation program



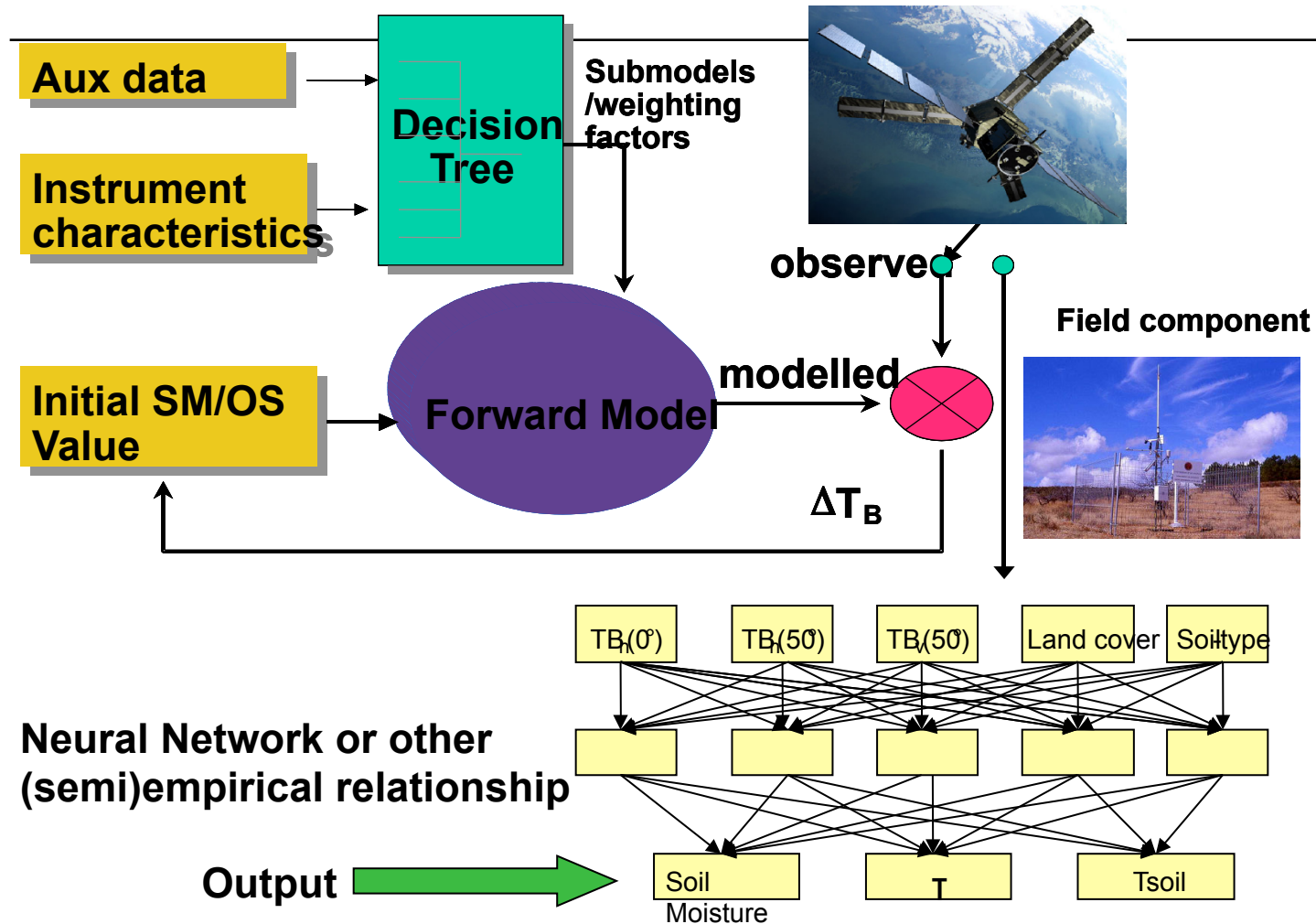
# ***SMOS – MIRAS Hexagonal Foot Print***



- Altitude of 763 km, antenna view area of almost 3000 km in diameter.
- Due to the interferometry principle and the Y-shaped antenna, the field of view is limited to a hexagon-like shape about 1000 km.
- Global coverage every 3 days
- Launch 2009



# Retrievals - Forward Modeling by Iterative Minimisation



# *SMOS Data Access*

- 
- **How to access SMOS data:**  
<https://earth.esa.int/web/guest/-/how-to-obtain-data-7329>
  - **SMOS Level 3 and Level 4 Data Products:**  
<http://www.catds.fr/>

# HOW GOOD ARE THESE DATA?

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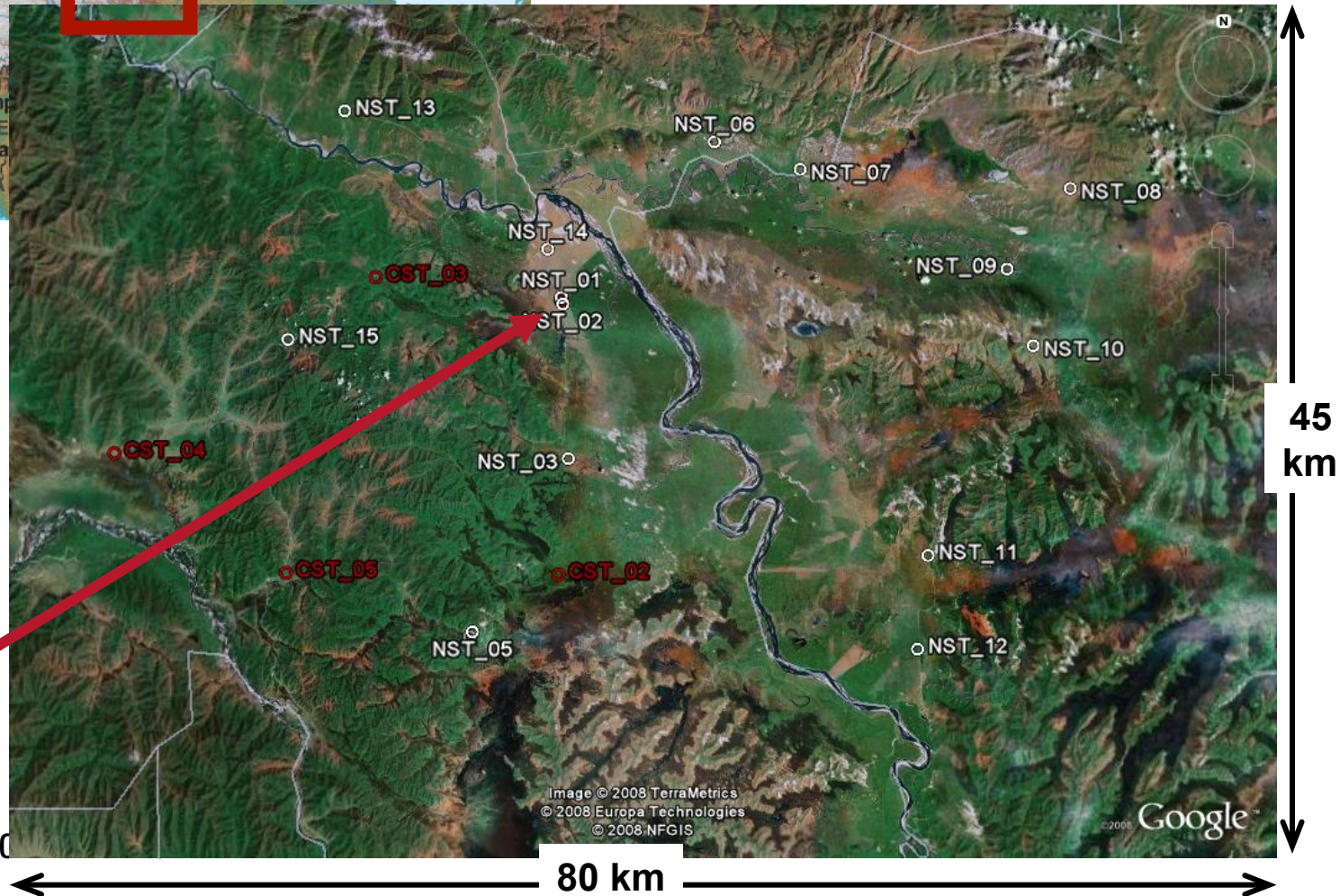
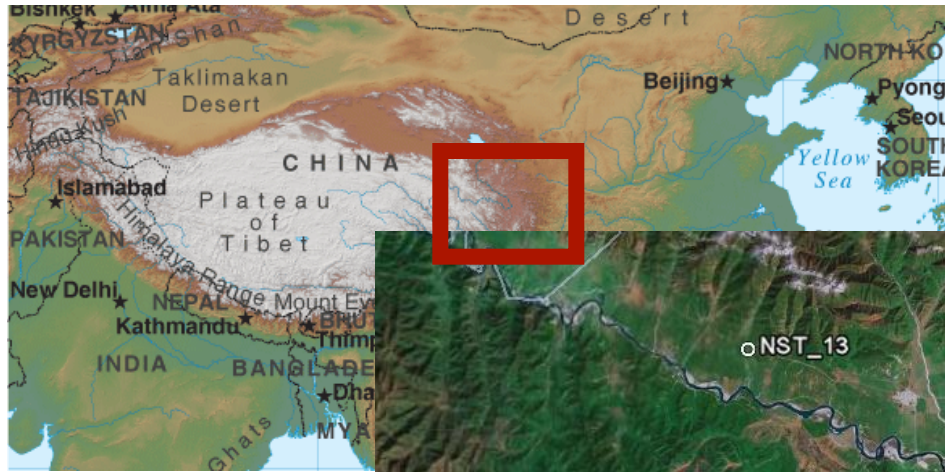
# ITC GEO Soil Moisture Soil Temperature Networks





# Maqu soil moisture network

20 soil moisture and soil temperature stations





# Maqu: station description

- 2/3 soil moisture & temperature probes
- 5, 10 & 20 cm deep (few profiles deep 80 cm)
- 1 datalogger
- data collected every 15 min
- memory capacity of 1 year
- completely buried
- site revisit to download data:
  - beginning and end of monsoon season in Maqu



# ITC GEO Soil Moisture Soil Temperature Networks

	Twente	Naqu	Maqu	Ngari
Nr. Domains	1	1	1	2
Nr. stations	22	7	20	20

	Twente	Naqu	Maqu	Ngari
Data Download	Per 3 Mons. (1 site automatic)	Per Year	Per 3/6 Mons (summer)	Per Year
Calibration	Gravimetric	Gravimetric	Gravimetric	Gravimetric

Measurement Type	Method	Depths
Soil Moisture	ECH <sub>2</sub> O (Capacitance probe) Type: EC-10 & EC-TM	Naqu Station -2.5, -7.5, -15, -30 , -60cm
Soil Temperature		Maqu & Twente Station -5, -10, -20, -40, -80cm Ngari Station -5, -10, -20, -40, -60, -80cm
Micrometeorological	AWS, PBL Tower	1.5, 2, 5, 6.5, 10, 14.0 m

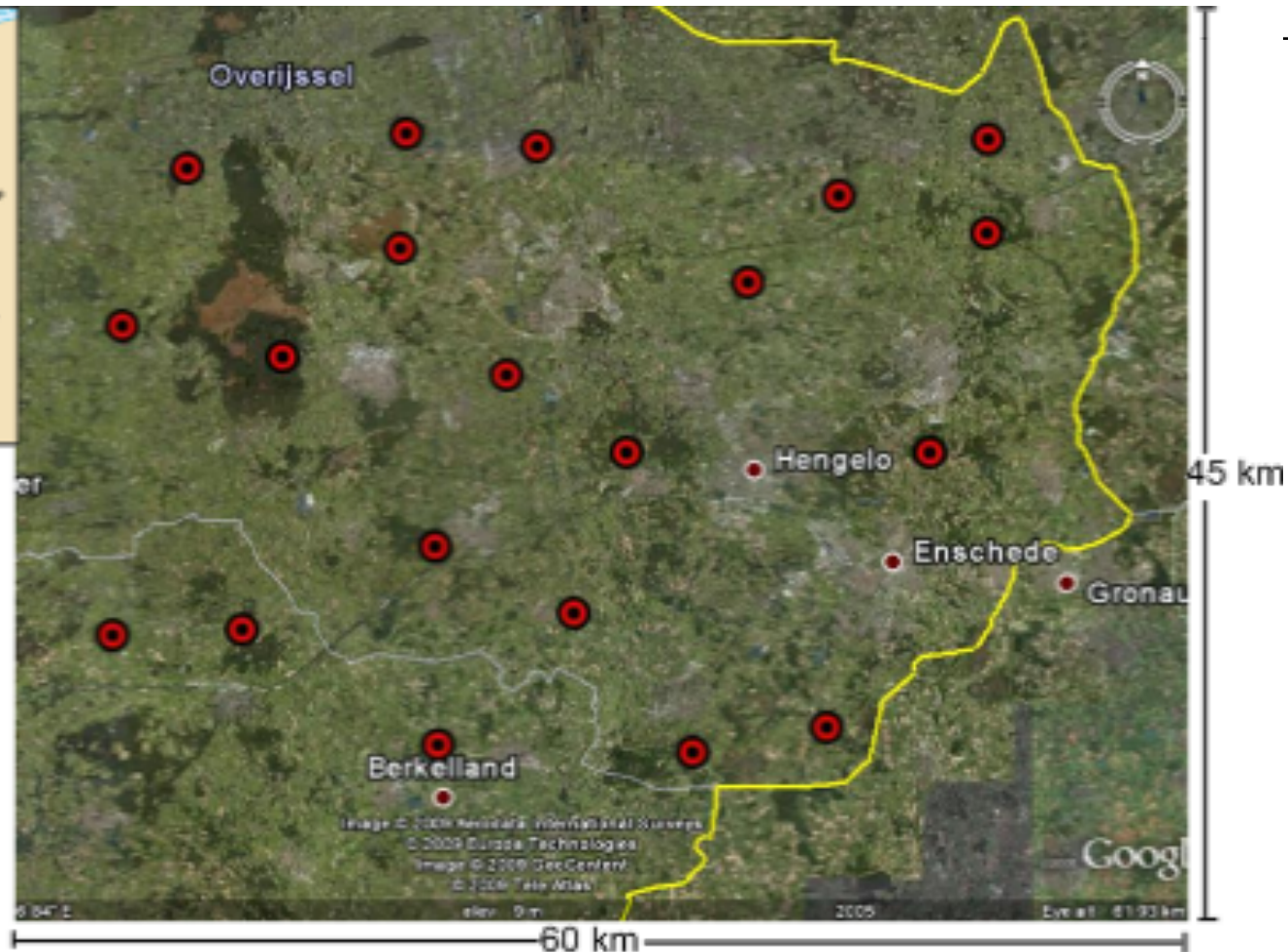
# Twente SMST Network – technical details



20 sites

(52°05'–52°27'N,  
6°05'–7°00'E).

EC-TM ECH2O  
probes (Decagon  
Devices, Inc., USA)



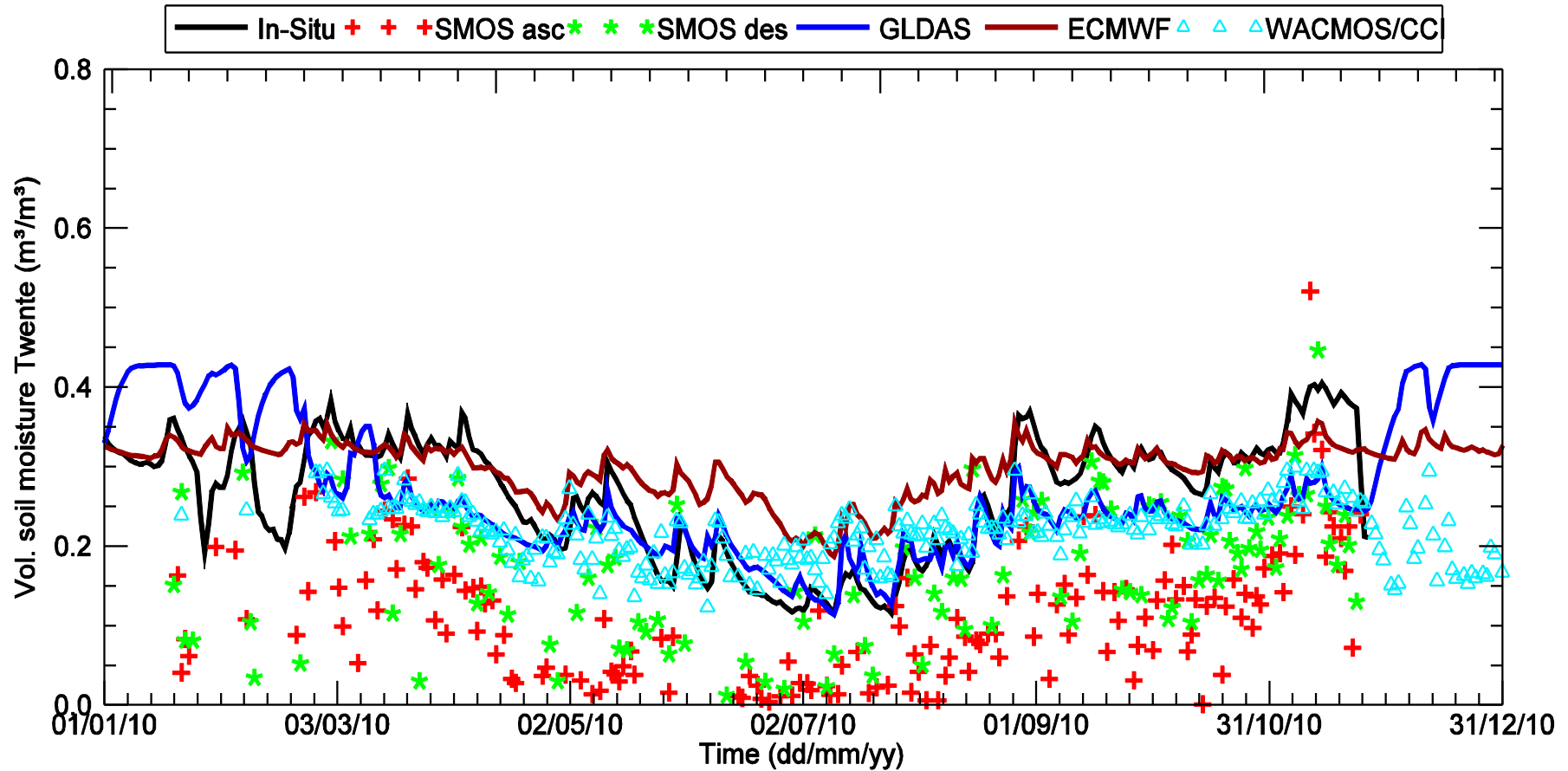


# Twente SMST Network – technical details

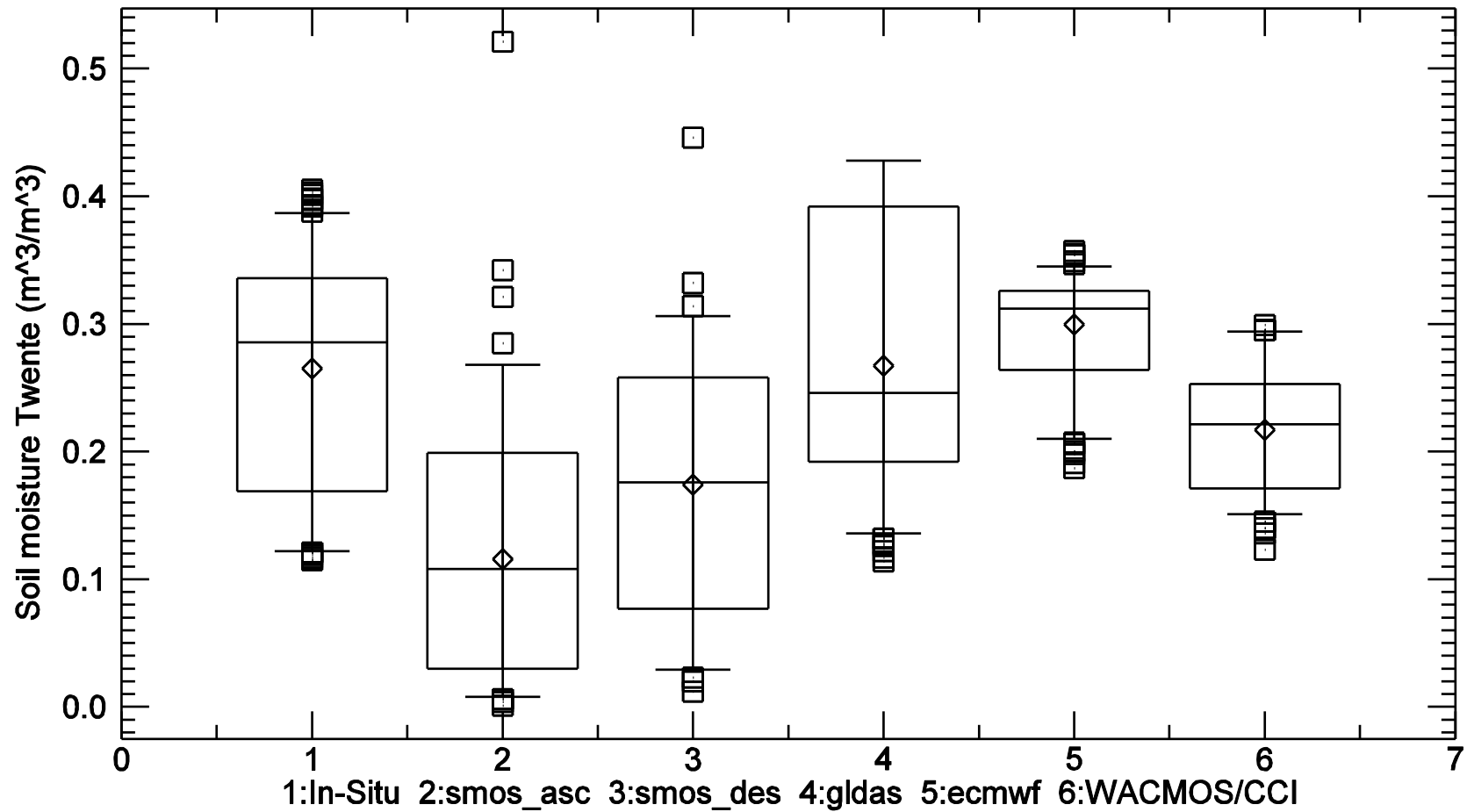




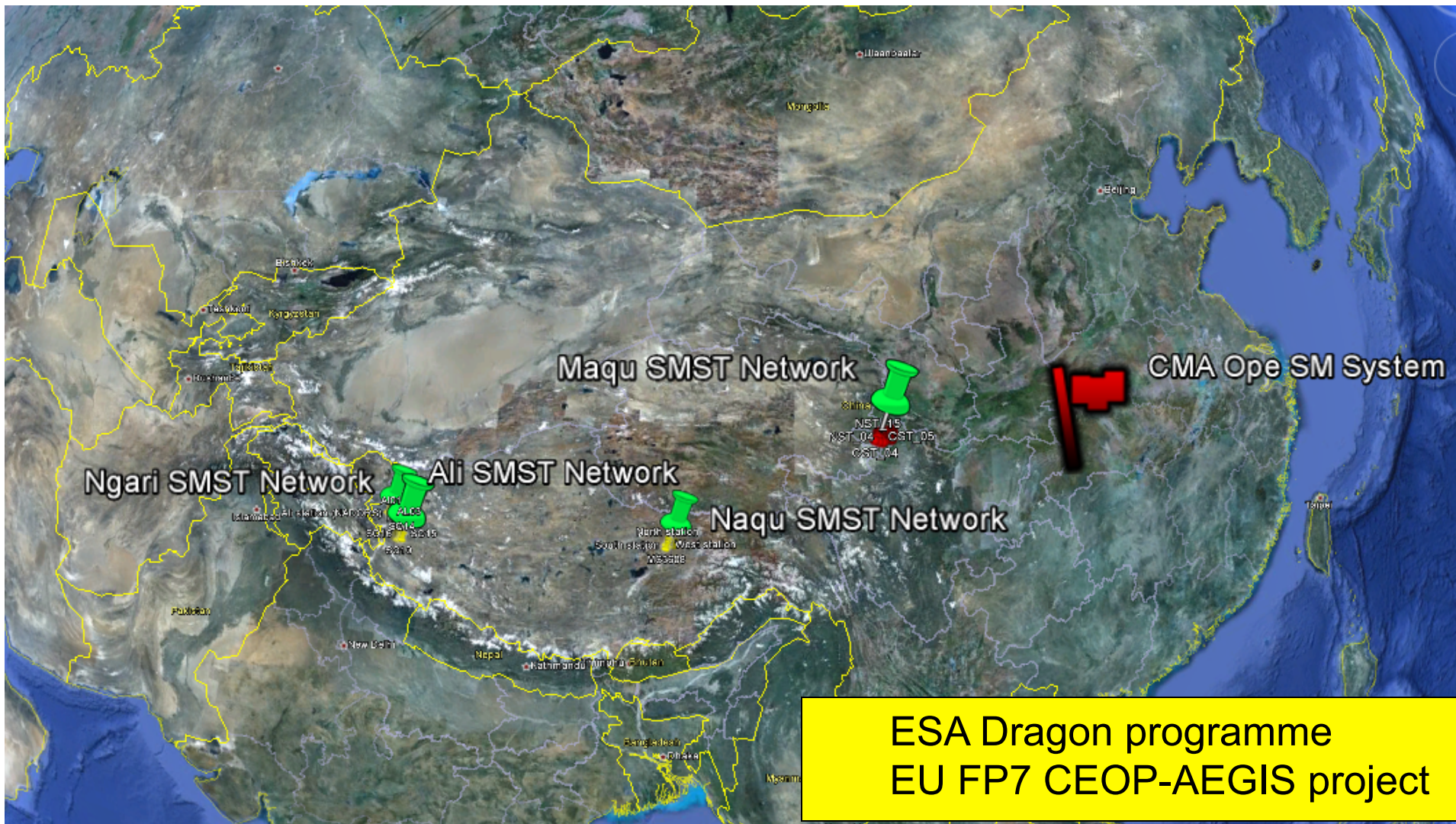
# Twente SMST Network – validation results



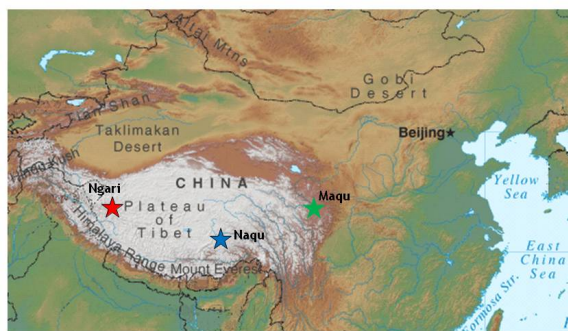
# Twente SMST Network – validation results



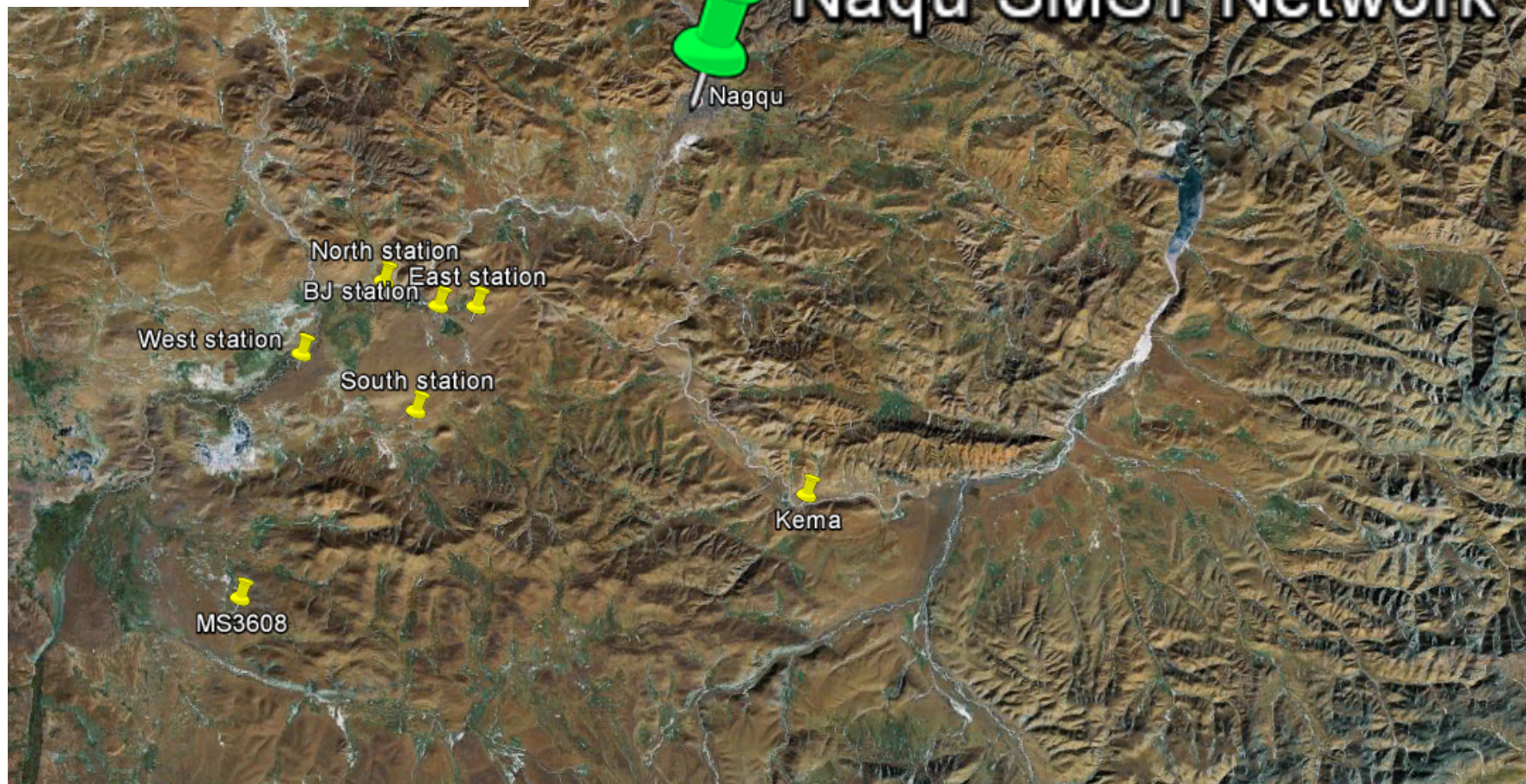
# Tibetan Plateau observatory of plateau scale soil moisture and soil temperature (Tibet-Obs)







- ★ - Naqu Network (June 2006)
- ★ - Maqu Network (July 2008)
- ★ - Ngari Network (June 2010)





# ITC Earth Observation Research and Education Sites

## The Role of the Tibetan Plateau in Global Climate

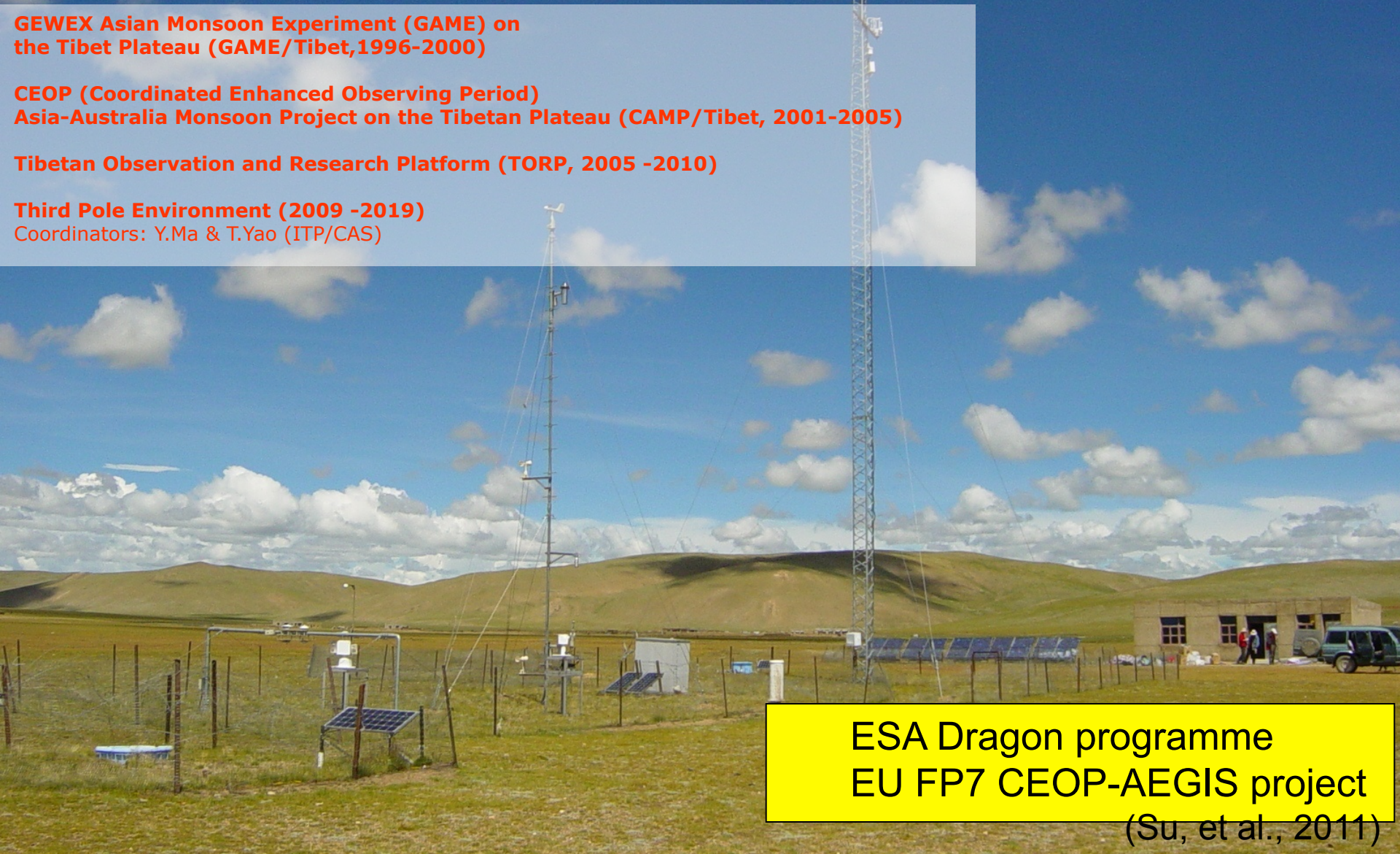
(Collaboration with Chinese Academy of Sciences)

**GEWEX Asian Monsoon Experiment (GAME) on the Tibet Plateau (GAME/Tibet, 1996-2000)**

**CEOP (Coordinated Enhanced Observing Period) Asia-Australia Monsoon Project on the Tibetan Plateau (CAMP/Tibet, 2001-2005)**

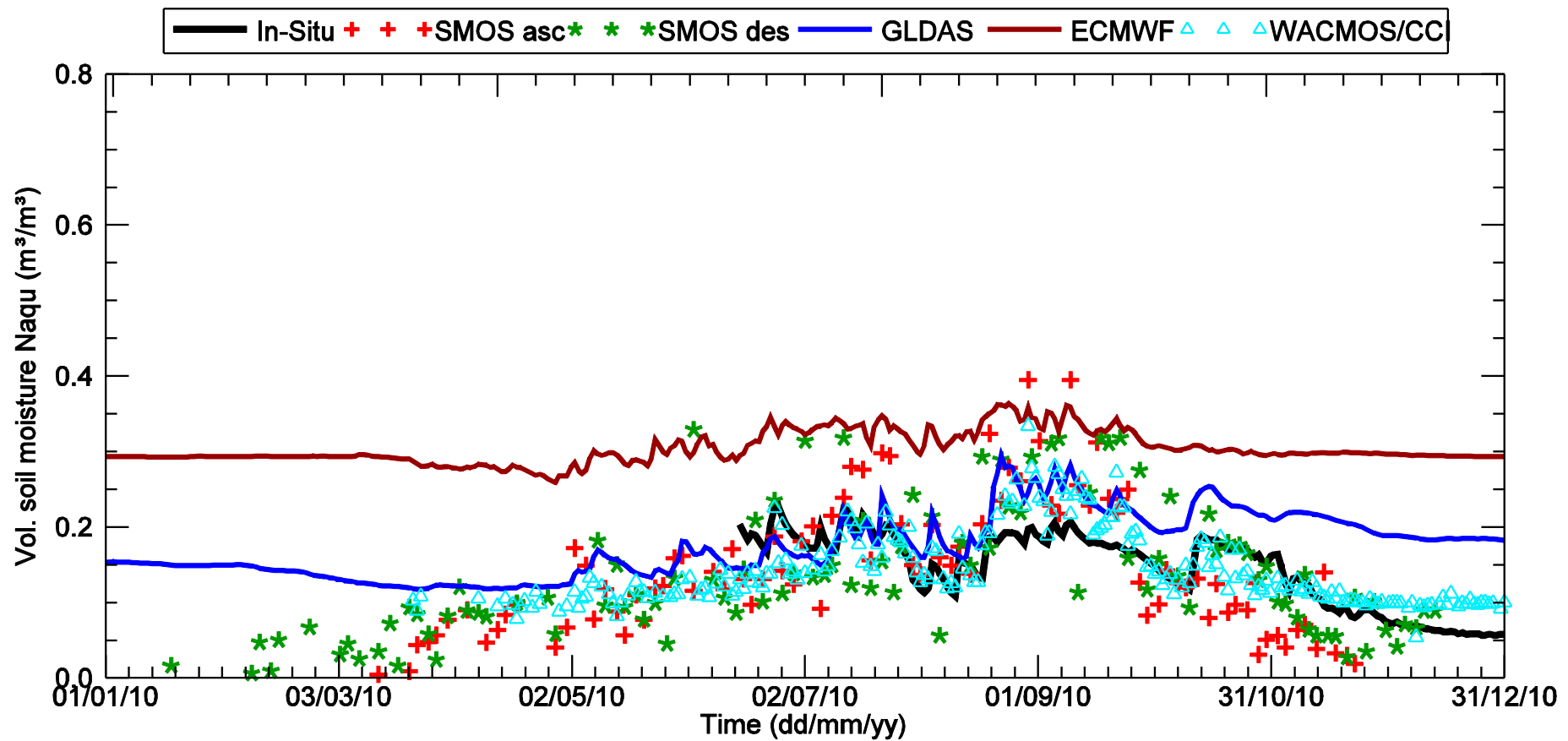
**Tibetan Observation and Research Platform (TORP, 2005 -2010)**

**Third Pole Environment (2009 -2019)**  
Coordinators: Y.Ma & T.Yao (ITP/CAS)



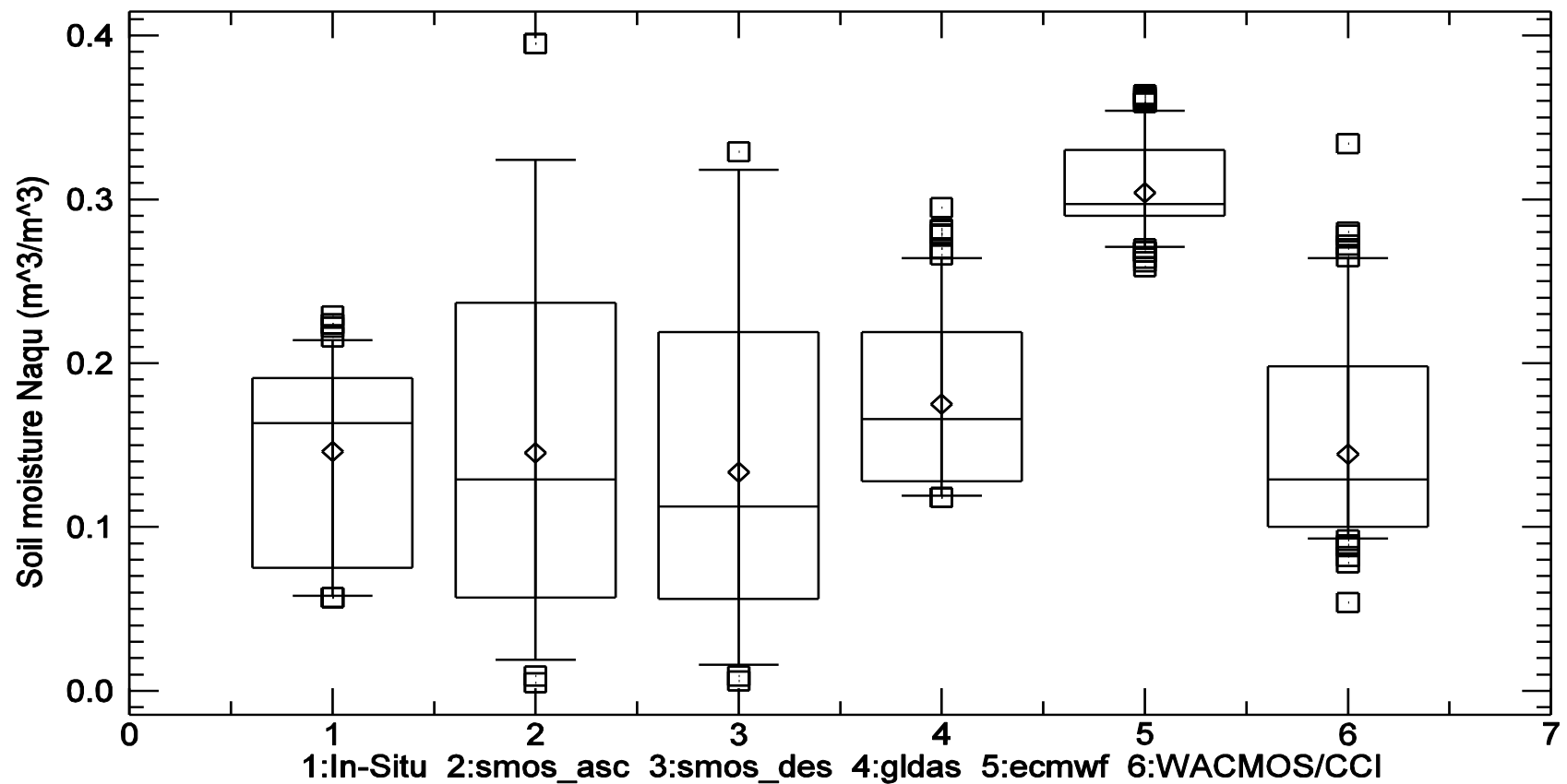
ESA Dragon programme  
EU FP7 CEOP-AEGIS project  
(Su, et al., 2011)

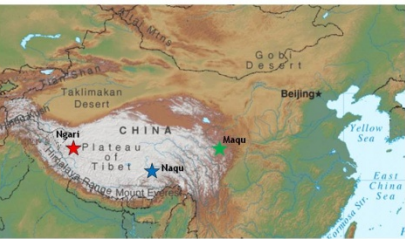
# Naqu SMST Network – validation results



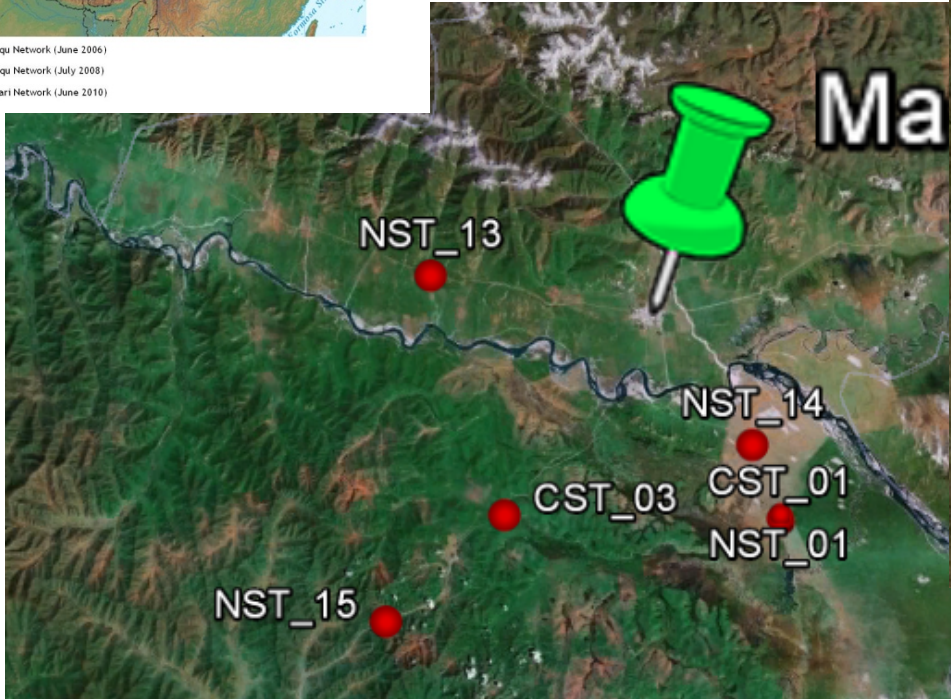


# Naqu SMST Network – validation results

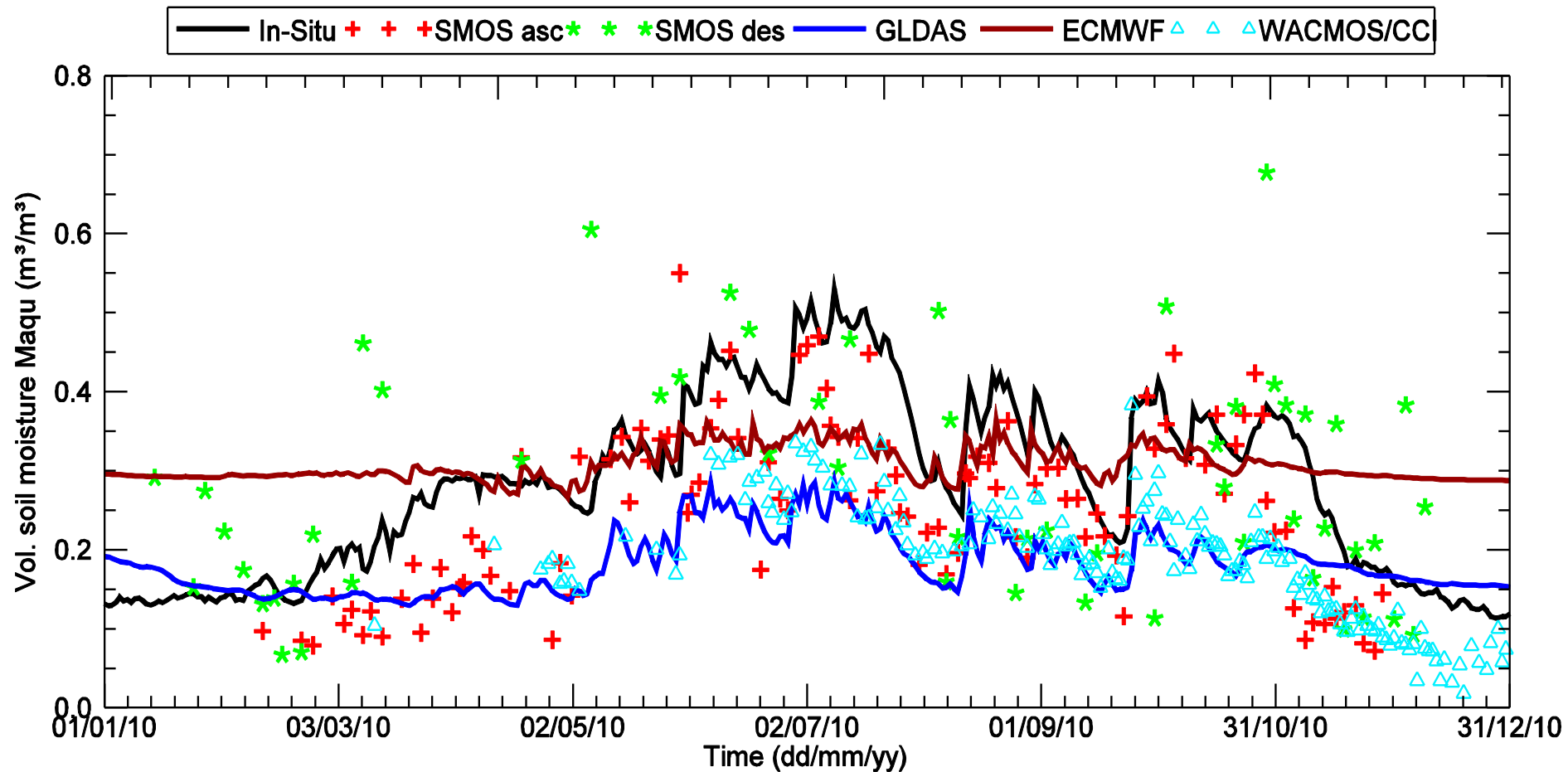




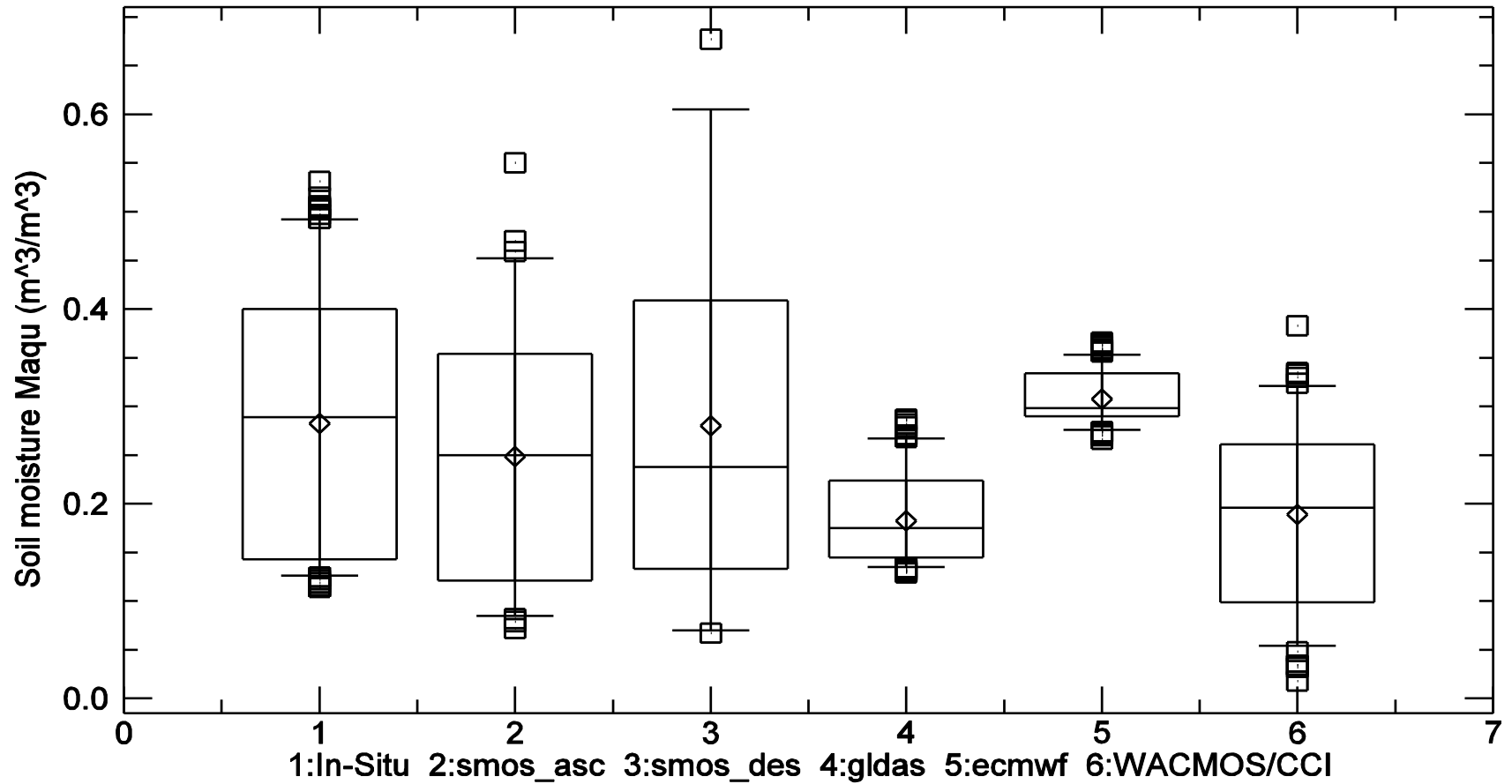
★ Naqu Network (June 2006)  
★ Maqu Network (July 2008)  
★ Ngari Network (June 2010)



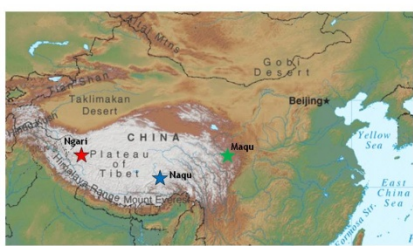
# Maqu SMST Network – validation results



# Maqu SMST Network – validation results





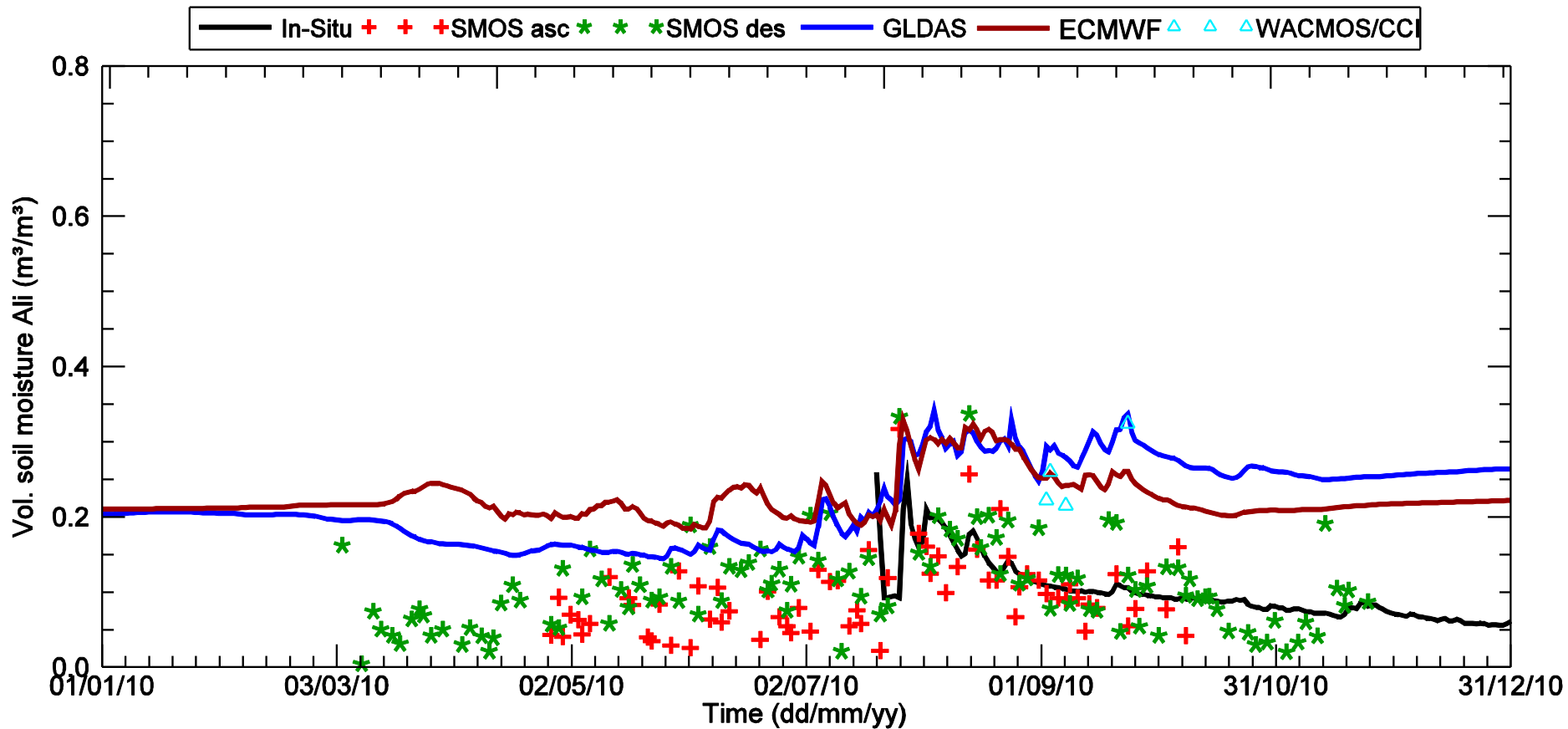


- ★ Maqu Network (June 2006)
- ★ Maqu Network (July 2008)
- ★ Ngari Network (June 2010)



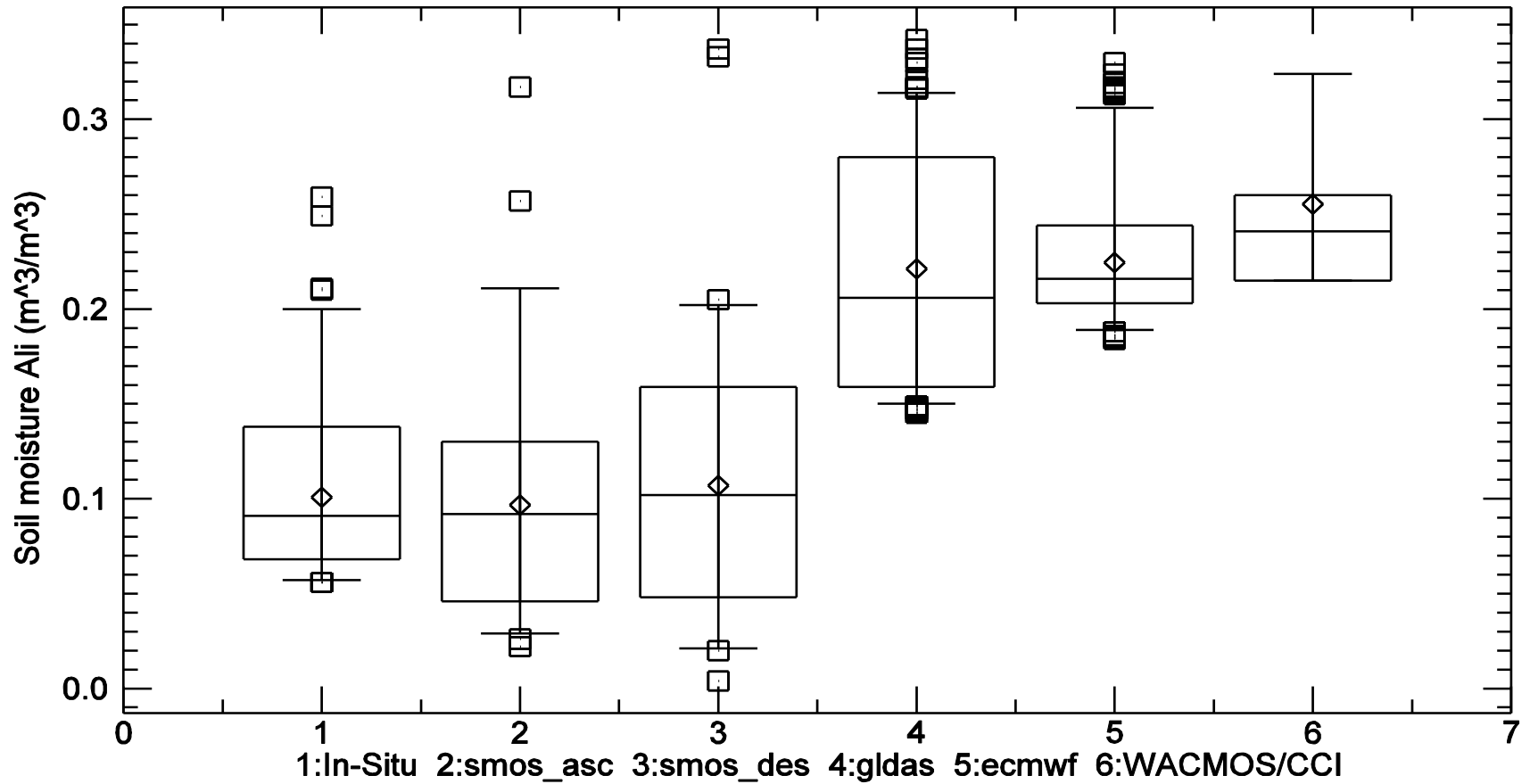
Arid Area

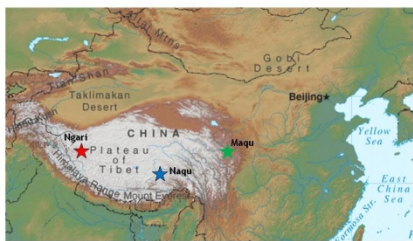
# Ali SMST Network – validation results





# Ali SMST Network – validation results

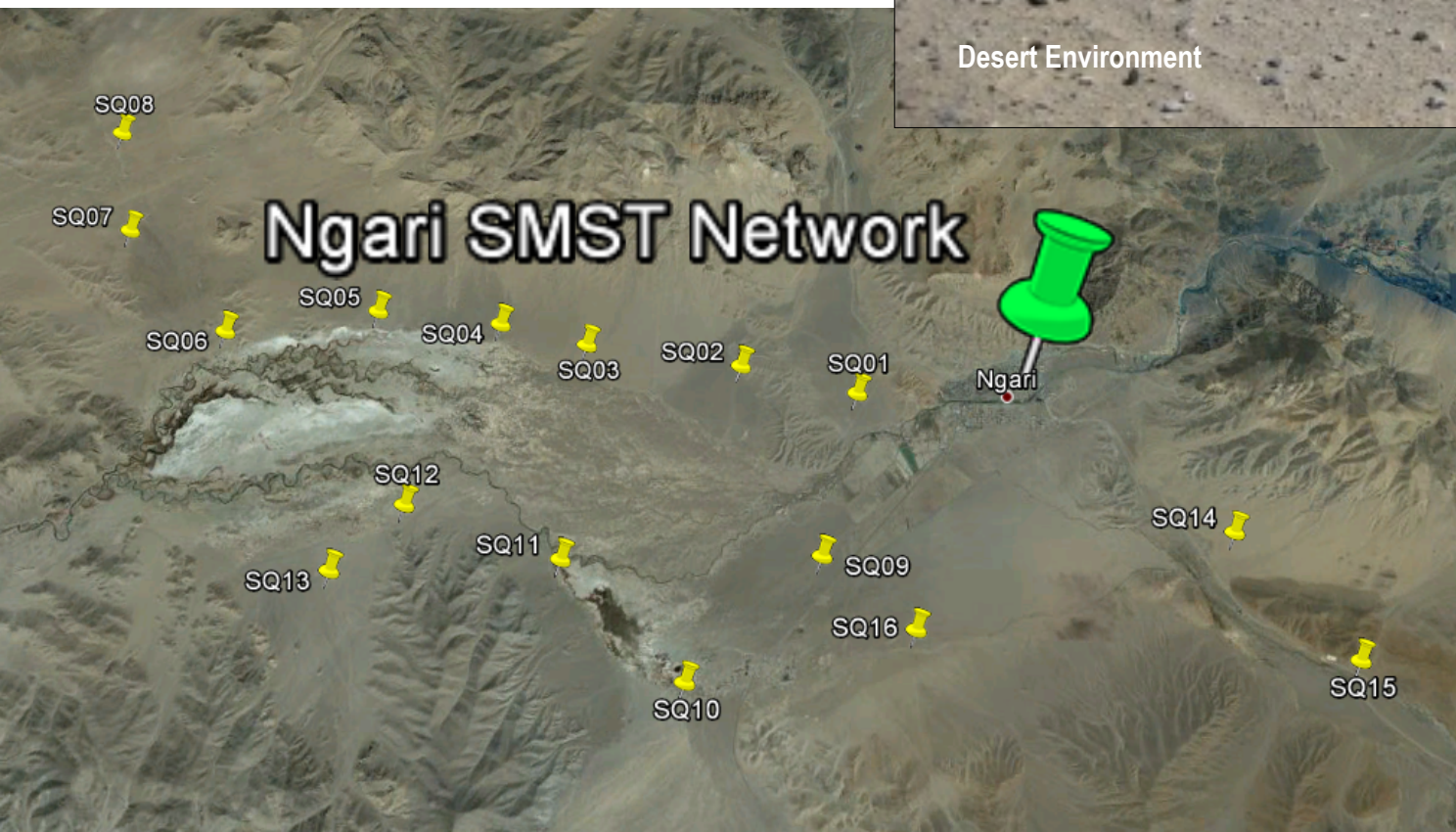




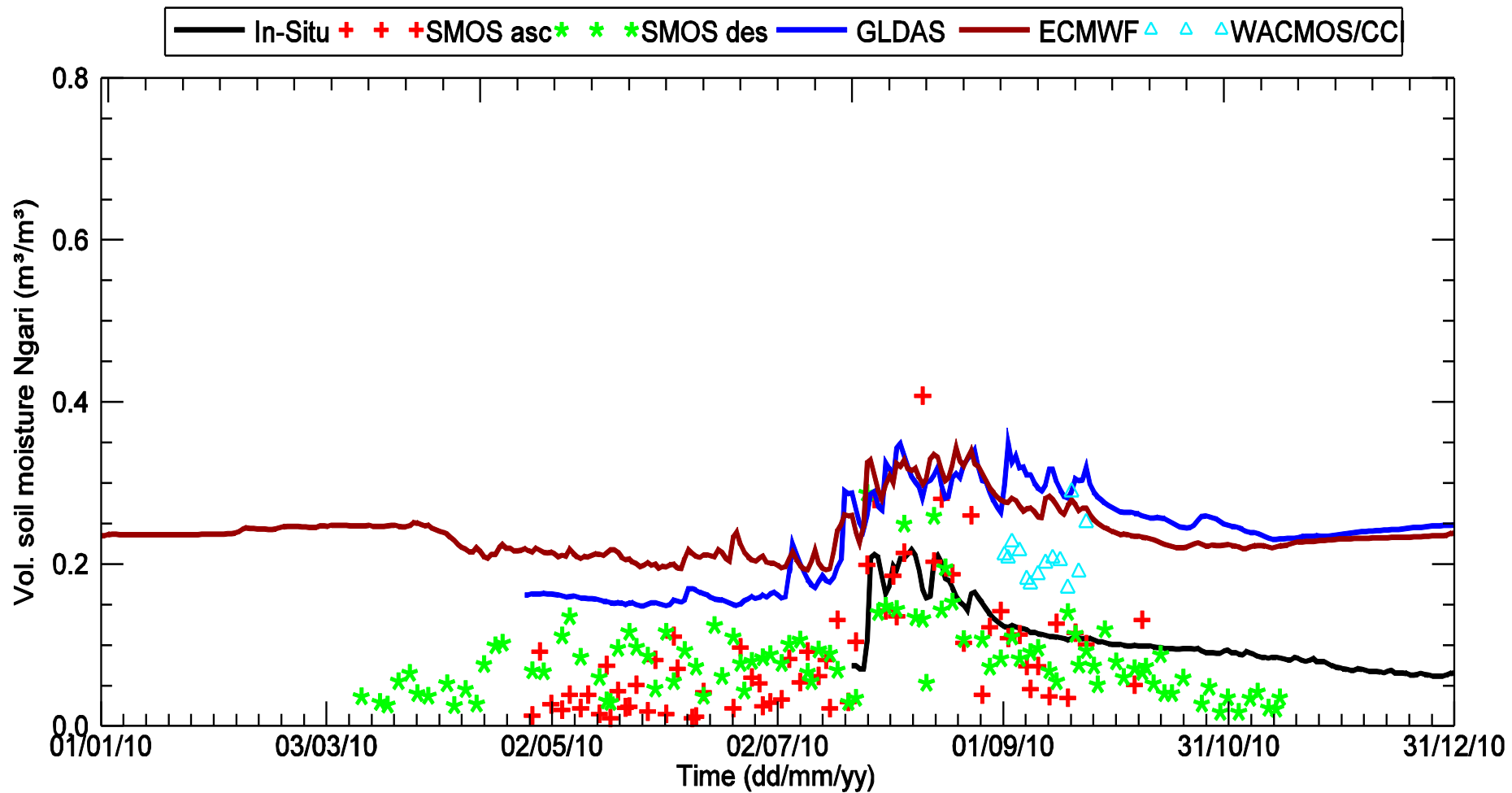
- ★ - Maqu Network (June 2006)
- ★ - Maqu Network (July 2008)
- ★ - Ngari Network (June 2010)



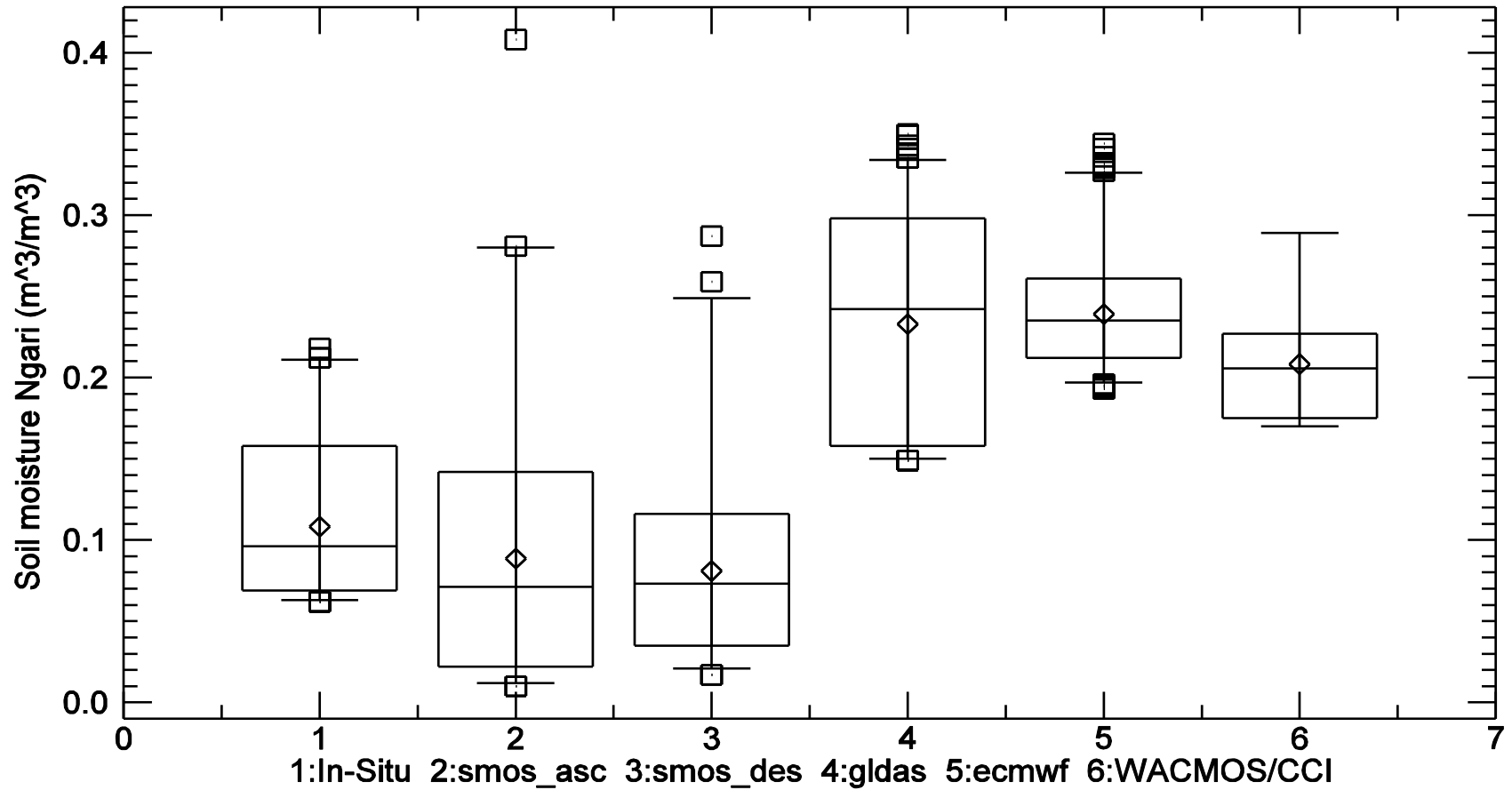
Desert Environment



# Ngari SMST Network – validation results



# Ngari SMST Network – validation results

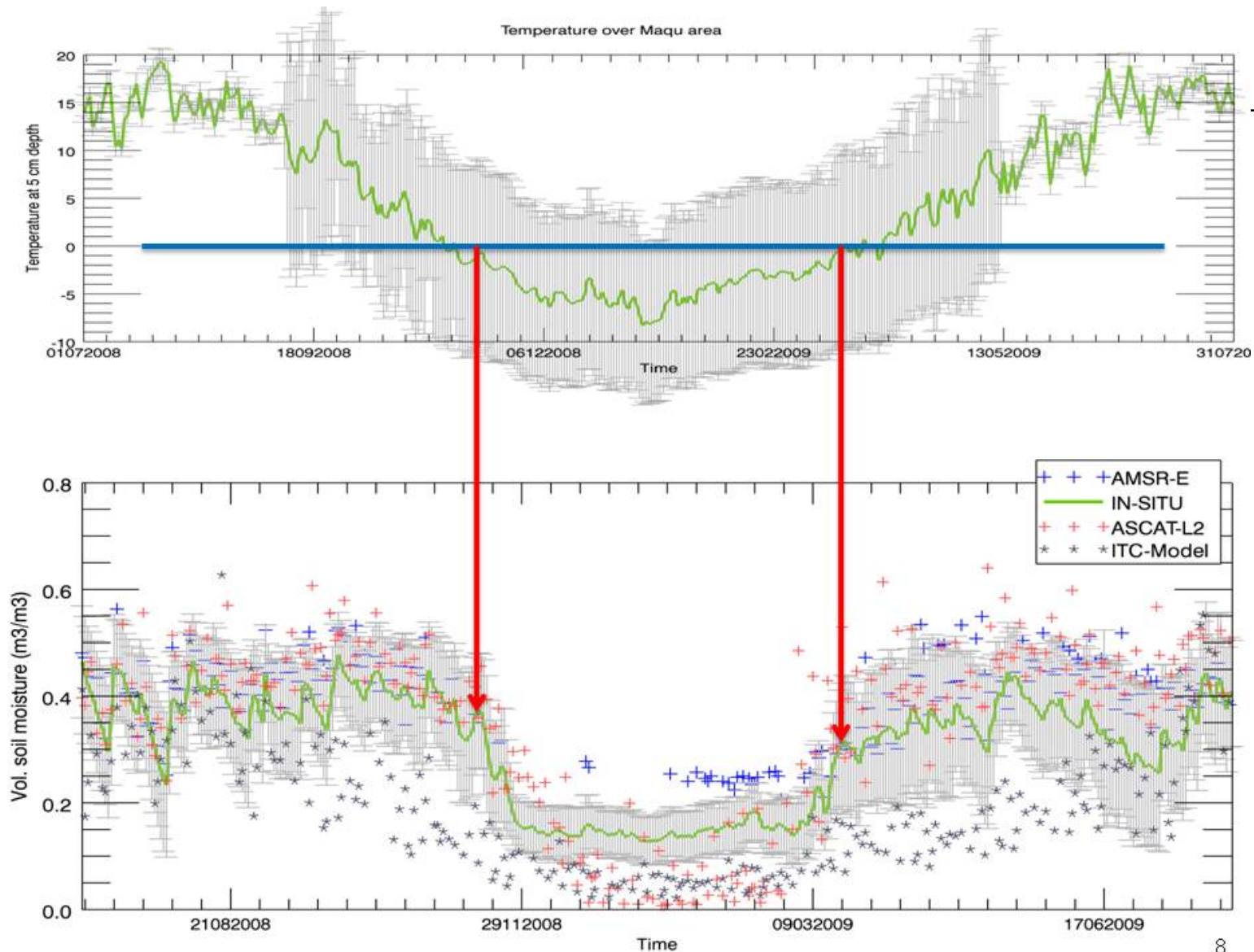


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## **Some current research examples**

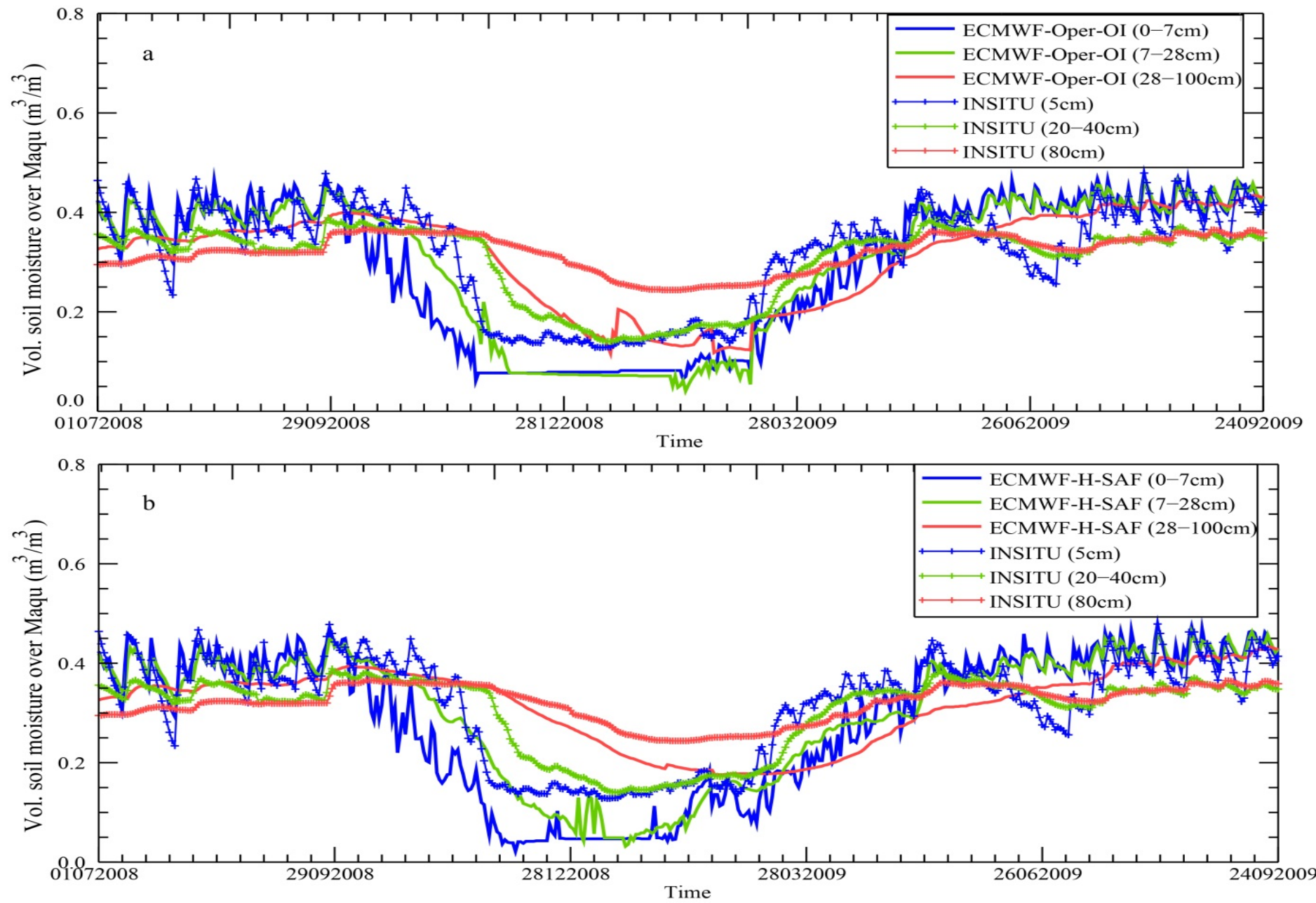
# Quantification of uncertainties in global products

(Su, et al., 2011)

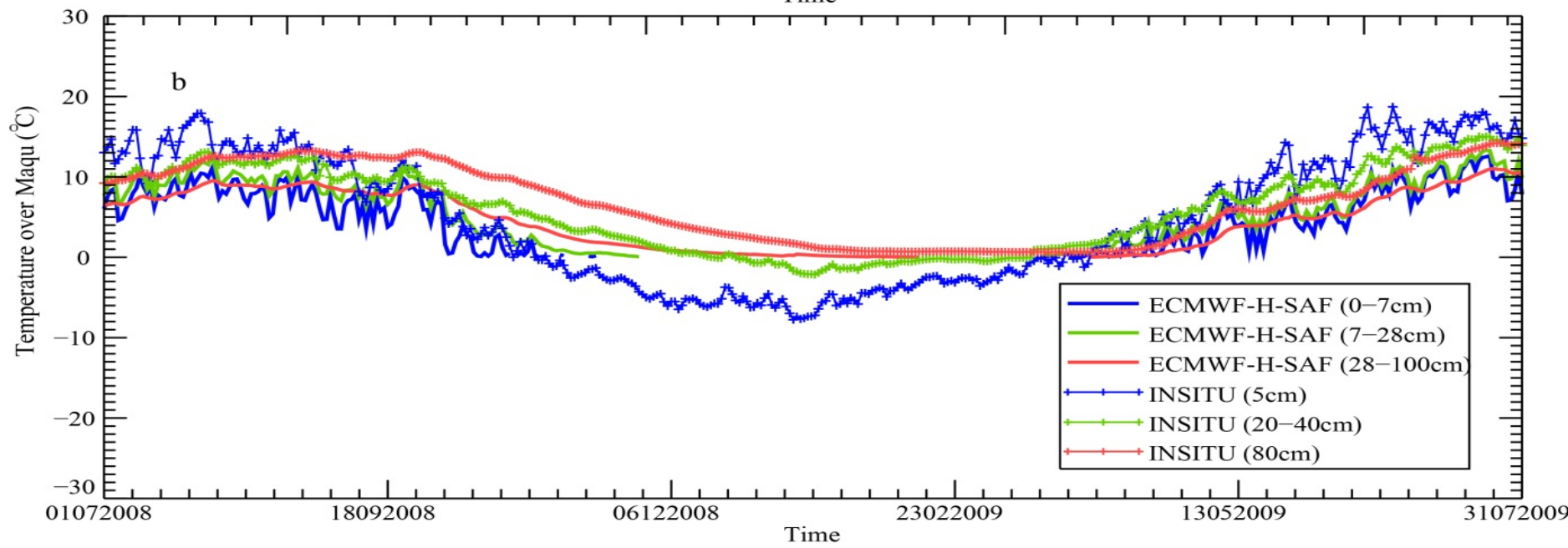
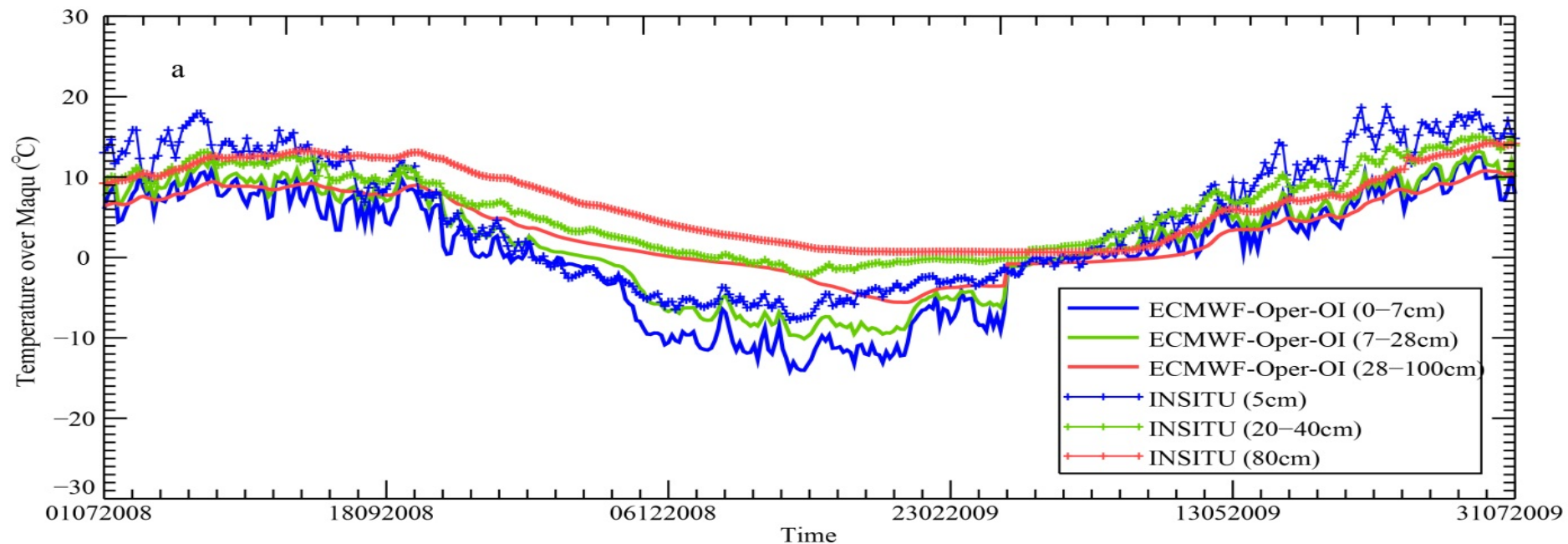




# How good is soil moisture analysis/assimilation? (Su & de Rosnay, et al. 2013)



# How good is soil temperature simulation/analysis? (Su & de Rosnay, et al. 2013)



# An Improved Two-layer Algorithm for Estimating Effective Soil Temperature using L-band Radiometry (Lv et al., 2014, RSE)

$$T_B = \varepsilon T_{eff}$$

$$T_{eff} = \int_0^\infty T(x) \alpha(x) \exp\left[-\int_0^x a(x') dx'\right] dx \quad (\text{Ulaby et al. 1978; 1979})$$

$$\alpha(x) = \frac{4\pi}{\lambda} \varepsilon''(x) / 2[\varepsilon'(x)]^{\frac{1}{2}} \quad (\text{Wilheit 1978})$$

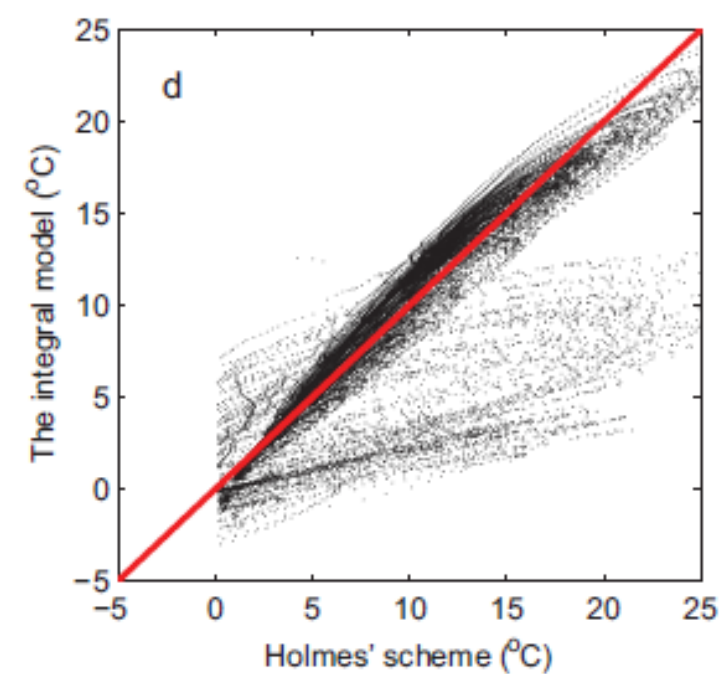
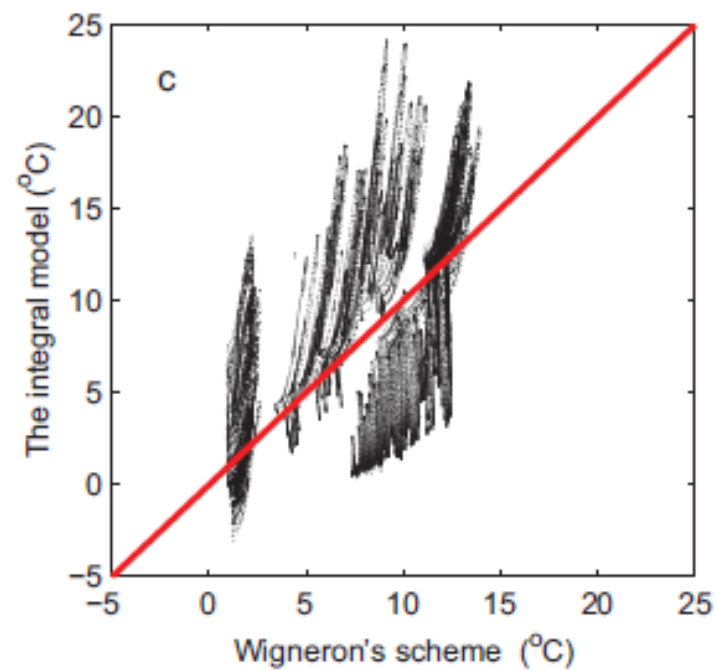
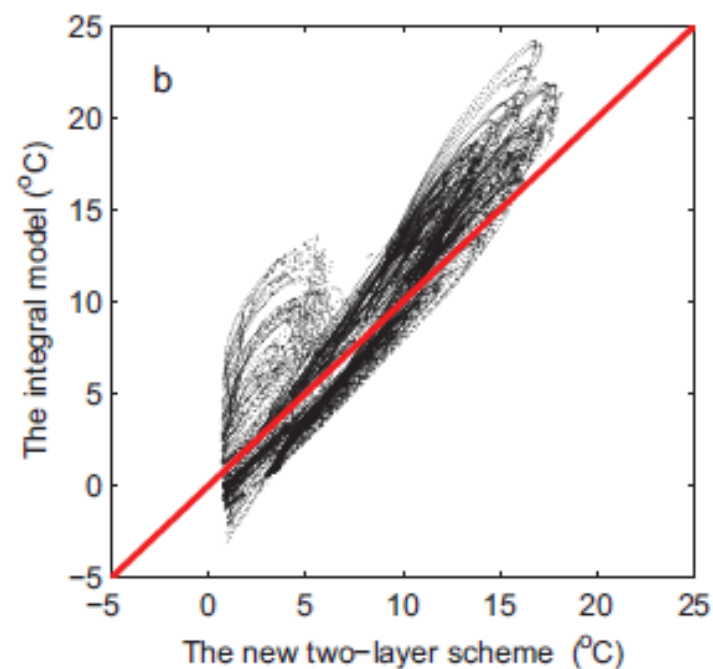
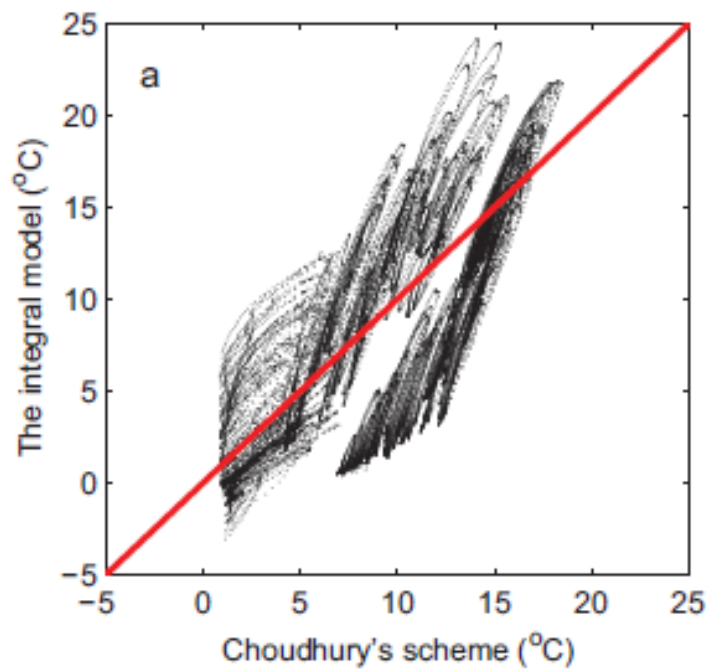
A two-layer system:

$$T_{eff} = T_0 (1 - e^{-B_0}) + T_\infty e^{-B_0}$$

$$B_0 = \alpha_1 x_1$$

$$B_0 = \Delta x \cdot \frac{4\pi}{\lambda} \cdot \frac{\varepsilon''}{2\sqrt{\varepsilon'}}$$

$$\begin{aligned} C &= 1 - e^{-B_0} \\ &= 1 - \exp(-\Delta x \alpha_1) \\ &= 1 - \exp\left(-\Delta x \cdot \frac{4\pi}{\lambda} \cdot \frac{\varepsilon''}{2\sqrt{\varepsilon'}}\right) \end{aligned}$$





# Tor Vergata Model – Simultaneous Modeling Of Active And Passive Microwave Signatures

- To use a single discrete scattering model to simulate both emission and backscattering, with a unique set of input parameters
- To combine the use of active and passive microwave satellite signatures to constrain the model
- To improve the modelling and understanding of microwave emissivity and backscattering coefficient over grassland with litter
- To contribute to an optimal use of SMAP-like data
- To improve the soil moisture retrieval

*L. Dente, P. Ferrazzoli, Z. Su, R. van de Velde, L. Guerriero, 2014, Combined use of active and passive microwave satellite data to constrain a discrete scattering model, RSE.*



## PRELIMINARY ANALYSIS:

Model sensitivity to unavailable variables

## RESULTS:

Soil moisture and LAI (i.e. the measured variables) are the most important model inputs to simulate the temporal variability of emission and backscattering.

The unavailability of several model inputs can be managed by a proper parameter tuning.

height standard deviation

Calibrated

correlation length

Calibrated

autocorrelation function

Exponential

▪ Litter moisture content

Related to soil moisture, calibrated

▪ Litter biomass

Calibrated

▪ Leaf Area Index

MODIS/Terra+Aqua LAI 8-day

▪ Leaves: disc radius

Calibrated

▪ Leaves: disc thickness

0.02 cm

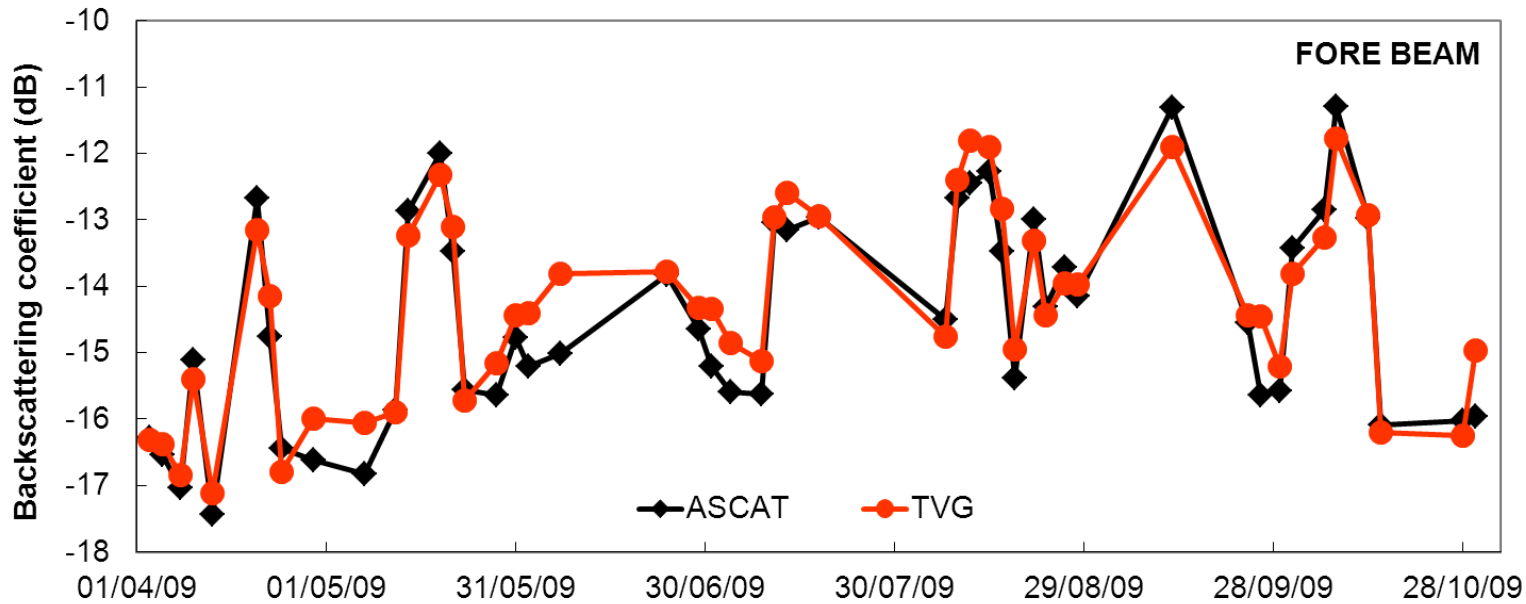
▪ Leaves: disc angular distribution

Random

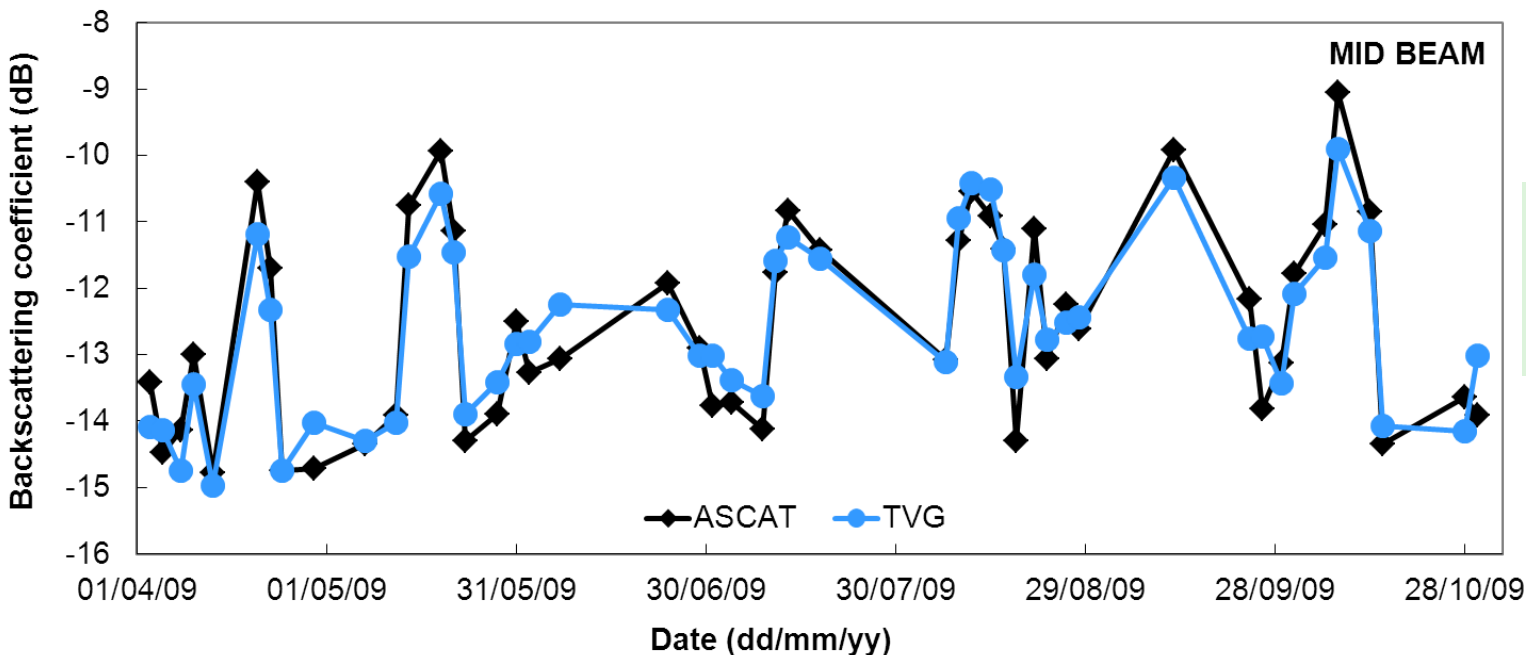
▪ Plant moisture content

Calibrated

# RESULTS: MODEL CALIBRATION (2009) – ACTIVE CASE

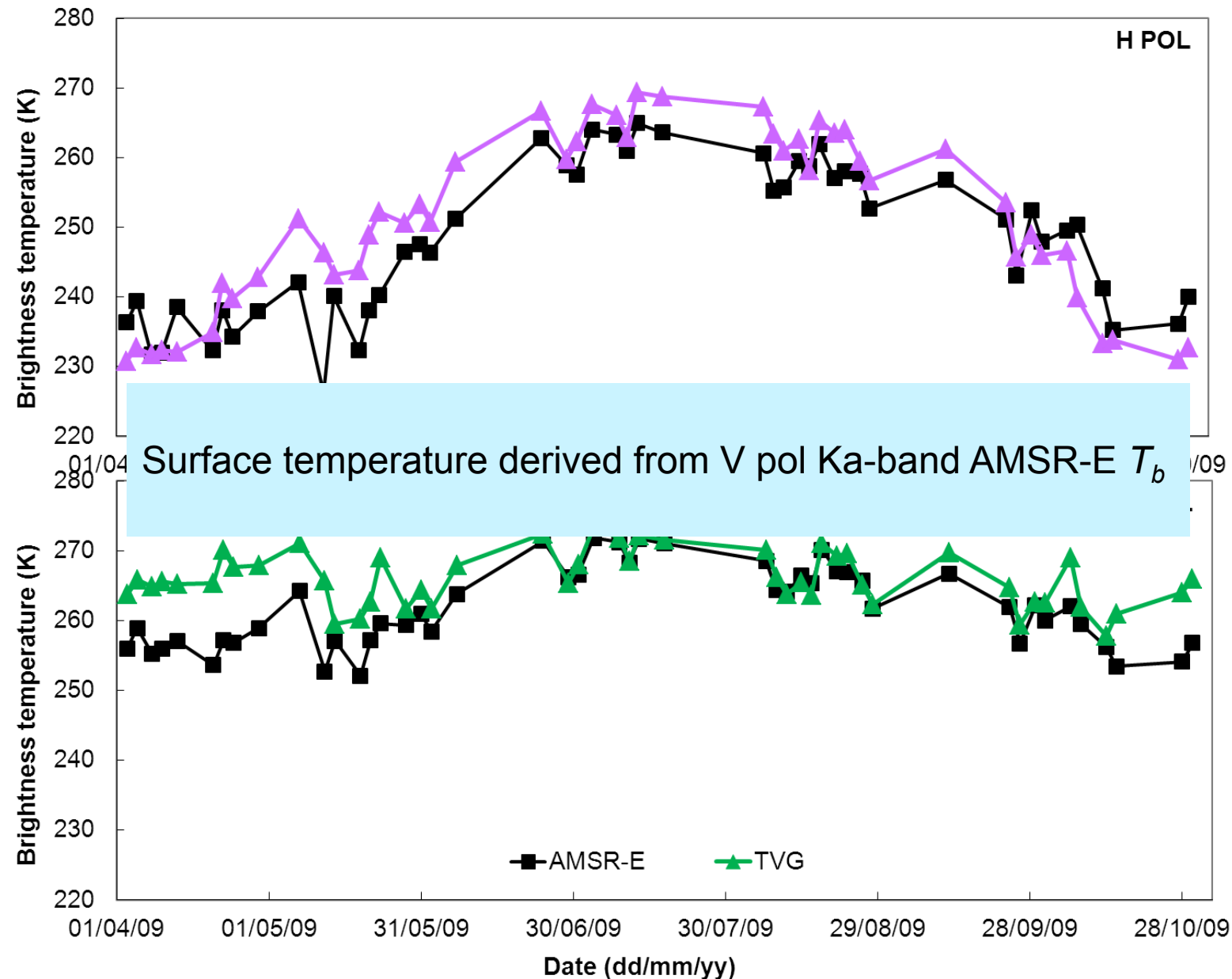


$R^2 = 0.9$   
 $rmse = 0.5$  dB  
 $bias = 0.2$  dB



$R^2 = 0.9$   
 $rmse = 0.5$  dB  
 $bias = -0.04$  dB

# RESULTS: MODEL CALIBRATION (2009) – PASSIVE CASE (1)

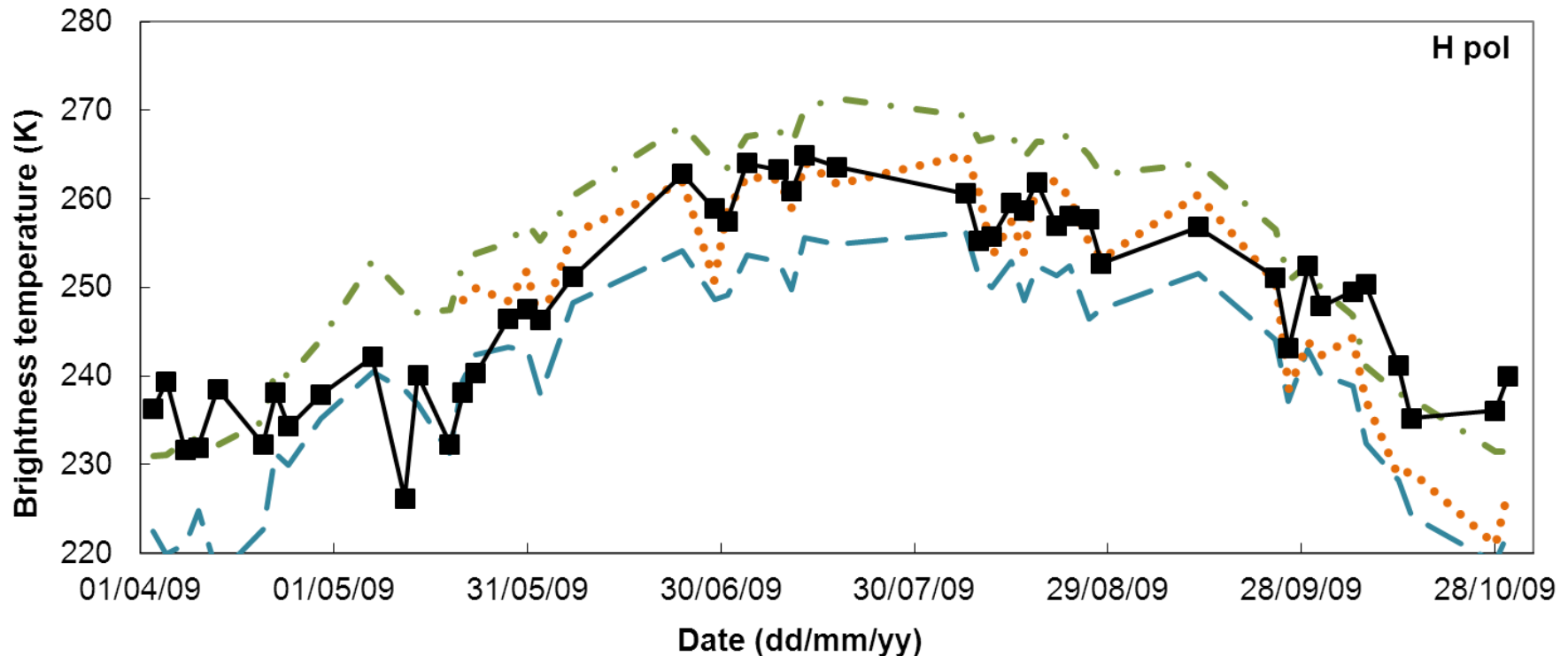


$R^2 = 0.8$   
 $rmse = 6.3$  K  
 $bias = 2.7$  K

$R^2 = 0.5$   
 $rmse = 5.9$  K  
 $bias = 4.3$  K

# RESULTS: MODEL CALIBRATION (2009) – PASSIVE CASE (2)

... when a different surface temperature is used.



— • — 5 cm depth data

— — — ERA interim

• • • LW upward radiation

— ■ — AMSR-E

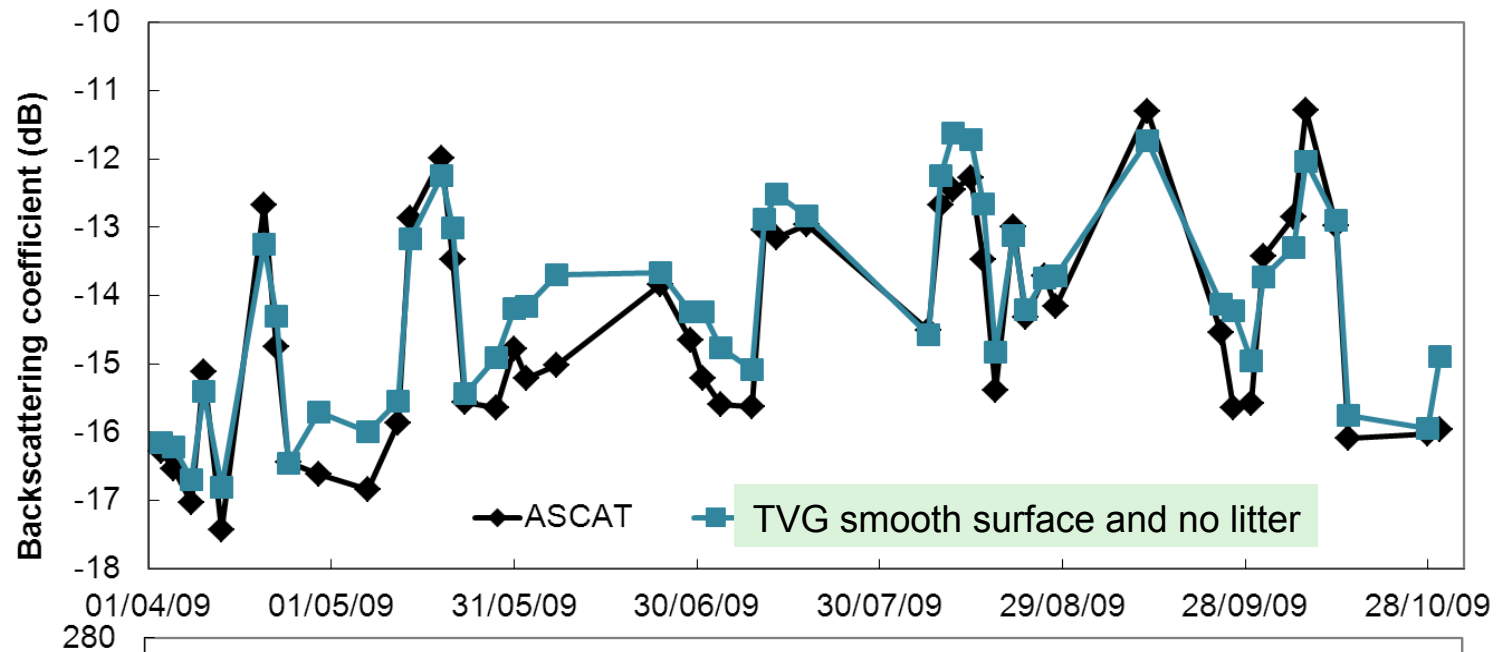
$R^2 = 0.8$   
 $rmse = 8.2$  K  
 $bias = 5.1$  K

$R^2 = 0.8$   
 $rmse = 9.7$  K  
 $bias = -7.8$  K

$R^2 = 0.7$   
 $rmse = 6.2$  K  
 $bias = -1.7$  K



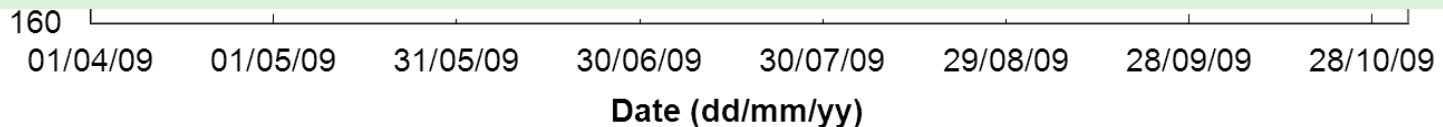
# IF ONLY THE ACTIVE MICROWAVE DATA WERE USED ...



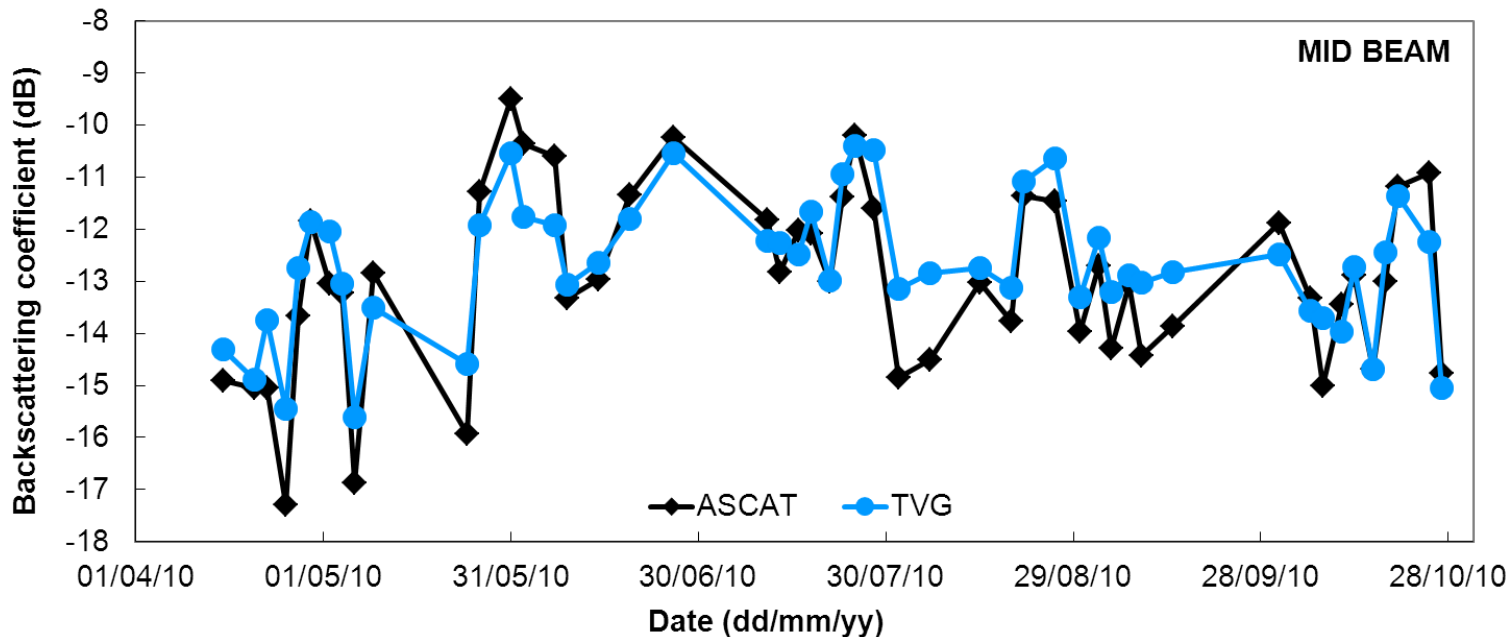
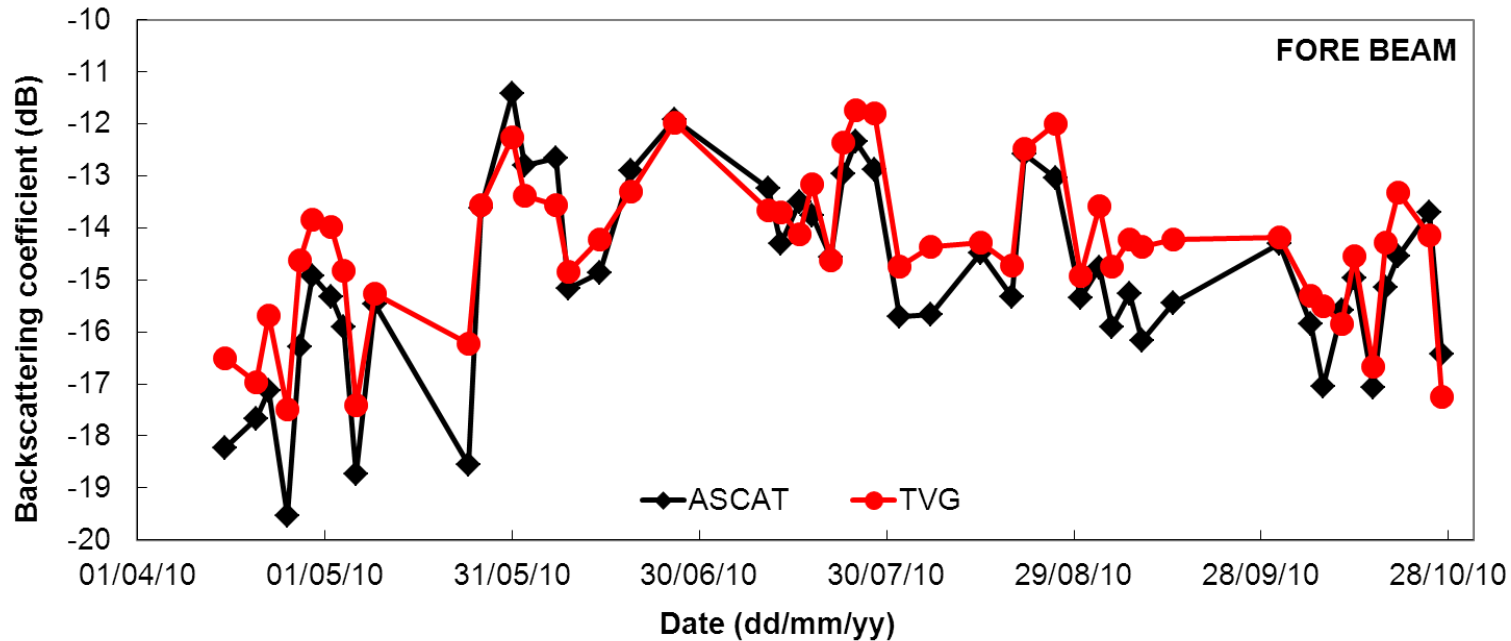
... a good match with ASCAT observations was possible with unrealistic assumptions:

- absence of litter
- smooth surface

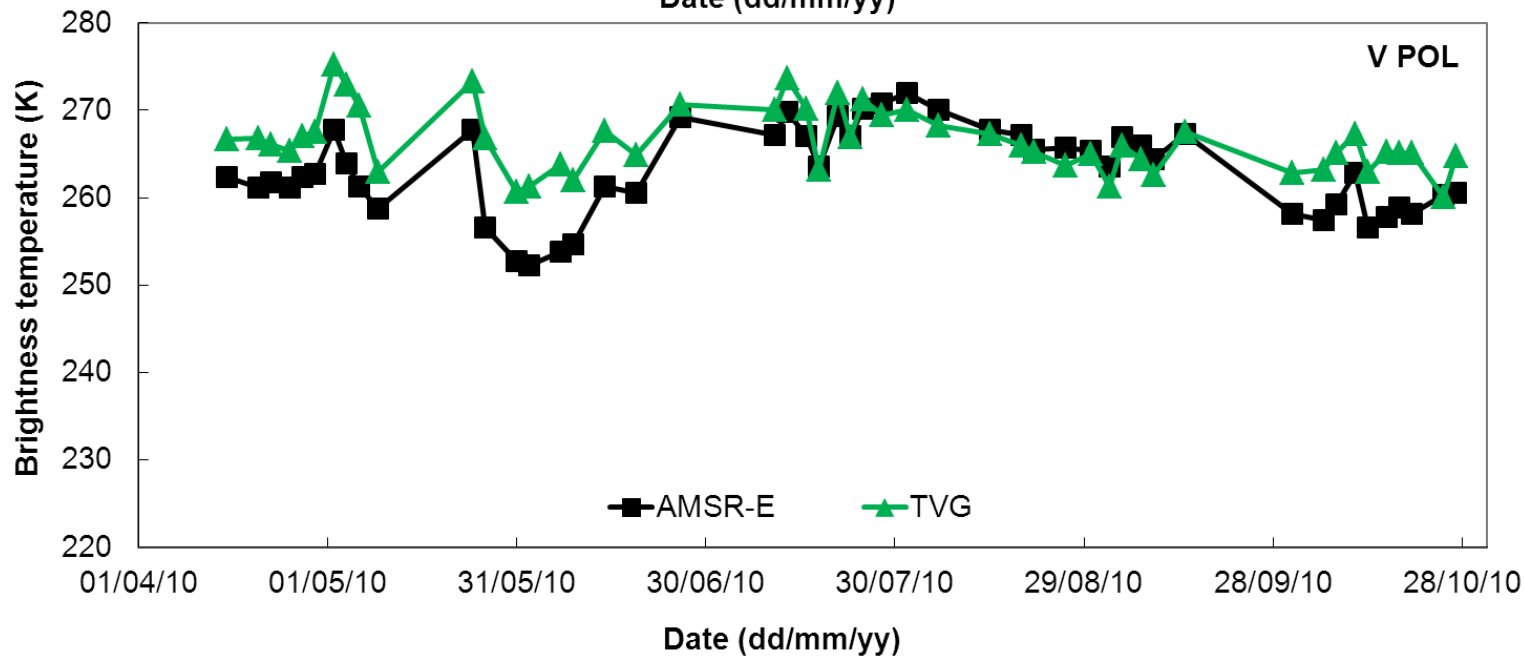
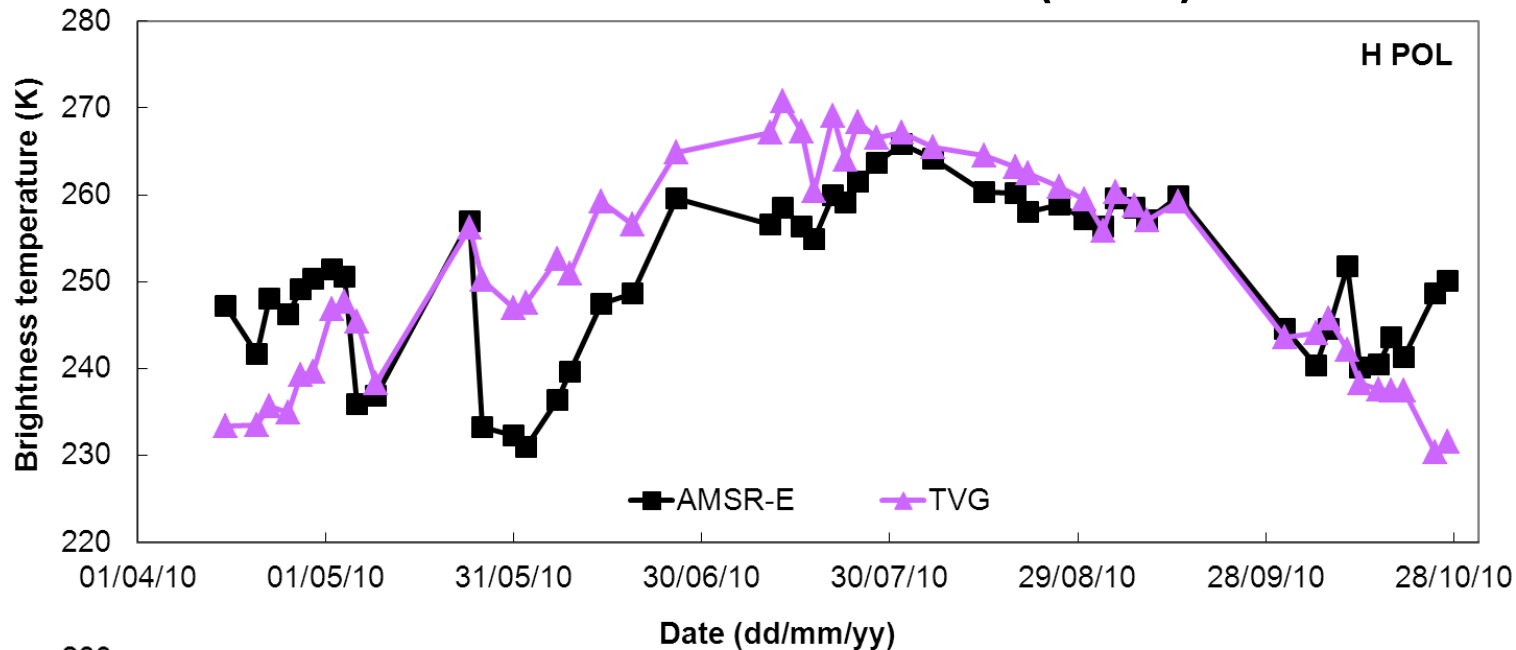
However, the same assumptions led to a large underestimation of  $T_b$ !



# RESULTS: MODEL VALIDATION (2010) – ACTIVE CASE

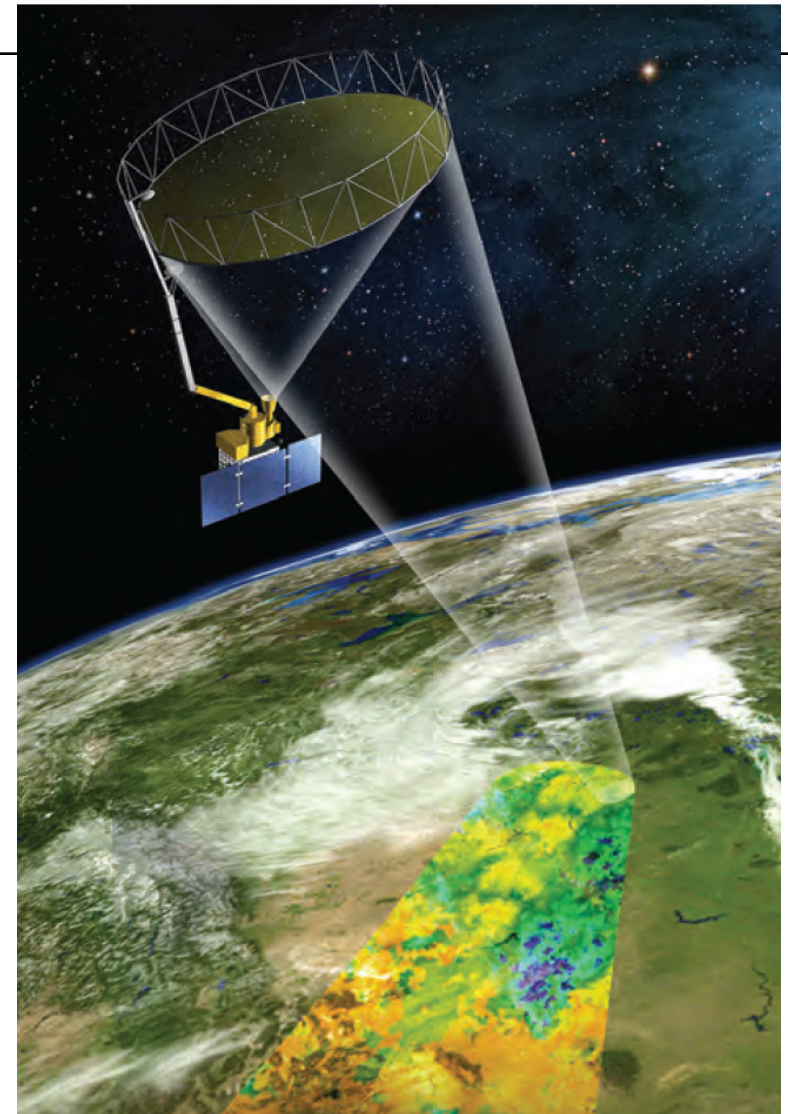
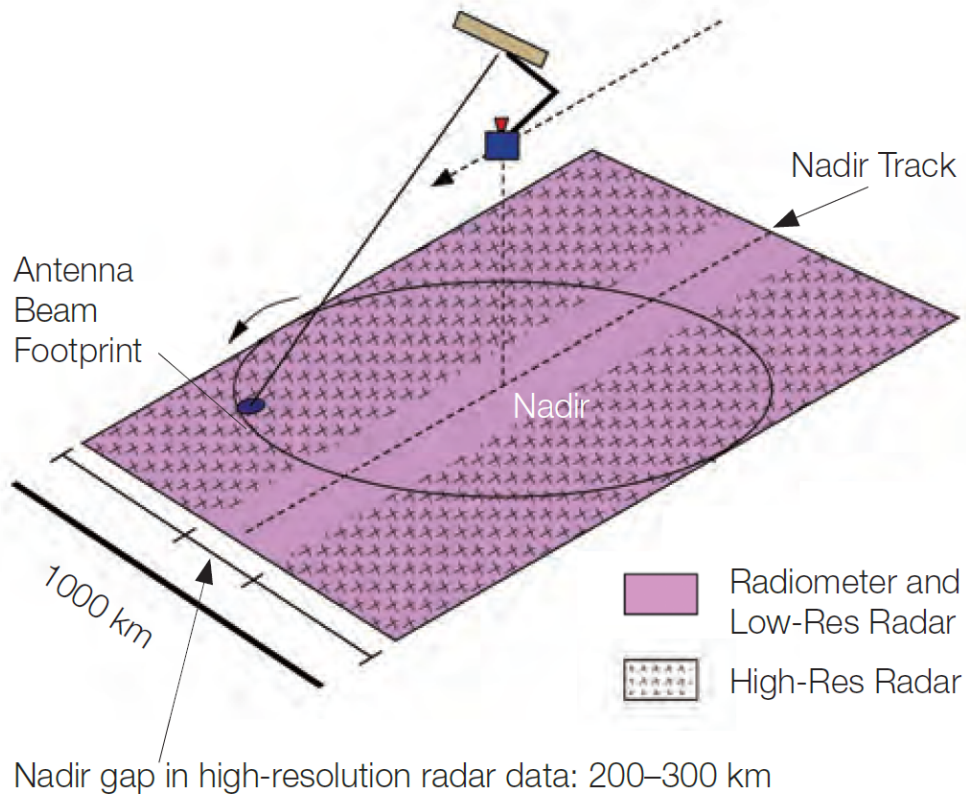


# RESULTS: MODEL VALIDATION (2010) – PASSIVE CASE



# NASA SMAP – SOIL MOISTURE ACTIVE PASSIVE

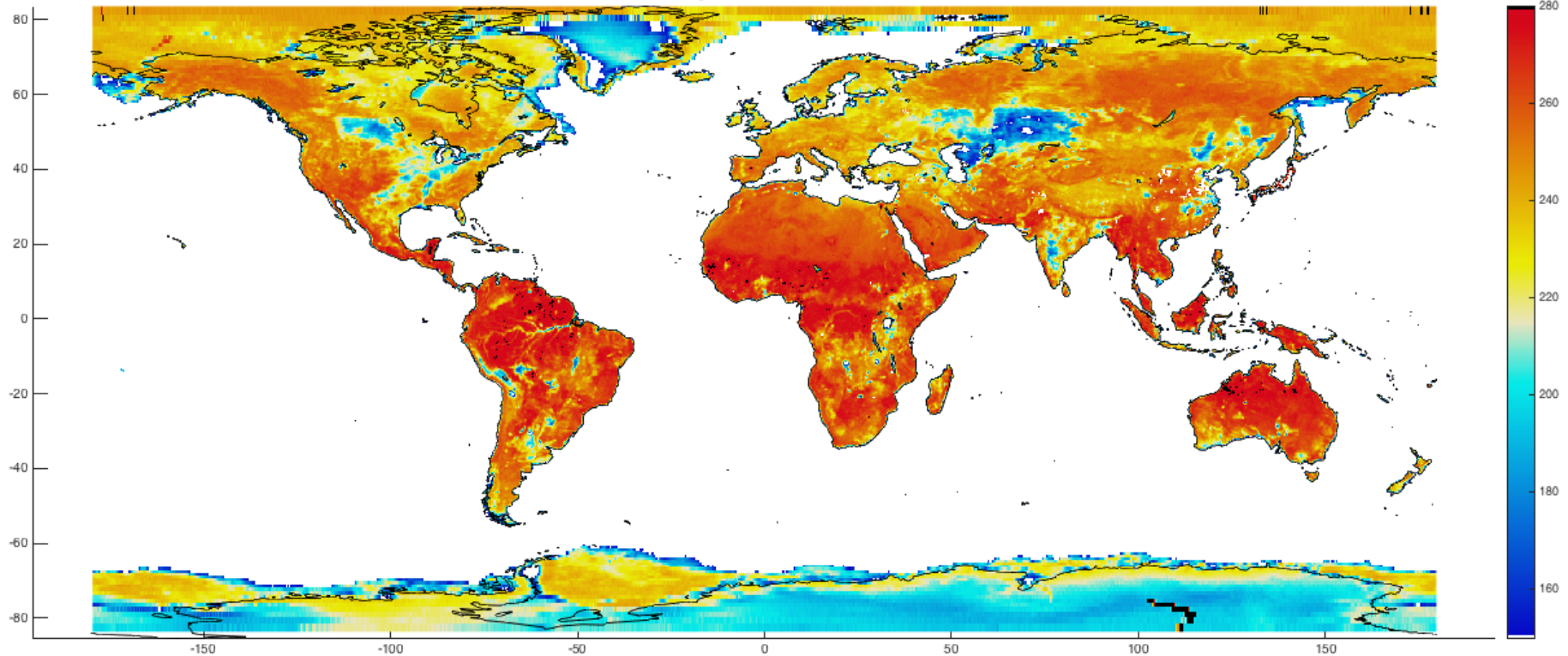
LAUNCHED JAN 31 2015





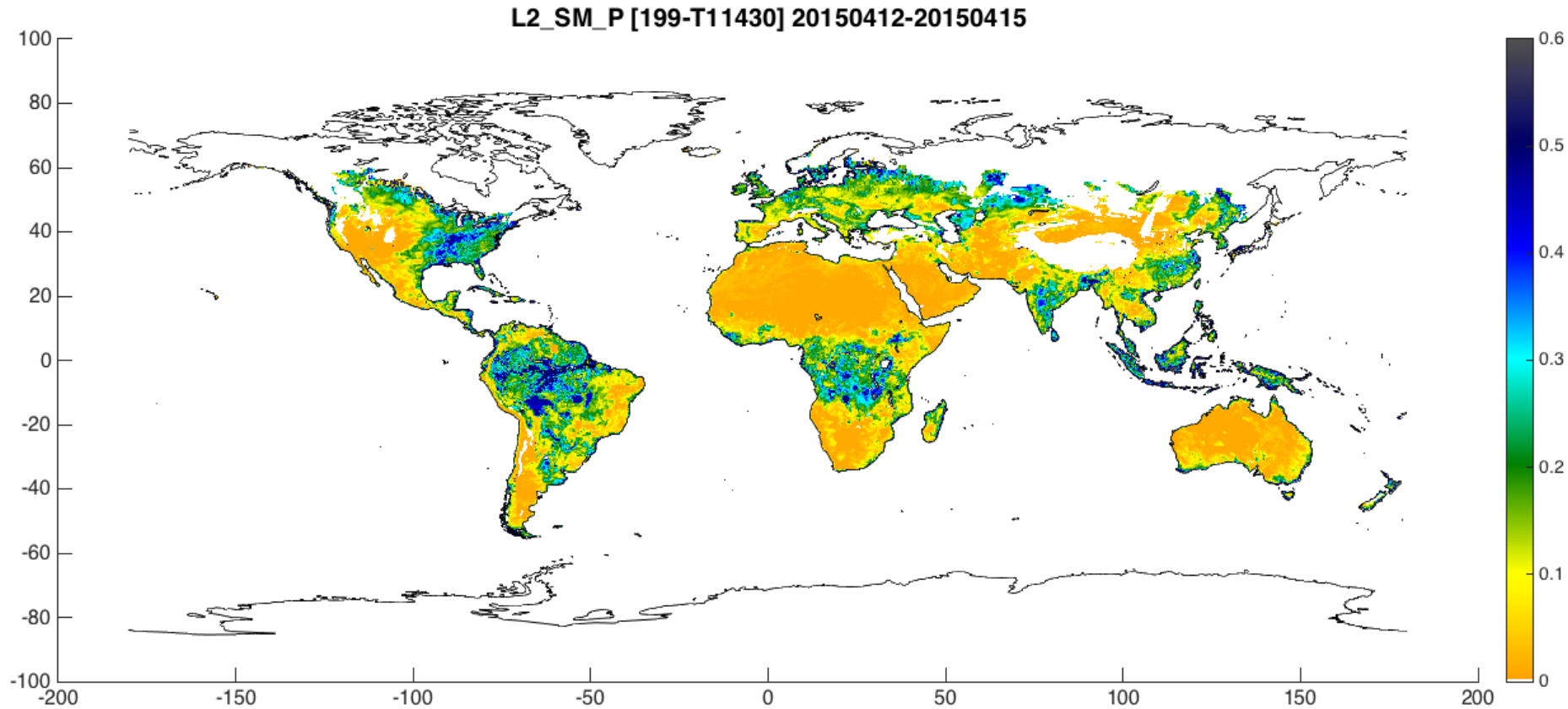
# ACTUAL SMAP DATA: RADIOMETER

L1C\_TB [199-T11430], H-pol DESC AFT (20150412-20150415)



- Not fully calibrated at this time

# ACTUAL SMAP DATA: L2\_SM\_P



- Baseline algorithm and only with minor parameterization updates

# Referances/Further Readings

- Su, Z., A. Yacob, Y. He, H. Boogaard, J. Wen, B. Gao, G. Roerink, and K. van Diepen, 2003, *Assessing Relative soil moisture with remote sensing data: theory and experimental validation*, *Physics and Chemistry of the Earth*, 28(1-3), 89-101.
- Wen, J., Z. Su, 2003, *Estimation of soil moisture from ESA Wind-scatterometer data*, *Physics and Chemistry of the Earth*, 28(1-3), 53-61.
- Wen, J., Z. Su, 2004, *An analytical algorithm for the determination of vegetation Leaf Area Index from TRMM/TMI data*, *International Journal of Remote Sensing*, 25(6), 1223–1234.
- Wen, J., Z. Su, 2003, *A Method for Estimating Relative Soil Moisture with ESA Wind Scatterometer Data*, *Geophysical Research Letters*, 30 (7), 1397, doi:10.1029/ 2002GL016557.
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- van der Velde, R., Z. Su, M. Ek, M. Rodell, and Y. Ma, 2009, *Influence of thermodynamic soil and vegetation parameterizations on the simulation of soil temperature states and surface fluxes by the Noah LSM over a Tibetan plateau site*, *Hydrology and Earth System Sciences*, 13, 759-777.
- Su, Z., Wen, J., Dente, L., van der Velde, R., Wang, L., Ma, Y., Yang, K., and Hu, Z. 2011, *The Tibetan Plateau observatory of plateau scale soil moisture and soil temperature (Tibet-Obs) for quantifying uncertainties in coarse resolution satellite and model products*, *Hydrol. Earth Syst. Sci.*, 15, 2303–2316, 2011, [www.hydrol-earth-syst-sci.net/15/2303/2011/](http://www.hydrol-earth-syst-sci.net/15/2303/2011/), doi:10.5194/hess-15-2303-2011
- Dente, L., Su, Z. and Wen, J., 2012, *Validation of SMOS Soil Moisture Products over the Maqu and Twente Regions*, *Sensors* 2012, 12(8), 9965-9986; doi:10.3390/s120809965.
- Dente, L., Vekerdy, Z., Wen, J., Su, Z., 2012, [Maqu network for validation of satellite-derived soil moisture products](#), *International journal of applied earth observation and geoinformation* : JAG, 17, 55-65.



# Referances/Further Readings

- Su, Z., P. de Rosnay, J. Wen, L. Wang, Y. Zeng, 2013, Ability of the ECMWF 1 model in simulating and analysis of root zone soil moisture on the Tibetan plateau , JGR (in revision)*
- van der Velde, R., Z. Su, and Y. Ma, 2008, Impact of soil moisture dynamics on ASAR signatures and its spatial variability observed over the Tibetan plateau. Sensors, 8(9), 5479-5491.*
- van der Velde, R., Z. Su, M. Ek, M. Rodell, and Y. Ma, 2009, Influence of thermodynamic soil and vegetation parameterizations on the simulation of soil temperature states and surface fluxes by the Noah LSM over a Tibetan plateau site, Hydrology and Earth System Sciences, 13, 759-777.*
- van der Velde, R., Salama, M.S., van Helvoirt, M.D. and Su, Z. (2012) Decomposition of uncertainties between coarse MM5 - Noah - Simulated and fine ASAR - retrieved soil moisture over Central Tibet. J. hydrometeorol., 13 (6), 1925-1938.*
- van der Velde, R., Su, Z., van Oevelen, P., Wen, J., Ma, Y. and Salama, M.S. (2012) Soil moisture mapping over the central part of the Tibetan Plateau using a series of ASAR WS images. Remote sens. Environ., 120,175-187.*
- Dente, L., P. Ferrazzoli, Z. Su, R. van de Velde, L. Guerriero, 2014, Combined use of active and passive microwave satellite data to constrain a discrete scattering model, RSE, 155 (2014) pp. 222-238.*
- Lv, S., J. WEN, Y. Zeng, H.Tian, Z.Su, 2013, An Improved Two-layer Algorithm for Estimating Effective Soil Temperature in Microwave Radiometry using In Situ Temperature and Soil Moisture Measurements, RSE, 152 (2014) pp. 356-363..*