

# **QCD for (future) hadron colliders**

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***Lecture I***

**Michelangelo L. Mangano**

TH Unit, Physics Department, CERN

[michelangelo.mangano@cern.ch](mailto:michelangelo.mangano@cern.ch)

# QCD: quantum chromodynamics

Understanding the physics of proton-proton collisions requires the understanding of the proton structure, and of the interactions among its elementary constituents, quarks and gluons.

These interactions are described by quantum chromodynamics, a gauge theory, with  $SU(3)$  symmetry group, whose charge is called **color**

Gluons: force carriers, in the adjoint representation, **8**, of  $SU(3)$

Quarks: matter fields, in the fundamental representation, **3**, of  $SU(3)$

QCD is characterized by

**confinement**: the potential grows linearly at large distance, confining colored objects into color-singlet systems (hadrons)

**asymptotic freedom**: the strength of the coupling constant decreases at short distance, where colored partons can be treated as free particles

# **QCD is to particle physics what EM and QM are to chemistry: it's omnipresent**

- hadronic spectroscopy and transitions (scattering, decays, etc)
- EW properties of quarks (“CKM physics”): K, D, B decays
- proton structure (DIS, polarized DIS, diffraction, ..... )
- $e^+ e^-$  to hadrons (determination of  $\alpha_s$ , non-PT effects, ... )
- jet physics (in ee, ep and pp(bar) collisions)
- quark-gluon plasma (relativistic heavy-ion collisions)
- ....

# .. things I'll give for granted you know ..

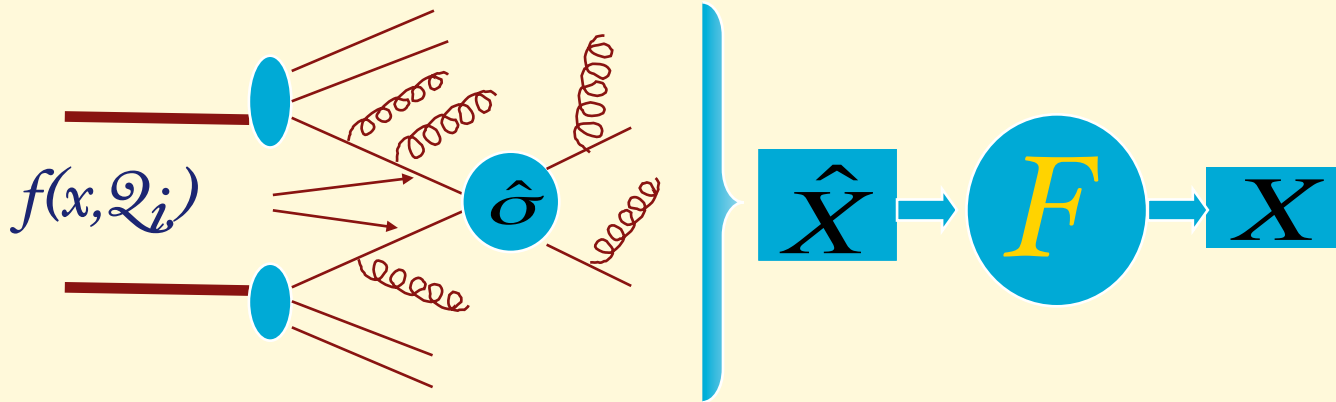
- quarks and gluons
- mesons and baryons
- asymptotic freedom
- Feynman diagrams and Feynman rules
- basic knowledge of what high-energy hadronic collisions are about:
  - production and study of jets, heavy quarks (bottom, top)
  - production and study of  $W/Z$  bosons,
  - production and study of Higgs bosons,
  - search for phenomena beyond the Standard Model (supersymmetry, dark matter, new gauge forces, etc.)

# Outline

1. Introduction to the theoretical principles of hadron collisions:
  - 1.1. Factorization, initial state evolution of PDFs
  - 1.2. Drell-Yan observables
2. Introduction to the theoretical principles of hadron collisions:
  - 2.1. final state evolution, turning quarks and gluons into hadrons
  - 2.2. jet production
3. Phenomenological applications, review and interpretation of LHC data
4. QCD phenomena at future hadron colliders

# Factorization Theorem

$$\frac{d\sigma}{dX} = \sum_{j,k} \int_{\hat{X}} f_j(x_1, Q_i) f_k(x_2, Q_i) \frac{d\hat{\sigma}_{jk}(Q_i, Q_f)}{d\hat{X}} F(\hat{X} \rightarrow X; Q_i, Q_f)$$



$f_j(x, Q)$  Parton distribution functions (PDF)

- sum over all initial state histories leading, at the scale  $Q$ , to:

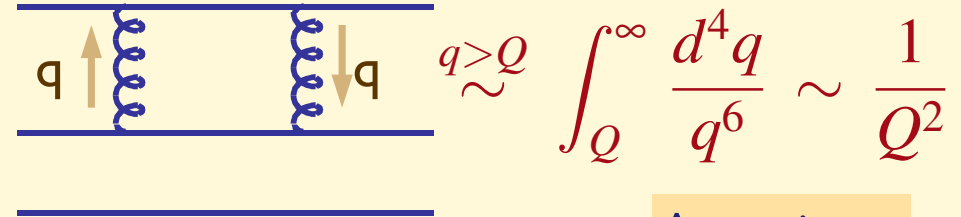
$$\vec{p}_j = x \vec{P}_{proton}$$

$F(\hat{X} \rightarrow X; Q_i, Q_f)$

- transition from partonic final state to the hadronic observable (hadronization, fragm. function, jet definition, etc)
  - Sum over all histories with  $X$  in them

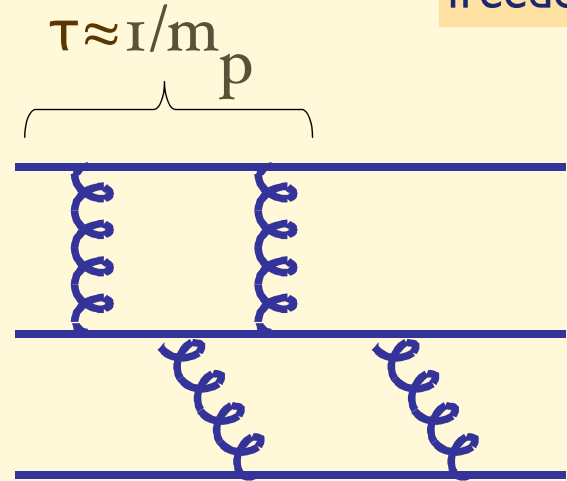
# Universality of parton densities and factorization, an intuitive picture

1) Exchange of **hard gluons** among quarks inside the proton is suppressed by powers of  $(m_p/Q)^2$



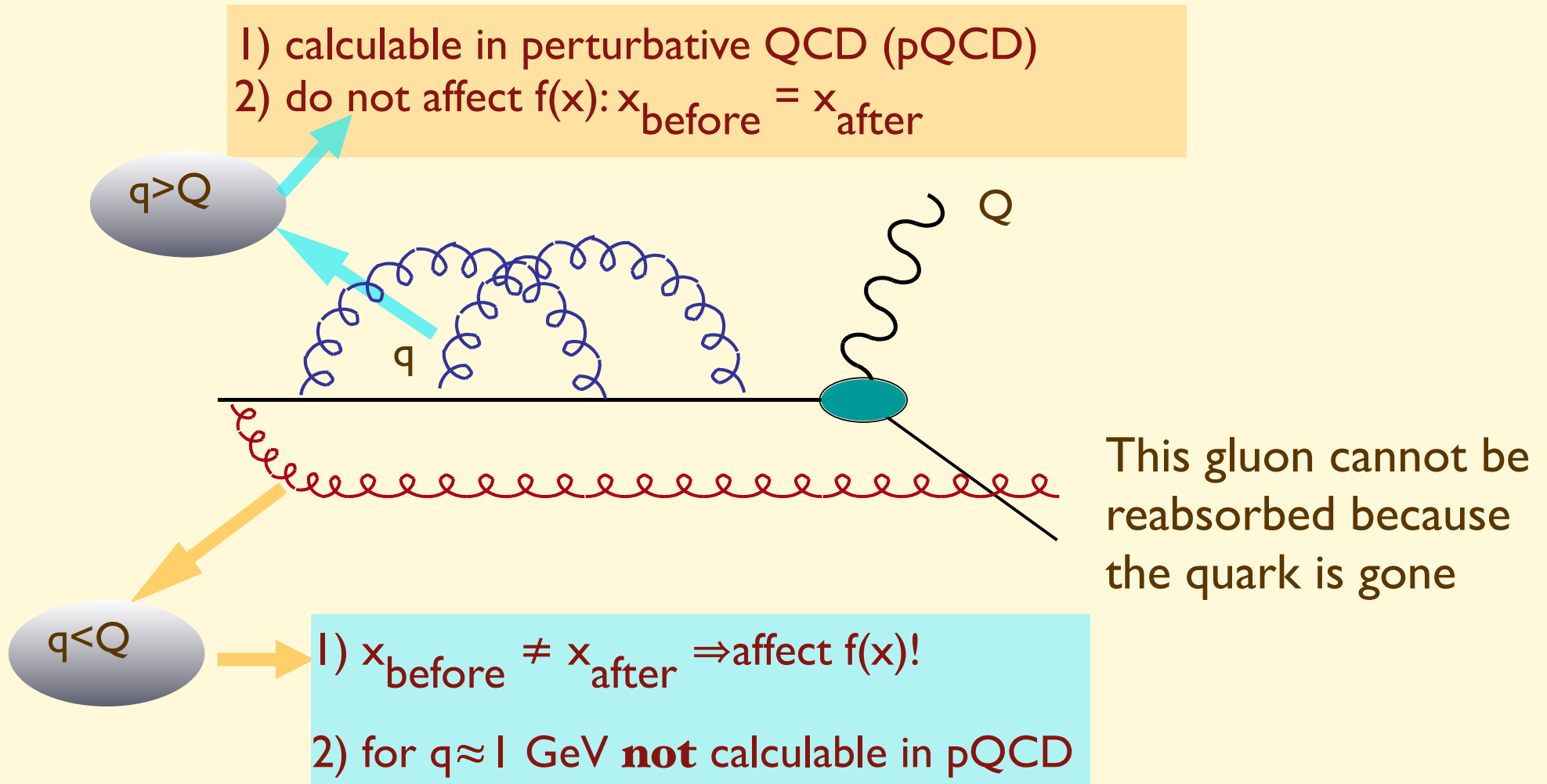
Assuming asymptotic freedom!

2) **Typical time-scale of interactions binding the proton** is therefore of  $O(1/m_p)$  (in a frame in which the proton has energy  $E$ ,  $\tau = \gamma/m_p = E/m_p^2$ )



3) If a hard probe ( $Q \gg m_p$ ) hits the proton, on a time scale  $= 1/Q$ , there is no time for quarks to negotiate a coherent response. The struck quark receives no feedback from its pals, and acts as a free particle

As a result, to study inclusive processes at large  $Q$  it is sufficient to consider the interactions between the external probe and a single parton:

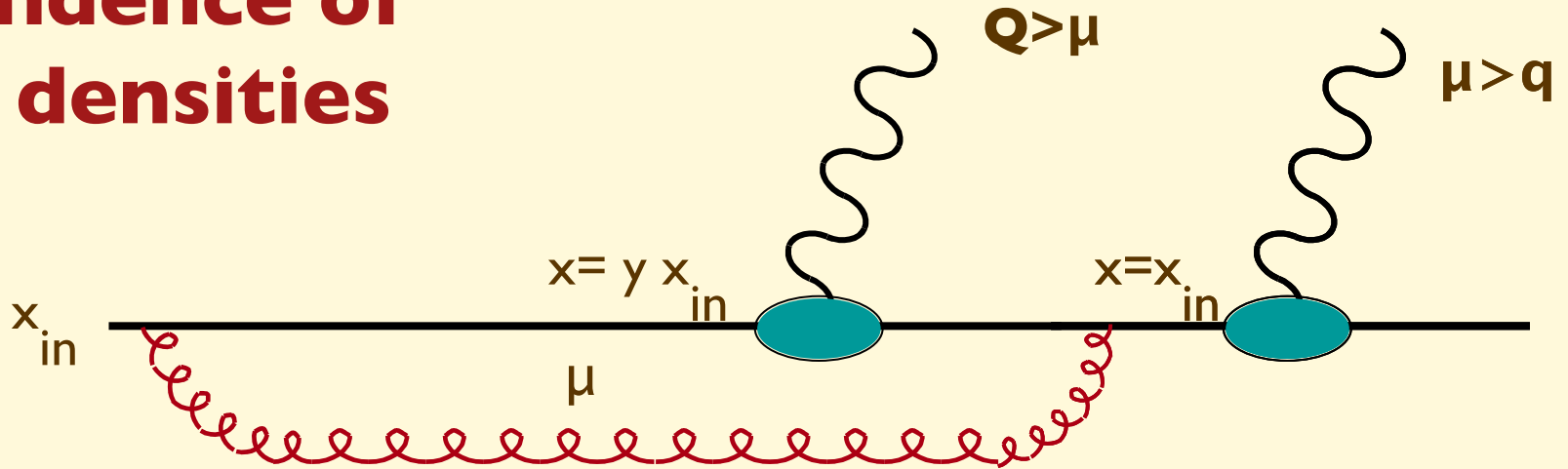


However, since  $\tau(q \approx 1 \text{ GeV}) \gg 1/Q$ , the emission of low-virtuality gluons will take place long before the hard collision, and therefore cannot depend on the detailed nature of the hard probe. While it is not calculable in pQCD,  $f(q \ll Q)$  can be measured using a reference probe, and used elsewhere

**→ Universality of  $f(x)$**



# Q dependence of parton densities



The larger is  $Q$ , the more gluons will **not** have time to be reabsorbed

**PDF's depend on  $Q$ !**

$$f(x, Q) = f(x, \mu) + \int_x^1 dx_{in} f(x_{in}, \mu) \int_{\mu}^Q dq^2 \int_0^1 dy P(y, q^2) \delta(x - yx_{in})$$

$$f(x, Q) = f(x, \mu) + \int_x^1 dx_{in} f(x_{in}, \mu) \int_{\mu}^Q dq^2 \int_0^1 dy P(y, q^2) \delta(x - yx_{in})$$

$f(x, Q)$  should be independent of the intermediate scale  $\mu$  considered:

$$\frac{df(x, Q)}{d\mu^2} = 0 \quad \Rightarrow \quad \frac{df(x, \mu)}{d\mu^2} = \int_x^1 \frac{dy}{y} f(y, \mu) P(x/y, \mu^2)$$

One can prove that:

$$P(x, Q^2) = \frac{\alpha_s}{2\pi} \frac{1}{Q^2} P(x)$$

calculable in pQCD

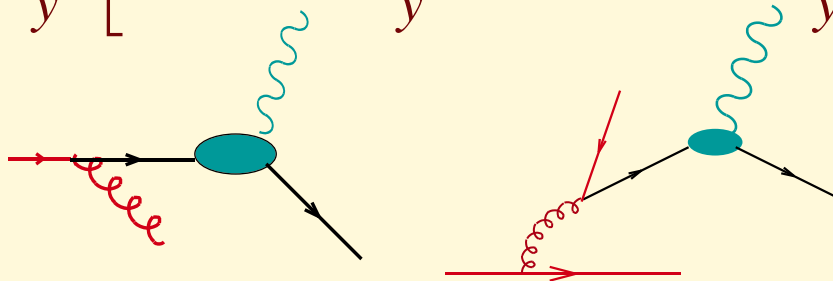
and finally (Dokshitzer-Gribov-Lipatov-Altarelli-Parisi DGLAP equation):

$$\frac{df(x, \mu)}{d \log \mu^2} = \frac{\alpha_s}{2\pi} \int_x^1 \frac{dy}{y} f(y, \mu) P(x/y)$$

More in general, one should consider additional processes which lead to the evolution of partons at high  $Q$  ( $t = \log Q^2$ ):

$$[g(x)]_+ : \int_0^1 dx f(x) g(x)_+ \equiv \int_0^1 [f(x) - f(1)] g(x) dx$$

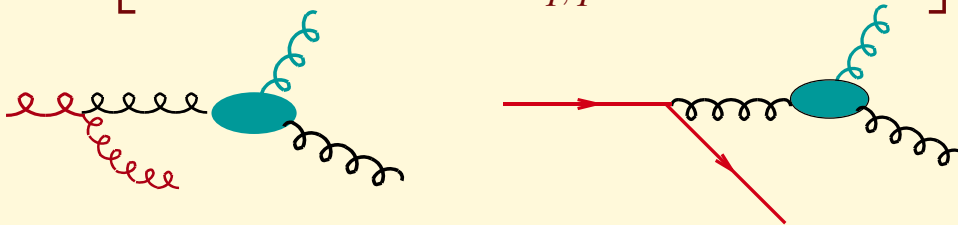
$$\frac{dq(x, Q)}{dt} = \frac{\alpha_s}{2\pi} \int_x^1 \frac{dy}{y} \left[ q(y, Q) P_{qq}\left(\frac{x}{y}\right) + g(y, Q) P_{qg}\left(\frac{x}{y}\right) \right]$$



$$P_{qq}(x) = C_F \left( \frac{1+x^2}{1-x} \right)_+$$

$$P_{qg}(x) = \frac{1}{2} [x^2 + (1-x)^2]$$

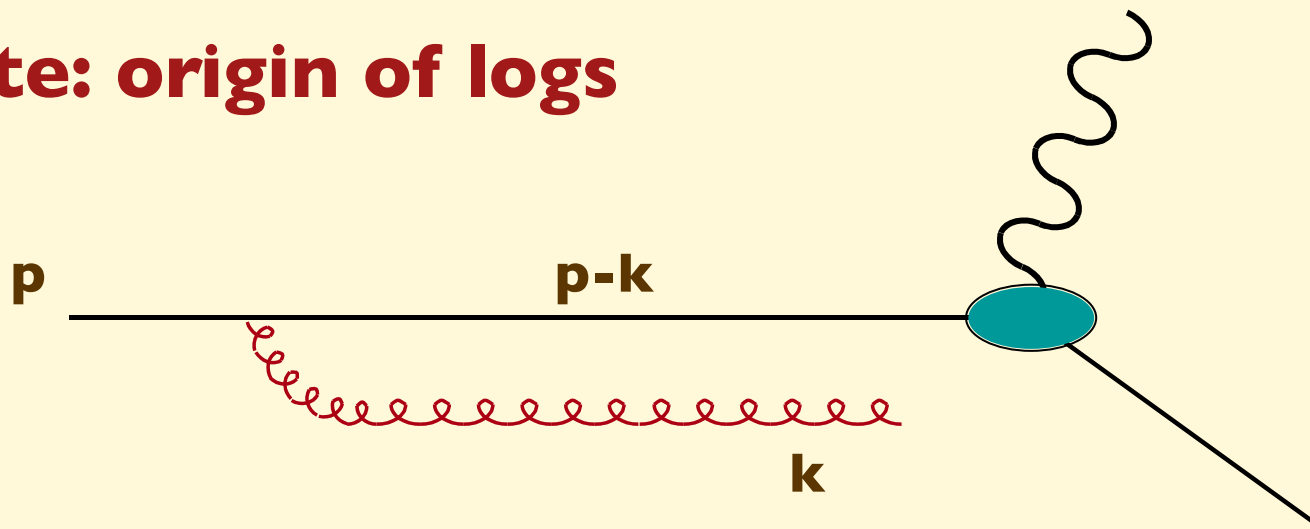
$$\frac{dg(x, Q)}{dt} = \frac{\alpha_s}{2\pi} \int_x^1 \frac{dy}{y} \left[ g(y, Q) P_{gg}\left(\frac{x}{y}\right) + \sum_{q, \bar{q}} q(y, Q) P_{gq}\left(\frac{x}{y}\right) \right]$$



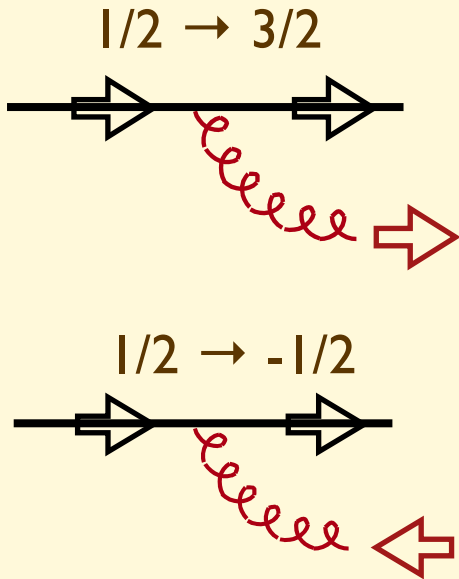
$$P_{gq}(x) = C_F \left( \frac{1 + (1-x)^2}{x} \right)$$

$$P_{gg}(x) = 2N_c \left[ \frac{x}{(1-x)_+} + \frac{1-x}{x} + x(1-x) \right] + \delta(1-x) \left( \frac{11N_c - 2n_f}{6} \right)$$

# Note: origin of logs



$$(p-k)^2 = -2p^0 k^0 (1 - \cos \theta_{pk})$$



Helicity conservation  
 $\sim p \cdot k$

$$|M|^2 \sim \left[ \frac{1}{(p-k)^2} \right]^2 \times (p \cdot k)$$

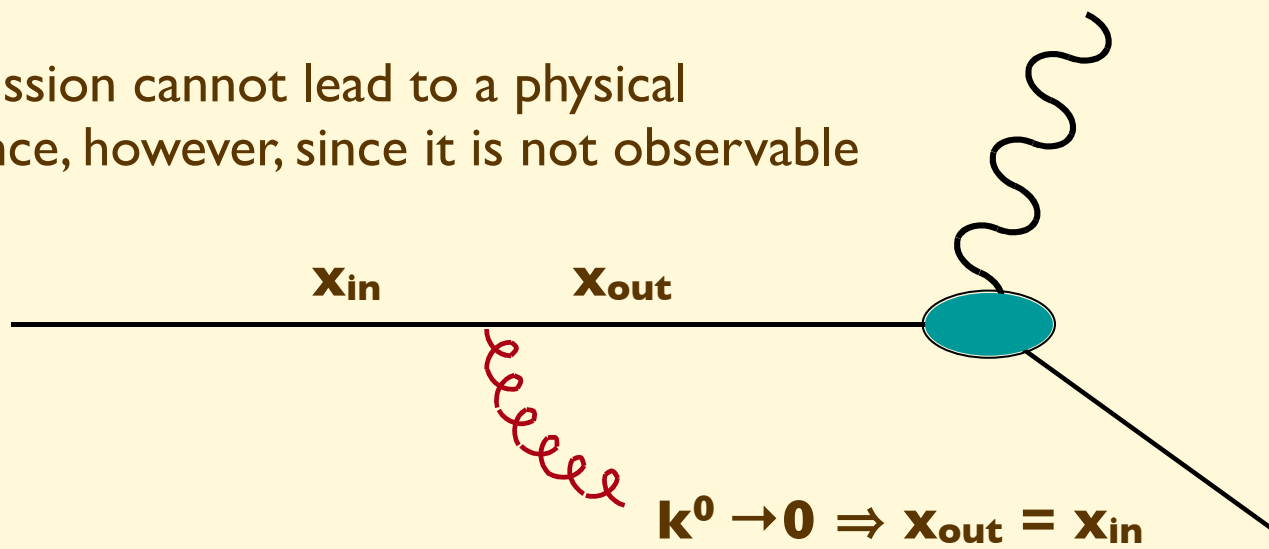
$\rightarrow$

Soft divergence

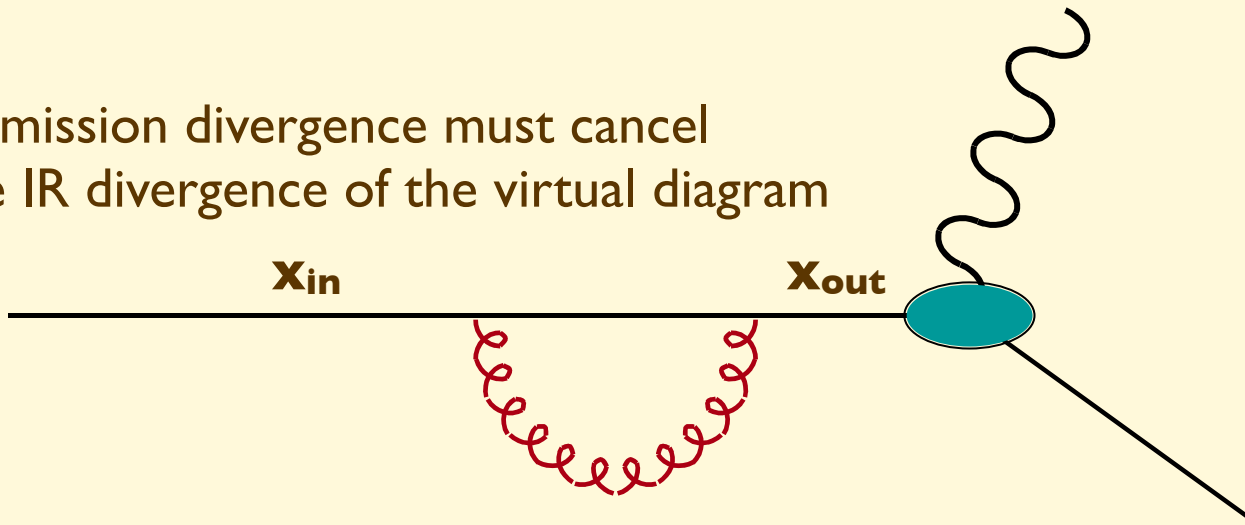
$$\frac{1}{p^0} \frac{dk^0}{k^0} \frac{d\theta}{\theta}$$

Collinear divergence

Soft emission cannot lead to a physical divergence, however, since it is not observable

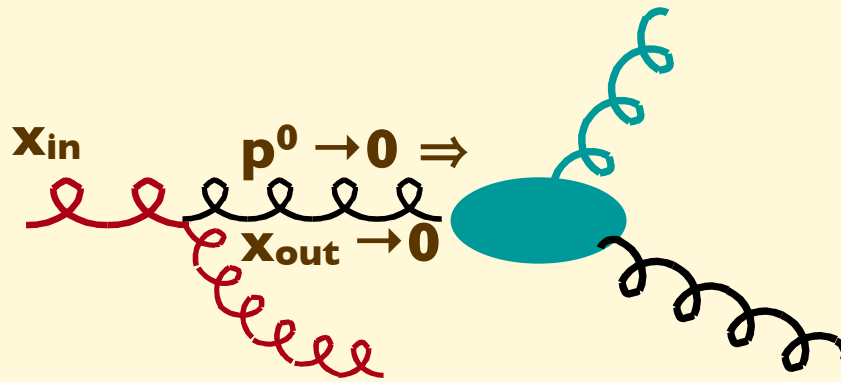


The soft-emission divergence must cancel against the IR divergence of the virtual diagram



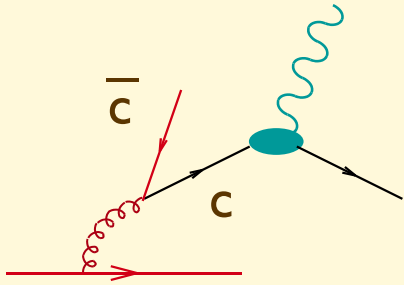
The cancellation cannot take place in the case of collinear divergence, since  $\mathbf{x}_{out} \neq \mathbf{x}_{in}$ , so virtual and real configurations are not equivalent

Things are different if  $\mathbf{p}^0 \rightarrow \mathbf{0}$ . In this case, again,  $\mathbf{x}_{\text{out}} \neq \mathbf{x}_{\text{in}}$ , no virtual-real cancellation takes place, and an extra singularity due to the  $1/\mathbf{p}^0$  pole appears



These are called **small- $\mathbf{x}$**  logarithms. They give rise to the double-log growth of the number of gluons at small  $\mathbf{x}$  and large  $\mathbf{Q}$

# Example: charm in the proton



$$\frac{dc(x, Q)}{dt} = \frac{\alpha_s}{2\pi} \int_x^1 \frac{dy}{y} g(y, Q) P_{qg}\left(\frac{x}{y}\right)$$

Assuming a typical behaviour of the gluon density:  $g(x, Q) \sim A/x$

and using  $P_{qg}(x) = \frac{1}{2} [x^2 + (1-x)^2]$  we get:

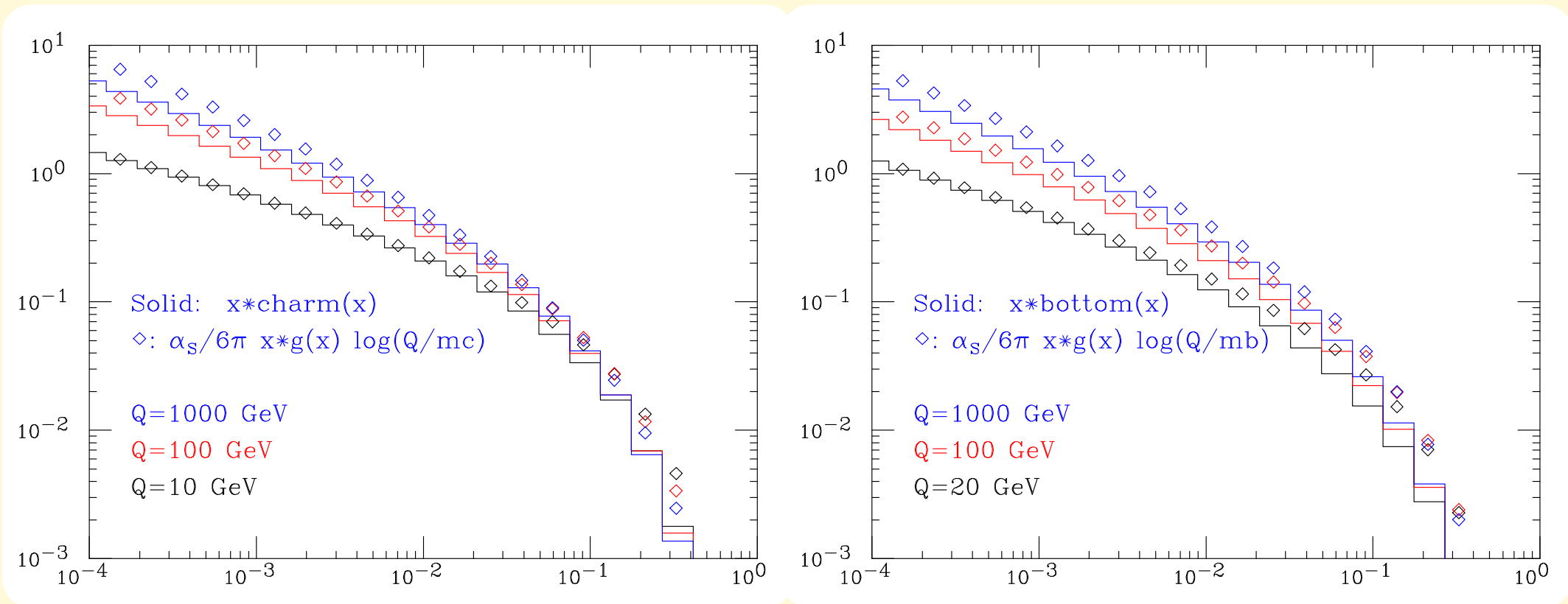
$$\frac{dc(x, Q)}{dt} = \frac{\alpha_s}{2\pi} \int_x^1 \frac{dy}{y} g(x/y, Q) P_{qg}(y) = \frac{\alpha_s}{2\pi} \int_x^1 dy \frac{A}{x} \frac{1}{2} [y^2 + (1-y)^2] = \frac{\alpha_s A}{6\pi x}$$

and therefore:

$$c(x, Q) \sim \frac{\alpha_s}{6\pi} \log\left(\frac{Q^2}{m_c^2}\right) g(x, Q)$$

Corrections to this simple formula will arise due to the Q dependence of g(x) and of  $\alpha_s$

# Numerical example



Excellent agreement, given the simplicity of the approximation!

Can be improved by tuning the argument of the log (threshold onset), including a better parameterization of  $g(x)$ , etc....



# General properties of the PDF evolution

Definition of n-th moment:  $g_n = \int_0^1 \frac{dx}{x} x^n g(x)$

In moment space, the evolution eqs become coupled linear differential equations

$$\frac{df_i^{(n)}}{dt} = \frac{\alpha_s}{2\pi} [P_{qq}^{(n)} f_i^{(n)} + P_{qg}^{(n)} f_g^{(n)}]$$

**exercise!**

$$\frac{df_g^{(n)}}{dt} = \frac{\alpha_s}{2\pi} [P_{gg}^{(n)} f_g + P_{gq}^{(n)} f_i^{(n)}]$$

or, equivalently:

$$\frac{df_g^{(n)}}{dt} = \frac{\alpha_s}{2\pi} [P_{gq}^{(n)} \Sigma^{(n)} + P_{gg}^{(n)} f_g^{(n)}]$$

$$\frac{d\Sigma^{(n)}}{dt} = \frac{\alpha_s}{2\pi} [P_{qq}^{(n)} \Sigma^{(n)} + 2n_f P_{qg}^{(n)} f_g^{(n)}]$$

$$\frac{dV^{(n)}}{dt} = \frac{\alpha_s}{2\pi} P_{qq}^{(n)} V^{(n)}$$

where we define “singlet” and “valence” distributions as:

$$\Sigma(x) = \sum_i f_i(x) + \sum_{\bar{i}} f_{\bar{i}}(x)$$
$$V(x) = \sum_i f_i(x) - \sum_{\bar{i}} f_{\bar{i}}(x)$$

# Valence sum rule

$$V^{(1)} = \int_0^1 dx \sum_q (f_q(x) - f_{\bar{q}}(x)) = N(\text{valence quarks}) = \text{constant}$$

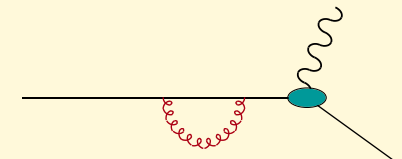
Thus 
$$\frac{dV^{(1)}}{dt} \equiv 0 \Rightarrow \frac{\alpha_s}{2\pi} P_{qq}^{(1)} V^{(1)} = 0$$

Since  $V^{(1)}=3$ , we must have  $P_{qq}^{(1)}=0$ , i.e. 
$$\int_0^1 dz P_{qq}(z) = 0$$

This requires to modify  $P_{qq}(z)$  as follows:

$$P_{qq}(z) \rightarrow \left( \frac{1+z^2}{1-z} \right)_+ \equiv \frac{1+z^2}{1-z} - \delta(1-z) \int_0^1 dy \left( \frac{1+y^2}{1-y} \right)$$

Subtraction of the  
virtual singularity



$$\int_0^1 dx f(x) [g(x)]_+ \equiv \int_0^1 dx [f(x) - f(1)] g(x)$$

# Momentum sum rule (**exercise**)

$$\int_0^1 dx x \left[ \sum_{i, \bar{i}} f_i(x) + f_g(x) \right] \equiv \Sigma^{(2)} + f_g^{(2)} = 1$$

This implies

$$(1) \quad P_{qq}^{(2)} + P_{gq}^{(2)} = 0$$

$$(2) \quad P_{gg}^{(2)} + 2n_f P_{qg}^{(2)} = 0$$

(1) is trivially true (**check!**)

(2) requires a modification of  $P_{gg}$  to subtract soft virtual singularity (**verify!**):

$$P_{gg} \rightarrow 2C_A \left\{ \frac{x}{(1-x)_+} + \frac{1-x}{x} + x(1-x) \right\} + \delta(1-x) \left[ \frac{11C_A - 2n_f}{6} \right]$$

Subtraction of  
gluon loop in  
virtual diagrams

Subtraction of  
quark loop in  
virtual diagrams

# General solution of the PDF evolution

$$V^{(n)}(Q^2) \stackrel{*}{=} V^{(n)}(\mu^2) \left[ \frac{\log Q^2/\Lambda^2}{\log \mu^2/\Lambda^2} \right]^{P_{qq}^{(n)}/2\pi b_0} = V^{(n)}(\mu^2) \left[ \frac{\alpha_s(\mu^2)}{\alpha_s(Q^2)} \right]^{P_{qq}^{(n)}/2\pi b_0}$$

\* see footnote next page

**Verify** that all moments  $P_{qq}^{(n)}$  are negative. Therefore as  $Q$  grows, all moments decrease. The valence distribution becomes softer and softer.

For  $\Sigma^{(n)}$  and  $g^{(n)}$  one needs to diagonalize the 2x2 matrix. In the case of  $n=2$ , corresponding to the momentum fraction carried by gluons and quarks, simple asymptotic solutions ( $Q^2 \rightarrow \infty$ ) can be obtained (**exercise!**):

$$\begin{cases} P_{qq}^{(2)} \Sigma^{(2)} + 2n_f P_{qg}^{(2)} f_g^{(2)} = 0 & (\Sigma^{(2)} \rightarrow \text{constant at large } Q) \\ \Sigma^{(2)} + f_g^{(2)} = 1 & (\text{sum rule}) \end{cases}$$

$$\begin{cases} \Sigma^{(2)} = \frac{1}{1 + \frac{4C_F}{n_f}} \\ f_g^{(2)} = \frac{4C_F}{4C_F + n_f} \end{cases} \quad \frac{g^{(2)}}{\Sigma^{(2)}} = \frac{4C_F}{n_f} = \frac{16}{3n_f}$$

# Footnote: $\alpha_s$ running

$$\frac{d\alpha_s(\mu^2)}{d \log \mu^2} = \beta(\alpha_s)$$

$$\beta(\alpha_s) = -b_0 \alpha_s^2 (1 + b' \alpha_s + \dots)$$

LO NLO

$$b_0 = \frac{11C_A - 2n_f}{12\pi}$$

$$b' = \frac{17C_A^2 - 5C_A n_f - 3C_F n_f}{2\pi(11C_A - 2n_f)}$$

$\beta(\alpha)$  for QCD is known up to NNNLO (4-loops)

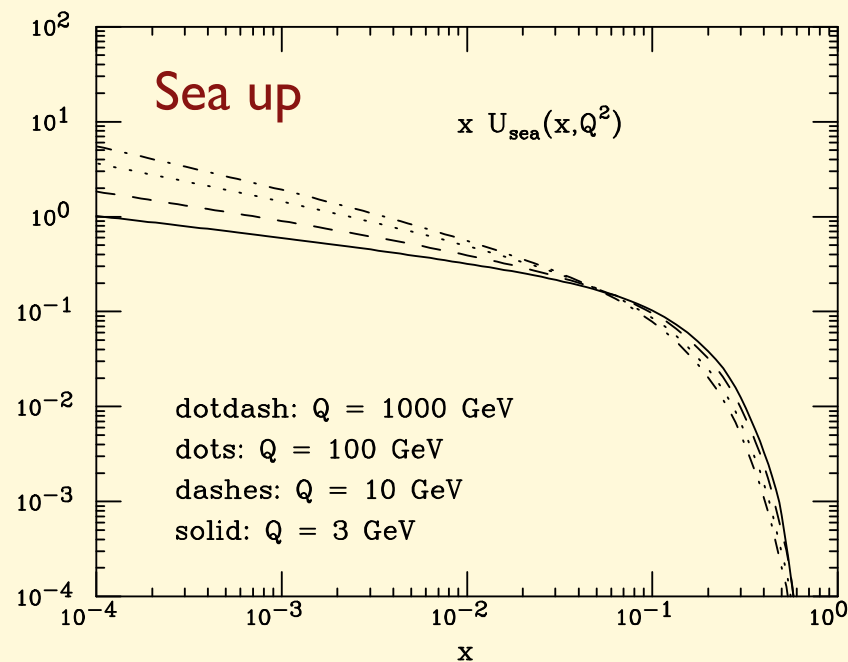
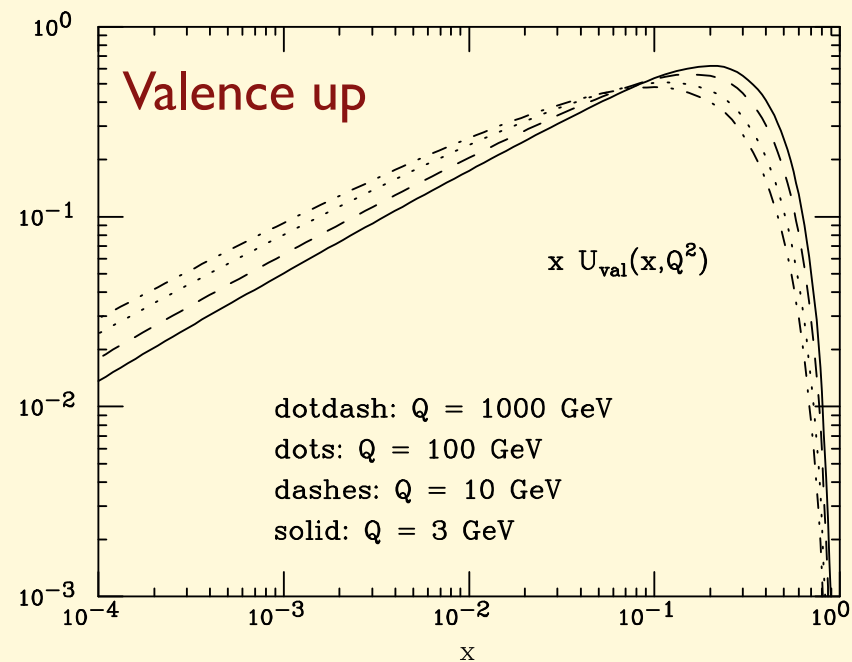
**At LO**

$$\alpha_s(\mu^2) = \frac{1}{b_0 \log \mu^2 / \Lambda^2}$$

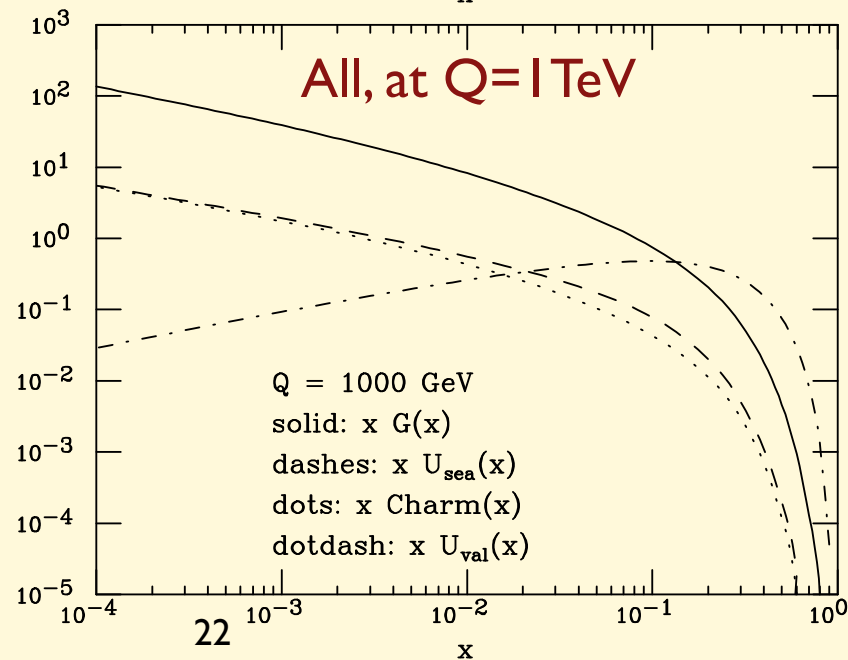
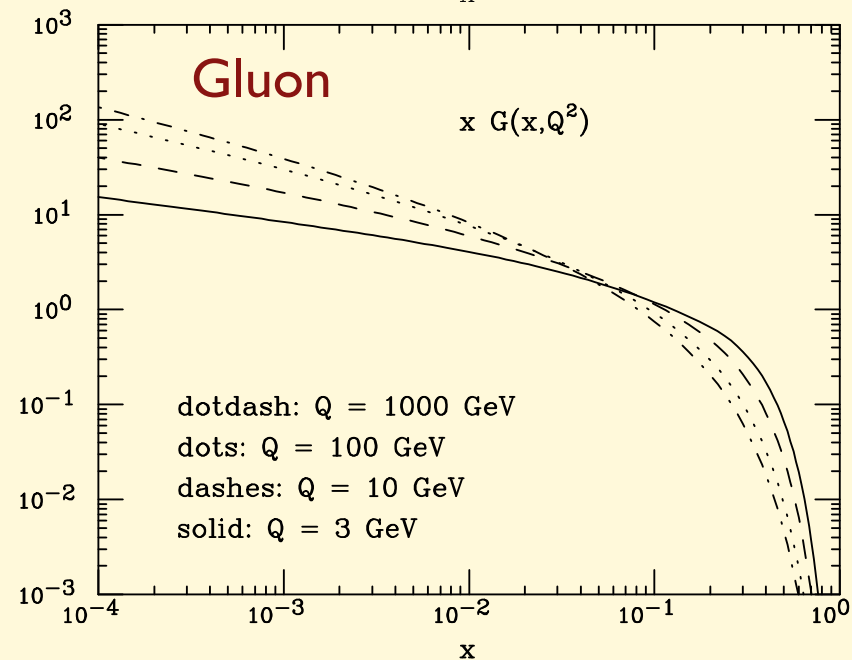
**At NLO**

$$\alpha_s(\mu^2) = \frac{1}{b_0 \log \mu^2 / \Lambda^2} \left[ 1 - \frac{b'}{b_0} \frac{\log \log \mu^2 / \Lambda^2}{\log \mu^2 / \Lambda^2} \right]$$

# Examples of PDFs and their evolution

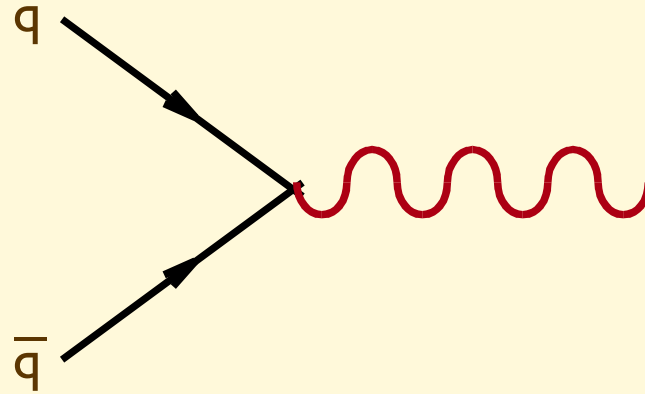


Note:  
 sea  $\approx$  10% glue



Note:  
 charm  $\approx$  up at  
 high  $Q$

# Example: Drell-Yan processes



$$W \rightarrow l\nu$$

$$Z \rightarrow l^+ l^-$$

## Properties/Goals of the measurement:

- Clean final state (no hadrons from the hard process)
- Tests of QCD:  $\sigma(W,Z)$  known up to NNLO (2-loops)
- Measure SM parameters:  $m(W)$ ,  $\sin^2\theta_W$
- constrain PDFs (e.g.  $f_{\text{up}}(x)/f_{\text{down}}(x)$ )
- search for new gauge bosons:  $q\bar{q} \rightarrow W', Z'$
- Probe contact interactions:  $q\bar{q} \rightarrow e^+e^-$

# Some useful relations and definitions

Rapidity:  $y = \frac{1}{2} \log \frac{E_W + p_W^z}{E_W - p_W^z}$

Pseudorapidity:  $\eta = -\log\left(\tan \frac{\theta}{2}\right)$

where:

$$\tan \theta = \frac{p_T}{p^z} \quad \text{and} \quad p_T = \sqrt{p_x^2 + p_y^2}$$

**Exercise:** prove that for a massless particle rapidity=pseudorapidity:

**Exercise:** using  $\tau = \frac{\hat{s}}{S} = x_1 x_2$  and

$$\begin{cases} E_W = (x_1 + x_2) E_{\text{beam}} \\ p_W^z = (x_1 - x_2) E_{\text{beam}} \end{cases} \Rightarrow y = \frac{1}{2} \log \frac{x_1}{x_2}$$

prove the following relations:

$$x_{1,2} = \sqrt{\tau} e^{\pm y} \quad dx_1 dx_2 = dy d\tau$$
$$dy = \frac{dx_1}{x_1} \quad d\tau \delta(\hat{s} - m_W^2) = \frac{1}{S}$$



# LO Cross-section calculation

$$\sigma(pp \rightarrow W) = \sum_{q,q'} \int dx_1 dx_2 f_q(x_1, Q) f_{\bar{q}'}(x_2, Q) \frac{1}{2\hat{s}} \int d[PS] \overline{\sum_{spin,col}} |M(q\bar{q}' \rightarrow W)|^2$$

where:

$$\overline{\sum_{spin,col}} |M(q\bar{q}' \rightarrow W)|^2 = \frac{1}{3} \frac{1}{4} 8g_W^2 |V_{qq'}|^2 \hat{s} = \frac{2G_F m_W^2}{3\sqrt{2}} |V_{qq'}|^2 \hat{s}$$

$$\begin{aligned} d[PS] &= \frac{d^3 p_W}{(2\pi)^3 2p_W^0} (2\pi)^4 \delta^4(P_{in} - p_W) \\ &= 2\pi d^4 p_W \delta(p_W^2 - m_W^2) \delta^4(P_{in} - p_W) = 2\pi \delta(\hat{s} - m_W^2) \end{aligned}$$

leading to:

$$\sigma(pp \rightarrow W) = \sum_{ij} \frac{\pi A_{ij}}{m_W^2} \tau \int_{\tau}^1 \frac{dx}{x} f_i(x, Q) f_j\left(\frac{\tau}{x}, Q\right) \equiv \sum_{ij} \frac{\pi A_{ij}}{m_W^2} \tau L_{ij}(\tau)$$

**Partonic Luminosity**  $\downarrow$

where:

$$\frac{\pi A_{ud}}{m_W^2} = 6.5 \text{nb} \quad \text{and} \quad \tau = \frac{m_W^2}{S}$$

# Exercise: Study the function $\tau L(\tau)$

Assume, for example, that

$$f(x) \sim \frac{1}{x^{1+\delta}}, \quad 0 < \delta < 1$$

Then:

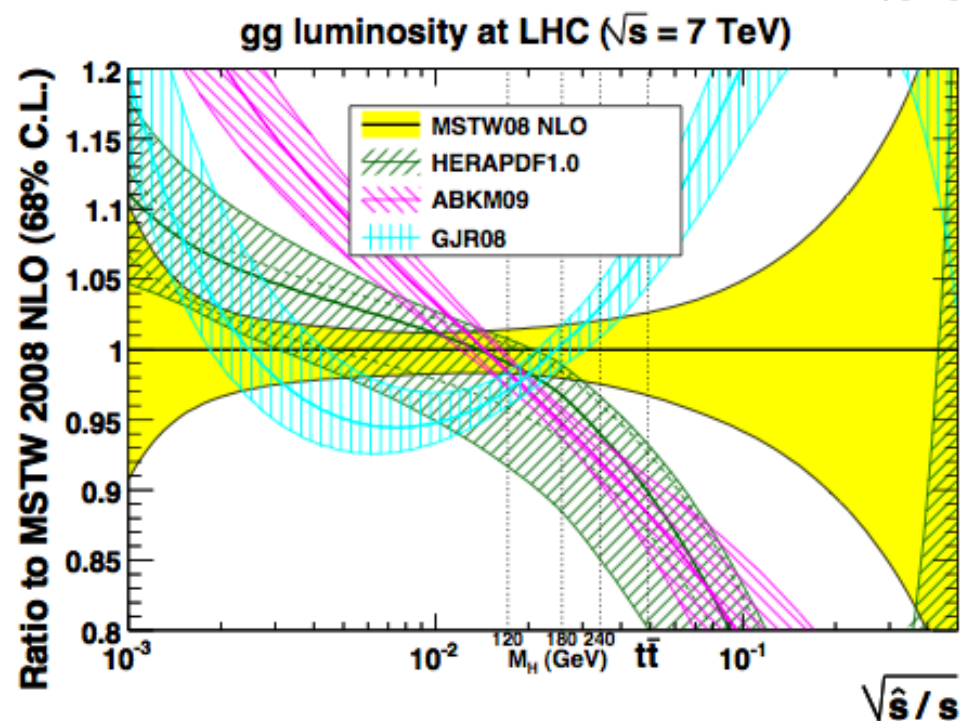
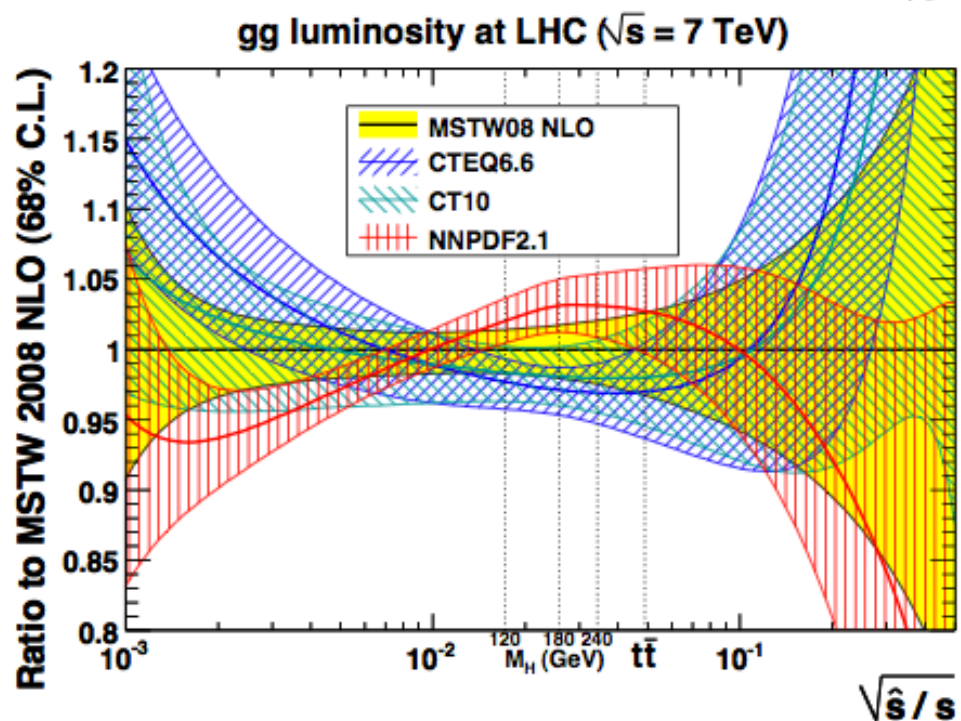
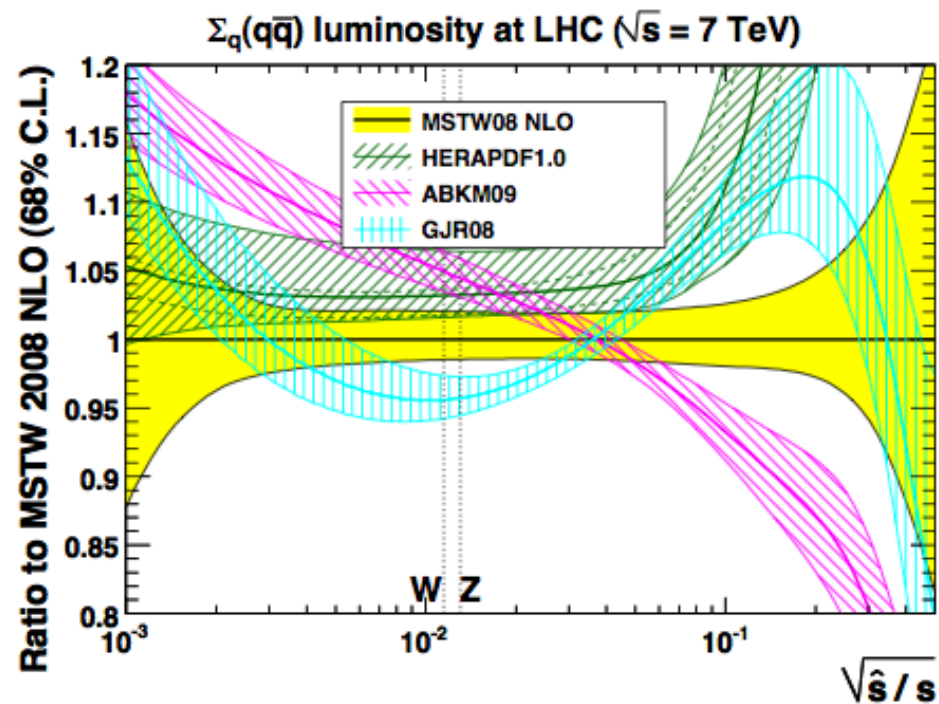
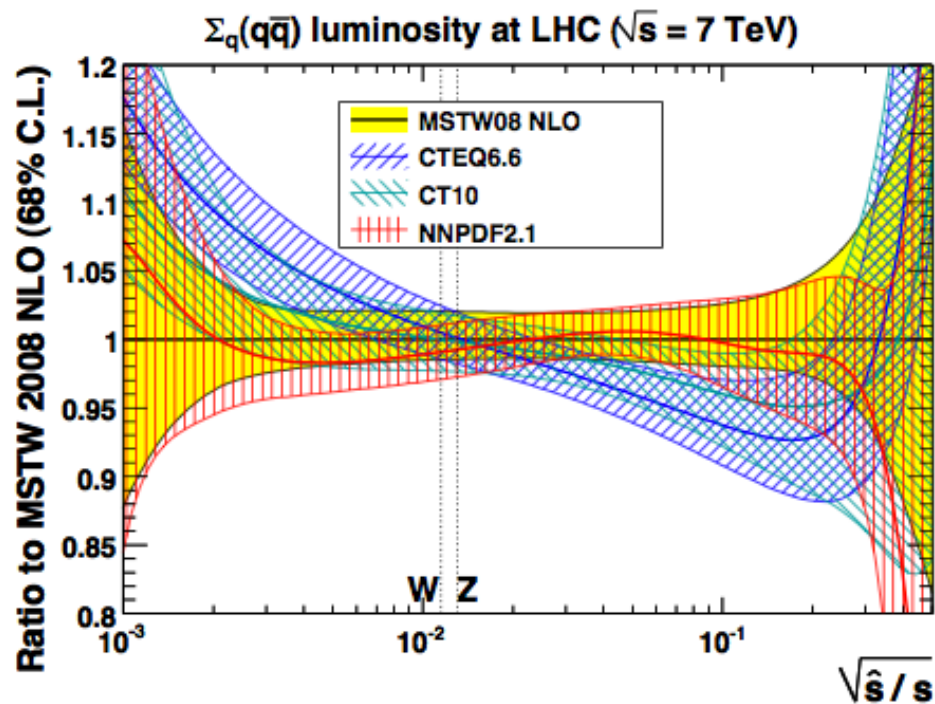
$$L(\tau) = \int_{\tau}^1 \frac{dx}{x} \frac{1}{x^{1+\delta}} \left(\frac{x}{\tau}\right)^{1+\delta} = \frac{1}{\tau^{1+\delta}} \log\left(\frac{1}{\tau}\right)$$

and:

$$\sigma_W = \sigma_W^0 \left(\frac{S}{m_W^2}\right)^{\delta} \log\left(\frac{S}{m_W^2}\right)$$

Therefore the  $W$  cross-section grows at least logarithmically with the hadronic CM energy. This is a typical behavior of cross-sections for production of fixed-mass objects in hadronic collisions, contrary to the case of  $e^+e^-$  collisions, where cross-sections tend to decrease with CM energy.

# PDF luminosity uncertainties -- NLO -- 2011



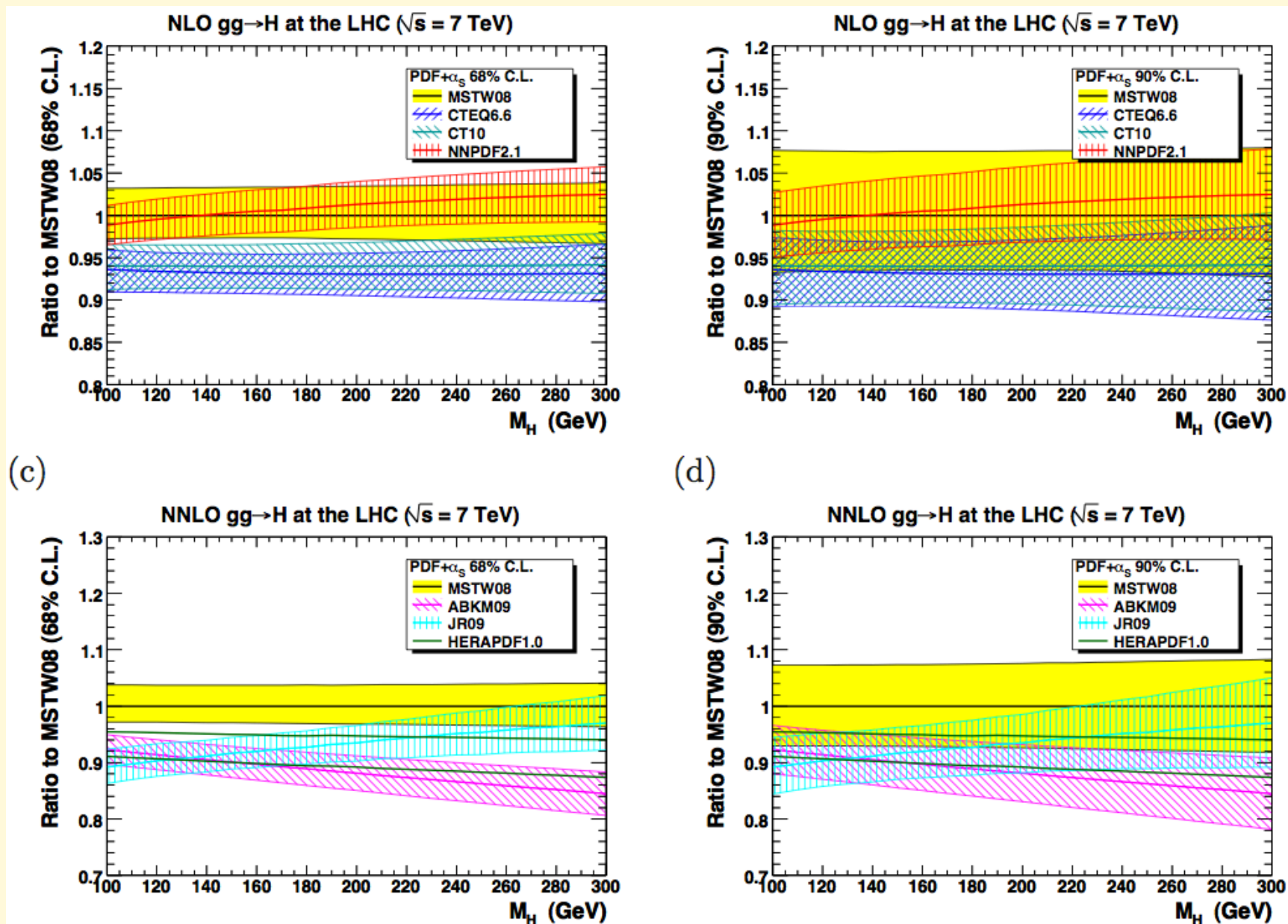
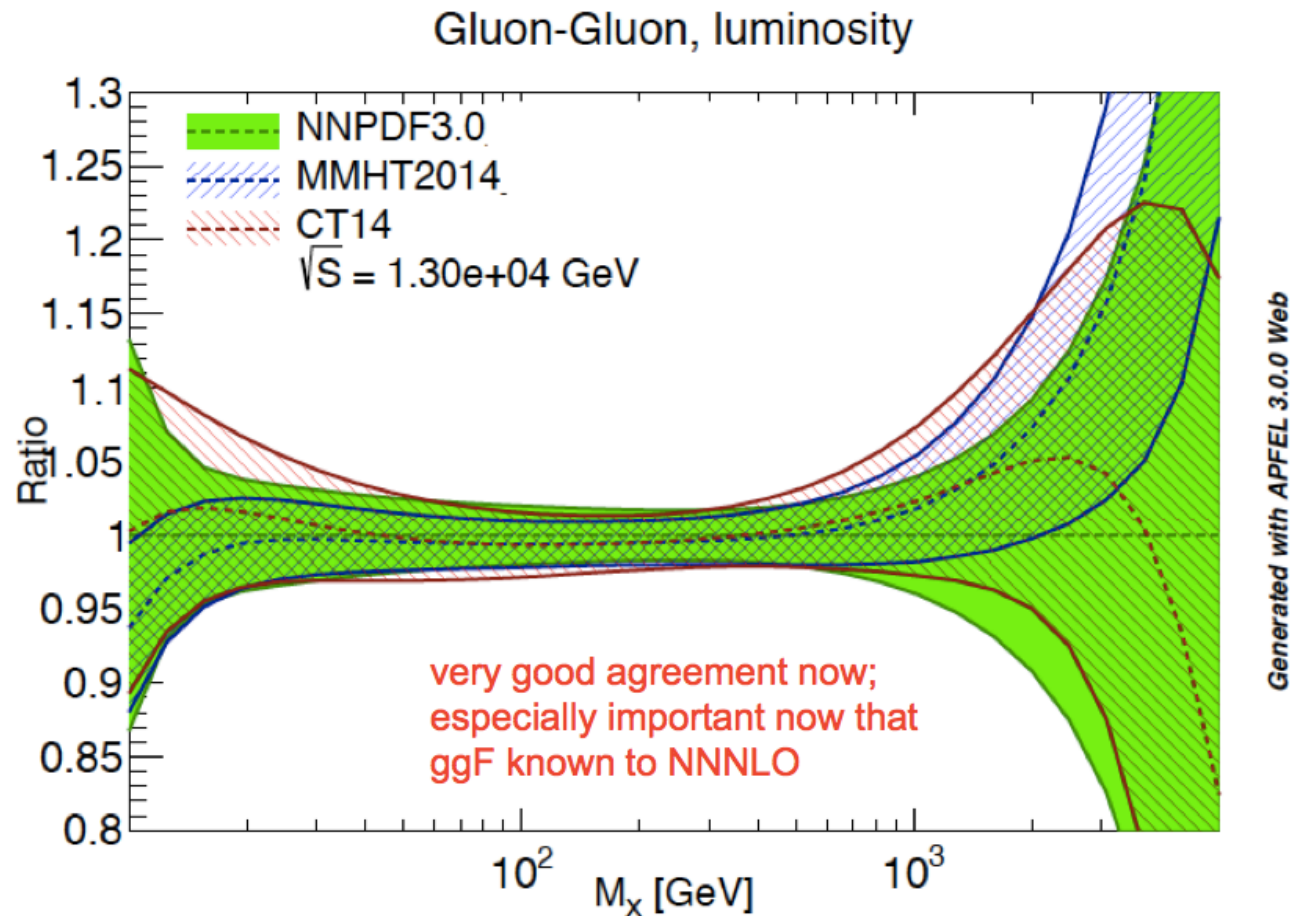


Figure 15. Ratio to the MSTW08 prediction for  $gg \rightarrow H$  with PDF+ $\alpha_s$  uncertainties for (a) NLO at 68% C.L., (b) NLO at 90% C.L., (c) NNLO at 68% C.L., (d) NNLO at 90% C.L.



Systematics for  
Higgs cross  
section

	CT14	MMHT2014	NNPDF3.0
8 TeV	18.66 pb	18.65 pb	18.77 pb
	-2.2%	-1.9%	-1.8%
	+2.0%	+1.4%	+1.8%
13 TeV	42.68 pb	42.70 pb	42.97 pb
	-2.4%	-1.8%	-1.9%
	+2.0%	+1.3%	+1.9%