

Lecture 2

*ICTP 2015 Summer school*

# Neutrinos

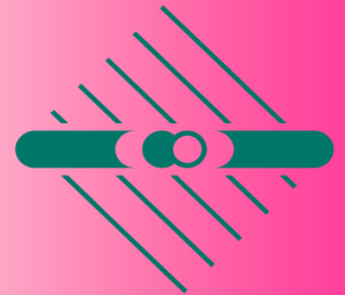
## selected topics



MAX-PLANCK-GESELLSCHAFT

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*International Centre for Theoretical Physics, Trieste, Italy*

# Propagation of wave packets

What happens?

**Phase difference change**

Due to different masses (dispersion relations)  $\rightarrow$  phase velocities

**Oscillations**

**Separation of wave packets**

Due to different group velocities

**Loss of coherence**

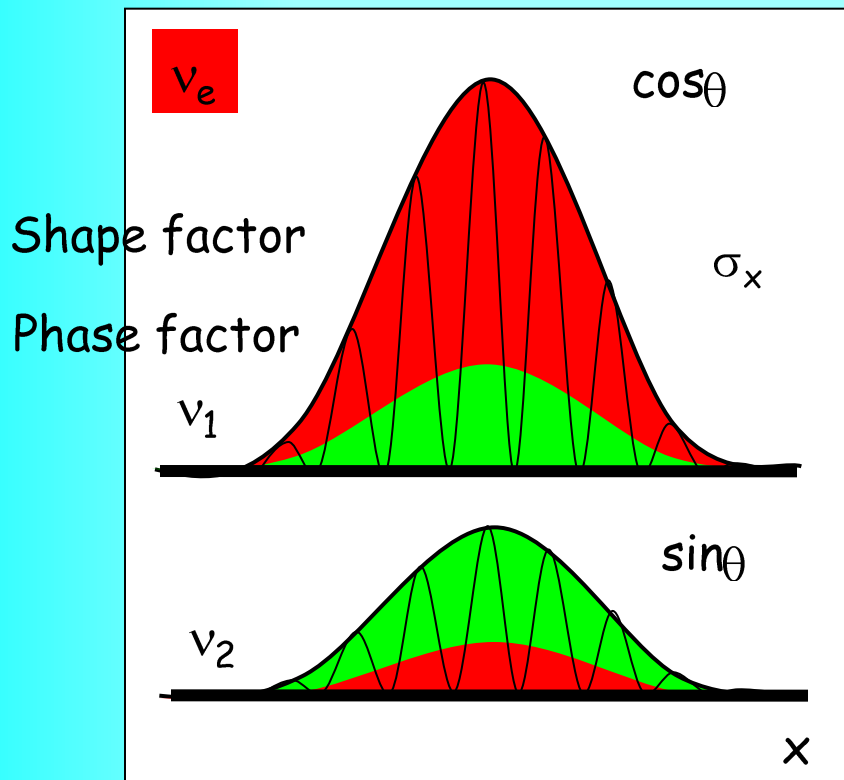
**Spread of individual wave packets**

Due to presence of waves with different momenta and energy in the packet

**Loss of coherence within within WP**

# Wave packet picture

2ν- example



$$\nu_e = \cos\theta \nu_1 + \sin\theta \nu_2$$

$$\nu_\mu = -\sin\theta \nu_1 + \cos\theta \nu_2$$

↑ opposite phase

$$\nu_1 = \cos\theta \nu_e - \sin\theta \nu_\mu$$

$$\nu_2 = \cos\theta \nu_\mu + \sin\theta \nu_e$$

Interference of the same flavor parts

$$\phi = 0$$

effective, frequency

$$|\nu(x,t)\rangle = \cos\theta g_1(x - v_1 t) e^{i\phi_1} |\nu_1\rangle + \sin\theta g_2(x - v_2 t) e^{i\phi_2} |\nu_2\rangle$$

$$\phi = \phi_2 - \phi_1$$

Oscillation phase

Changes with  $(x,t)$ , for  $\phi \neq 0$   
 components  $\nu_\mu$  will not cancel  
 → appearance of  $\nu_\mu$

# Oscillation phase

$$\phi = \phi_2 - \phi_1$$

$$\phi_i = -E_i t + p_i x$$

$$p_i = \sqrt{E_i^2 - m_i^2}$$

Dispersion relation

$$\phi = \Delta E t - \Delta p x$$

These are averaged characteristics of WP

where

$$\Delta p = (dp/dE)\Delta E + (dp/dm^2)\Delta m^2 = 1/v_g \Delta E + (1/2p) \Delta m^2$$

insert

group velocity

$$\phi = \Delta E/v_g (v_g t - x) + \frac{\Delta m^2}{2E} x$$

standard  
oscillation  
phase

$$\Delta E \sim \Delta m^2/2E$$

$$< \sigma_x$$

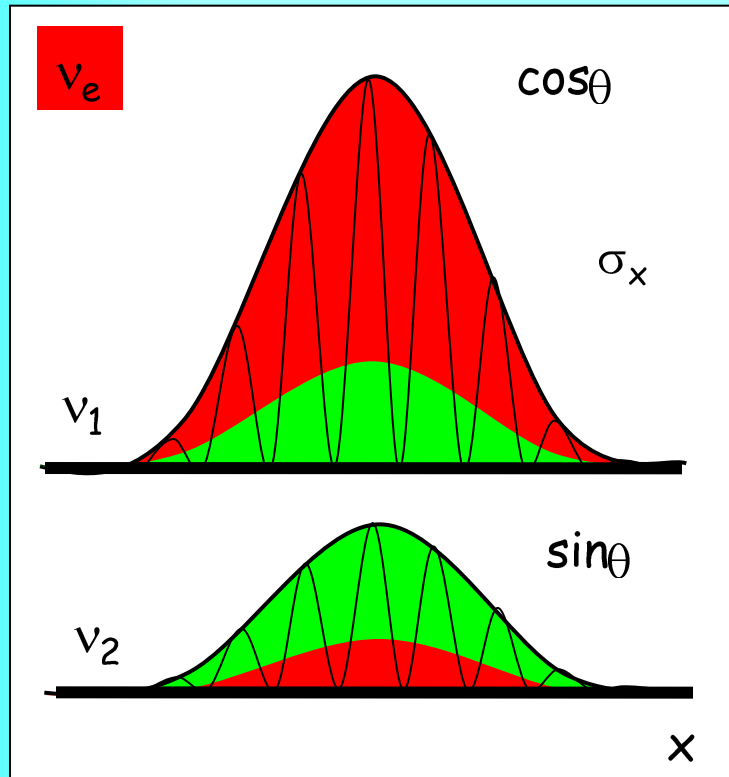
Averaged  
energies

$$\sigma_x \Delta m^2/2E$$

Oscillation effect  
over the size of WP  
usually- small

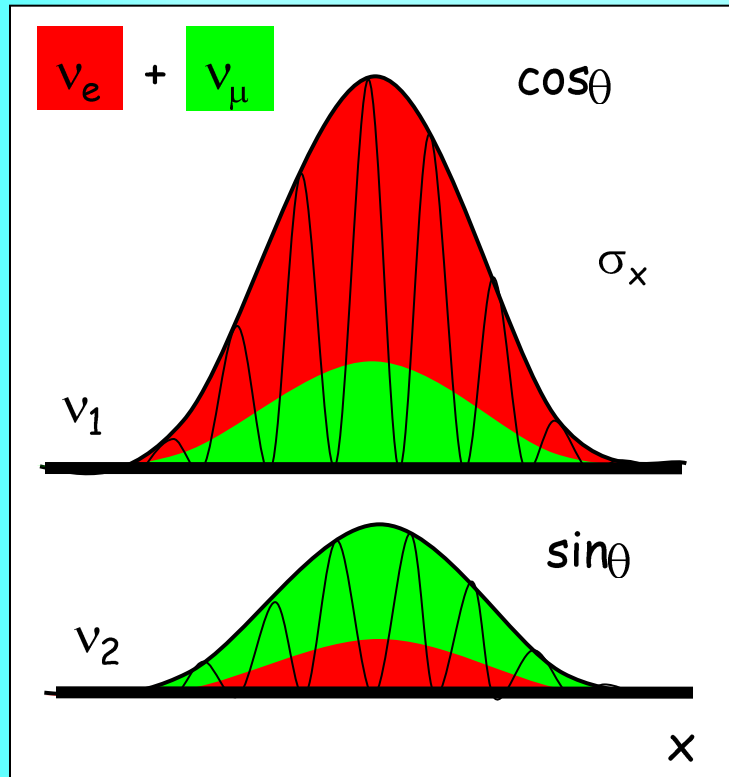
Phase difference  
along the wave  
packets is the same

# Oscillations



- Destructive interference of the muon parts
- Constructive interference of electron parts

# Oscillations



- Destructive interference of the electron parts
- Constructive interference of muon parts

$$\phi = \pi$$

# Detection:

As important as production  
should be considered symmetrically with production

Detection effect can be included in  
the generalized shape factors

$$g_k(x - v_k t) \rightarrow G_k(L - v_k t)$$

$x \rightarrow L$  - distance between central points of the  
production and detection regions

HOMework...

# Oscillation probability

Amplitude of (survival) probability

$$A(\nu_e) = \langle \nu_e | \nu(x, t) \rangle = \cos^2 \theta g_1(x - v_1 t) + \sin^2 \theta g_2(x - v_2 t) e^{i\phi}$$

Probability in the moment of time  $t$

$$P(\nu_e) = \int dx |\langle \nu_e | \nu(x, t) \rangle|^2 =$$
$$= \cos^4 \theta + \sin^4 \theta + 2 \sin^2 \theta \cos^2 \theta \cos \phi \int dx g_1(x - v_1 t) g_2(x - v_2 t)$$

if  $\int dx |g|^2 = 1$

interference

If  $g_1 = g_2$

$$P(\nu_e) = 1 - 2 \sin^2 \theta \cos^2 \theta (1 - \cos \phi) = 1 - \sin^2 2\theta \sin^2 \frac{1}{2} \phi$$

$$\phi = \frac{\Delta m^2 x}{2E} = \frac{2\pi x}{l_\nu}$$

depth of oscillations

$$l_\nu = \frac{4\pi E}{\Delta m^2}$$

Oscillation length



# Physics summary

Appearance  
probability

$$P(\nu_\mu) = \sin^2 2\theta \sin^2 \frac{\pi X}{L_\nu}$$

All complications are "absorbed" in normalization or reduced to partial averaging of oscillations or lead to negligible corrections of order  $m/E \ll 1$

Oscillations - effect of the phase difference increase between mass eigenstates

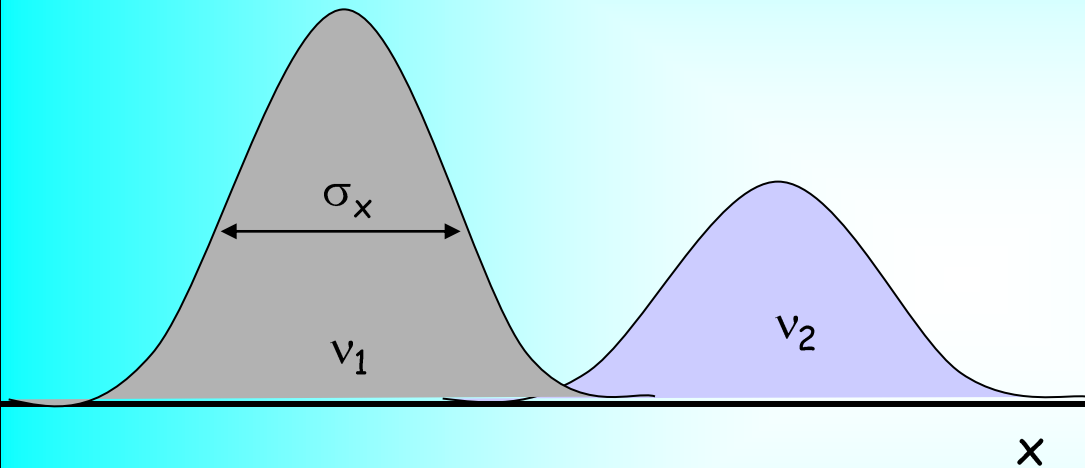
Admixtures of the mass eigenstates  $\nu_i$  in a given neutrino state do not change during propagation

Flavors (flavor composition) of the eigenstates are fixed by the vacuum mixing angle

# Coherence in propagation

\*\*

In the configuration space: separation of the wave packets due to difference of group velocities



$$\Delta v_{gr} = \Delta m^2 / 2E^2$$

$$\text{separation: } \Delta v_{gr} L = \Delta m^2 L / 2E^2$$

no overlap:  $\Delta v_{gr} L > \sigma_x$   
coherence length:

$$L_{coh} = \sigma_x E^2 / \Delta m^2$$

In the energy space: averaging over oscillations

Oscillatory period in the energy space  $E^T = 4\pi E^2 / (\Delta m^2 L)$

Averaging (loss of coherence) if energy resolution  $\sigma_E$  is  $E^T < \sigma_E$

→ leads to the same coherence length

If  $E^T > \sigma_E$  - restoration of coherence even if the wave packets separated

# Equivalence of considerations in $p$ - and $x$ -spaces <sup>\*\*</sup>

on blackboard...

## *L Stodolsky theorem*

or in stationary state  
approximation

if there is no time tagging

Wave packets are  
unnecessary  
for computation  
of observable effects

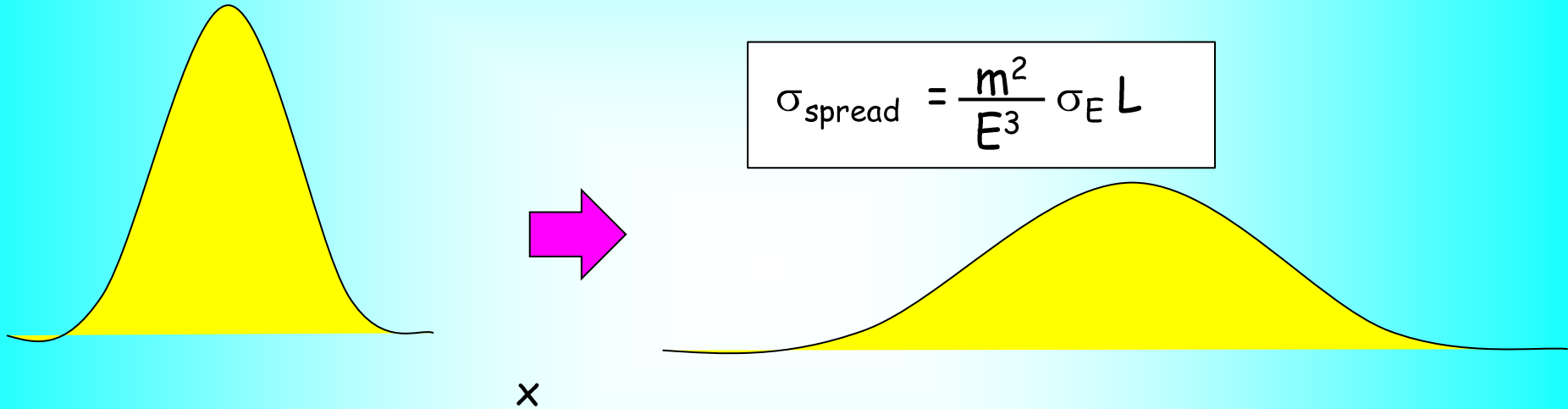
The only what is needed to  
make correct integration  
over

Production  
energy spectrum

Energy resolution  
of a detector

# Spread of wave packets \*\*

on blackboard...



Loss of coherence between different parts of the WP

Becomes classical describing that the highest energy neutrinos arrive first

No effect if considered in the p-space

# Master equation

If loss of coherence and other complications related to WP picture are irrelevant -  
``point-like'' picture

$$i \frac{d\Psi}{dt} = H \Psi$$

$$\Psi = \begin{pmatrix} \Psi_e \\ \Psi_\mu \\ \Psi_\tau \end{pmatrix}$$

$$H = \frac{M M^+}{2E} + V(t)$$

generalization of

$$E \sim p + \frac{m^2}{2E}$$

$V$  - matter effects

$M$  is the mass matrix

$V = \text{diag}(V_e, 0, 0)$  - effective potential

Mixing matrix  
in vacuum

$$M M^+ = U M_{\text{diag}}^2 U^+$$

$$M_{\text{diag}}^2 = \text{diag}(m_1^2, m_2^2, m_3^2)$$

# Neutrino polarization vectors

$$\psi = \begin{pmatrix} \nu_e \\ \nu_{\tau'} \end{pmatrix} \rightarrow$$

Polarization vector:

$$\mathbf{P} = \psi^\dagger \boldsymbol{\sigma} / 2 \psi \quad (*)$$

$$\mathbf{P} = \begin{pmatrix} \text{Re } \nu_e^\dagger \nu_{\tau'} \\ \text{Im } \nu_e^\dagger \nu_{\tau'} \\ \nu_e^\dagger \nu_e - 1/2 \end{pmatrix}$$

Evolution equation:

$$i \frac{d\Psi}{dt} = H \Psi \rightarrow$$

$$i \frac{d\Psi}{dt} = (\mathbf{B} \cdot \boldsymbol{\sigma}) \Psi$$

where  $\mathbf{B} = \frac{2\pi}{l_m} (\sin 2\theta_m, 0, \cos 2\theta_m)$

Differentiating  $\mathbf{P}$  from (\*) and using equation of motion for  $\Psi$

$$\frac{d\mathbf{P}}{dt} = (\mathbf{B} \times \mathbf{P})$$

Coincides with equation for the electron spin precession in the magnetic field

# Graphical representation

$$\vec{v} = \mathbf{P} = (\text{Re } v_e^+ v_\tau, \text{Im } v_e^+ v_\tau, v_e^+ v_e - 1/2)$$

$$\mathbf{B} = \frac{2\pi}{I_m} (\sin 2\theta_m, 0, \cos 2\theta_m)$$

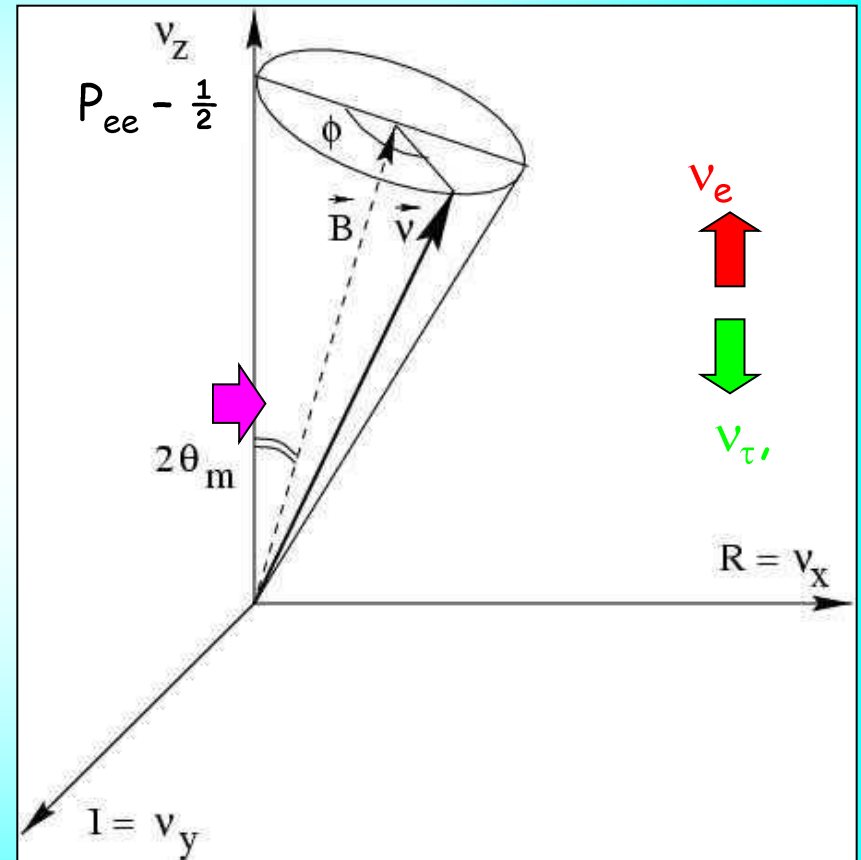
Evolution equation

$$\frac{d\vec{v}}{dt} = (\mathbf{B} \times \vec{v})$$

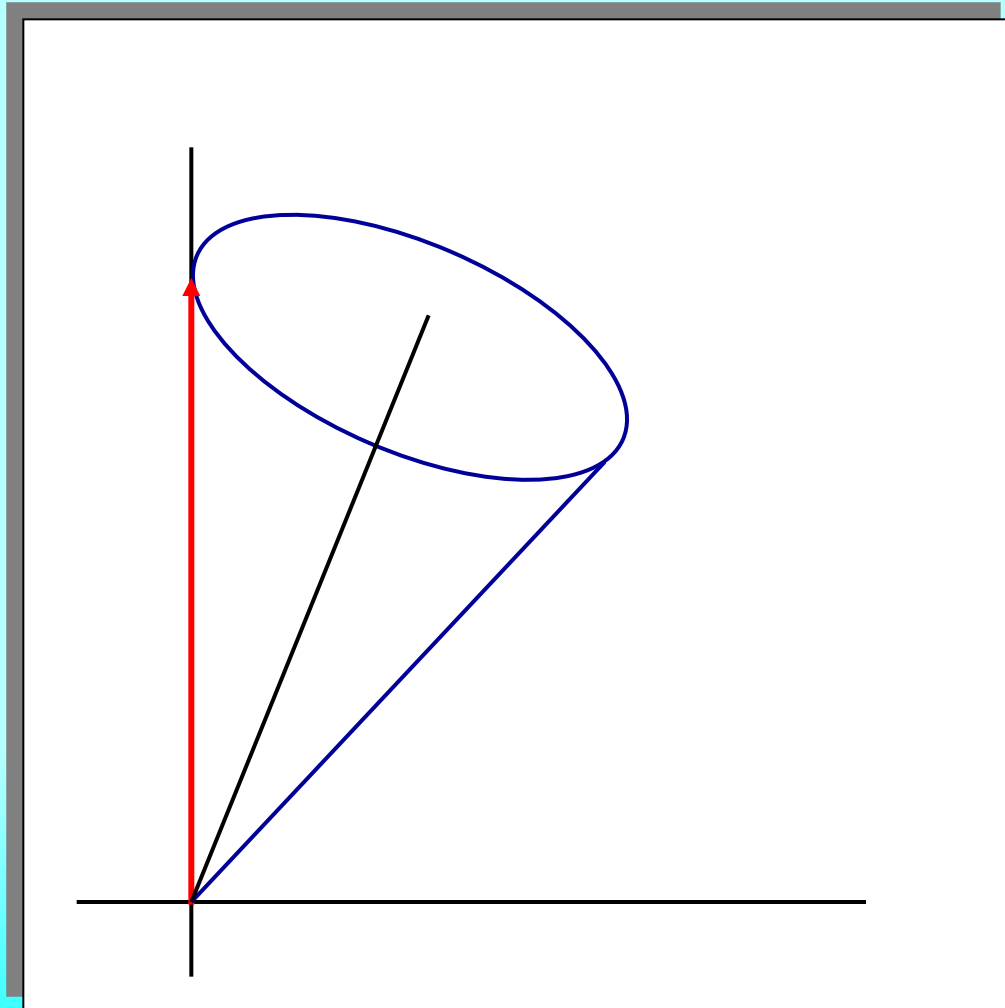
$\phi = 2\pi t / I_m$  - phase of oscillations

$$P_{ee} = v_e^+ v_e = v_z + 1/2 = \cos^2 \theta_z / 2$$

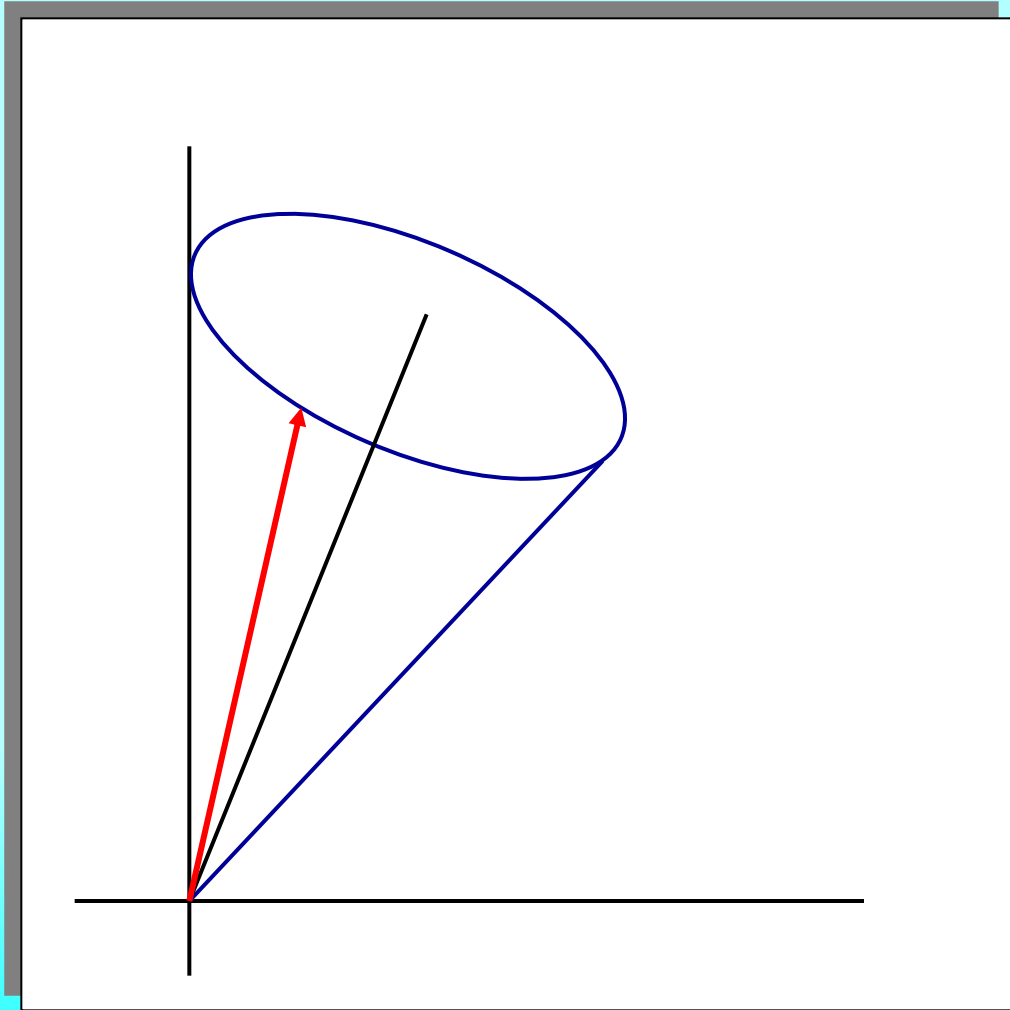
probability to find  $v_e$

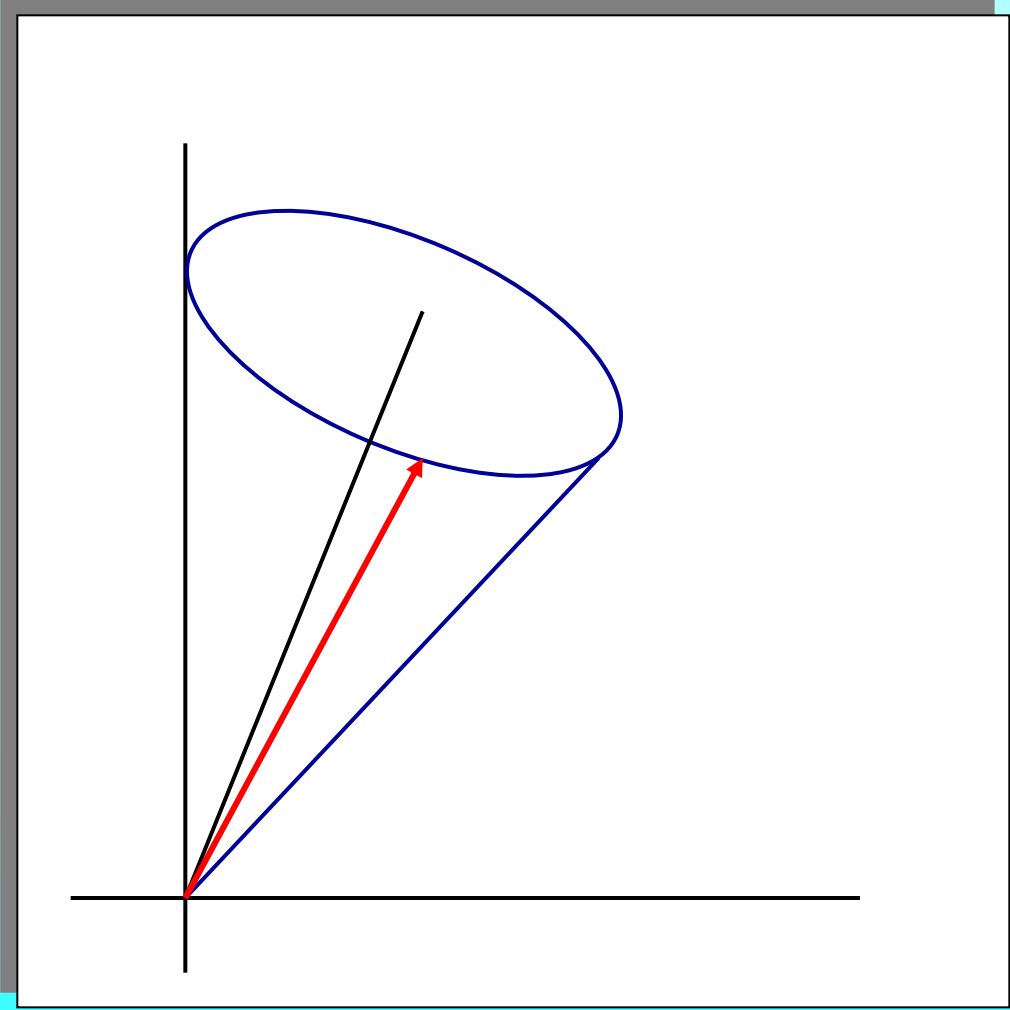


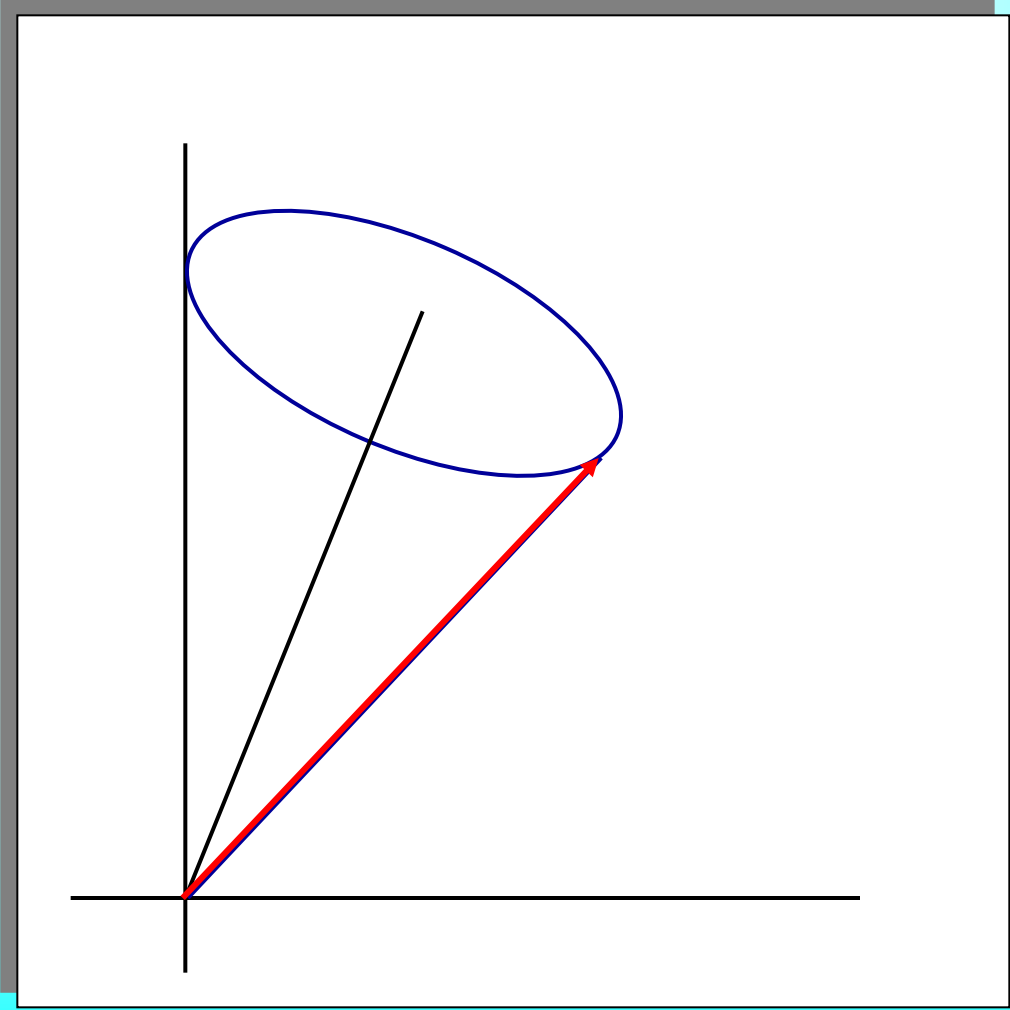
# Oscillations

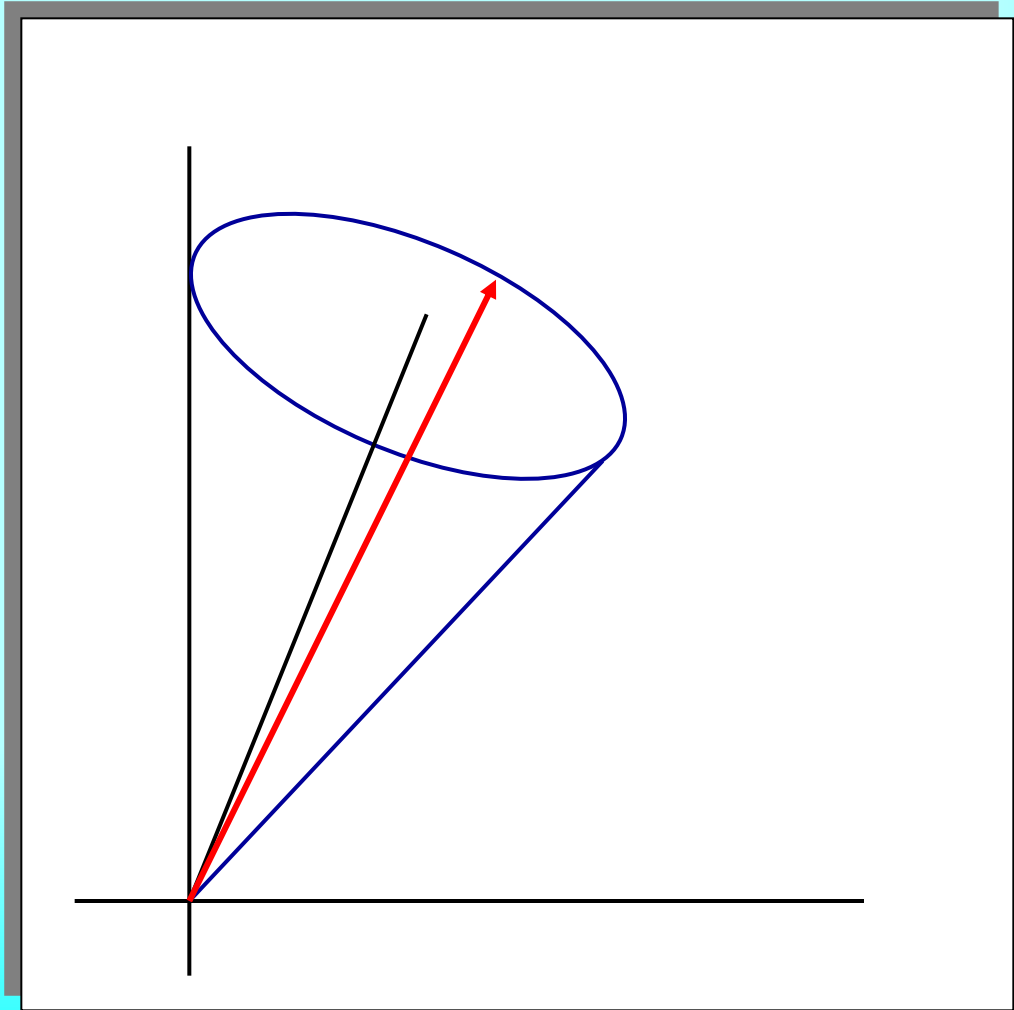


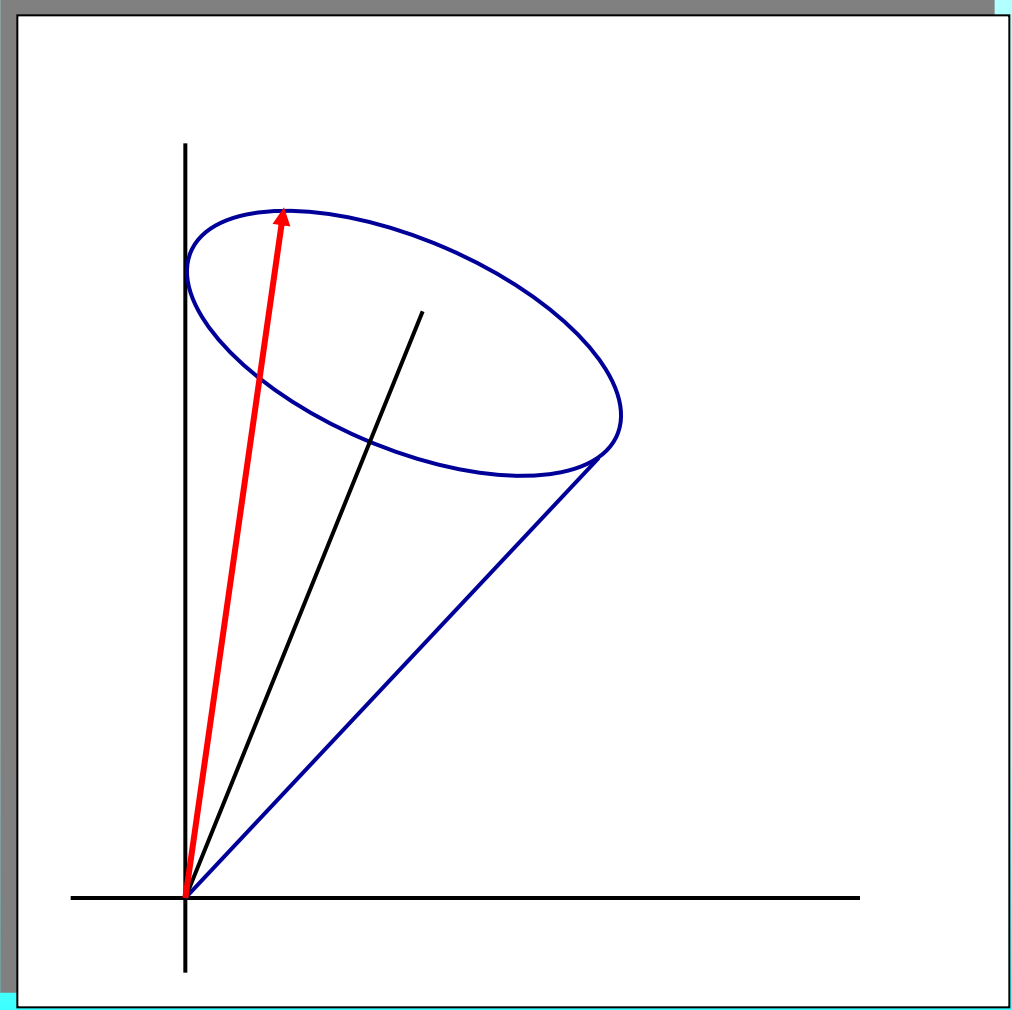


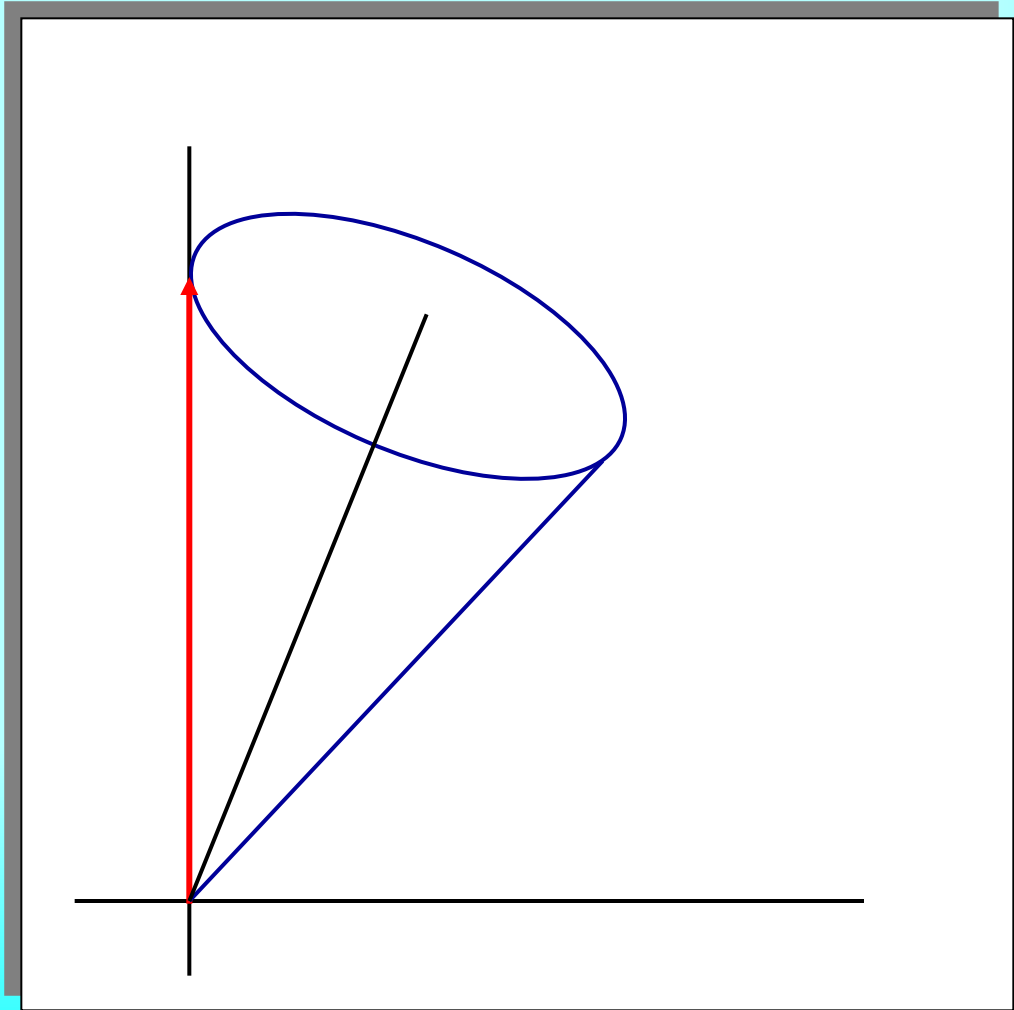




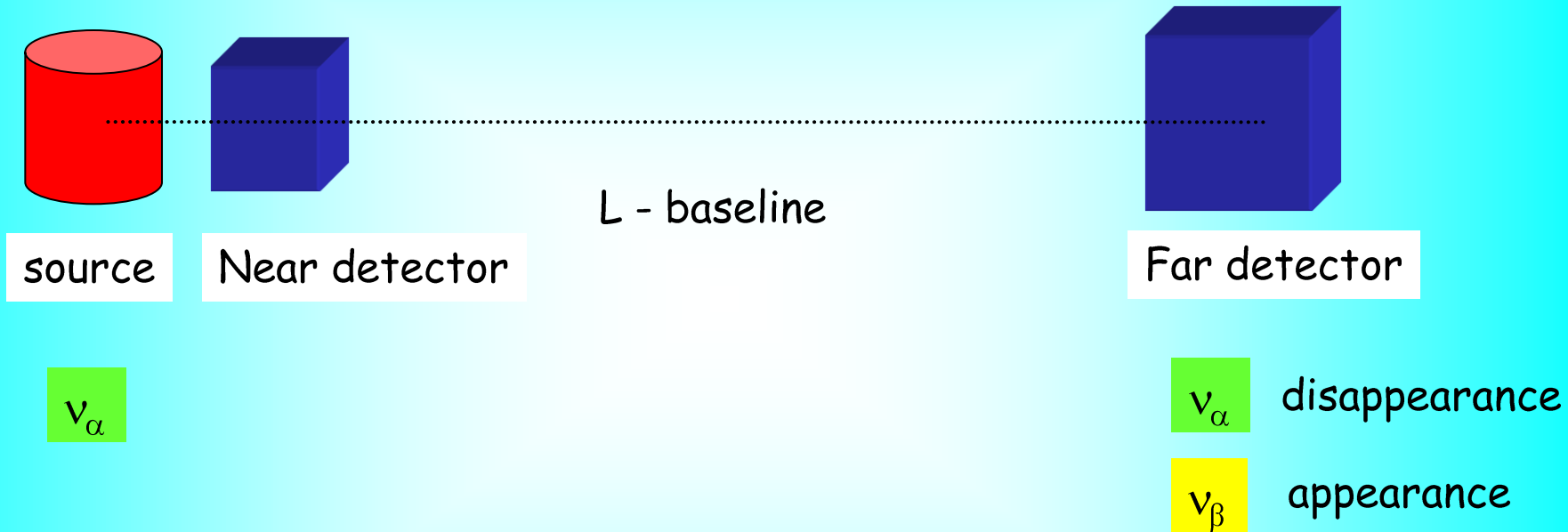








# Experimental set-up



$$\phi = \frac{\Delta m^2 \times L}{2E}$$

Oscillation probability - periodic function of

- distance L and
- inverse energy  $1/E$

# Matter effects: Oscillations & flavor conversion



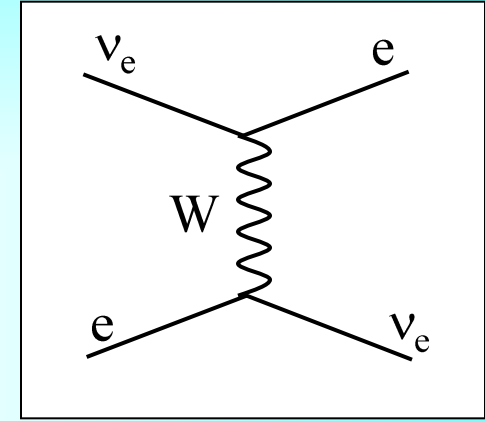
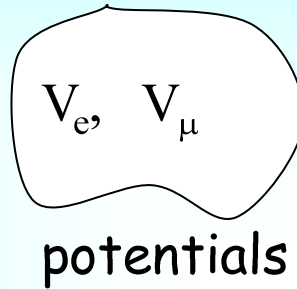
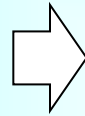
# Matter potential

*L. Wolfenstein, 1978*

for  $\nu_e \nu_\mu$

at low energies  $\text{Re } A \gg \text{Im } A$   
inelastic interactions can be neglected

Elastic forward scattering



Refraction index:

$$n - 1 = V / p$$

for  $E = 10 \text{ MeV}$

$$n - 1 = \begin{cases} \sim 10^{-20} & \text{inside the Earth} \\ < 10^{-18} & \text{inside the Sun} \end{cases}$$

difference of potentials

$$V = V_e - V_\mu = \sqrt{2} G_F n_e$$

$V \sim 10^{-13} \text{ eV}$  inside the Earth

Refraction length:

$$l_0 = \frac{2\pi}{V}$$

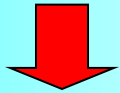
# Matter potential

derivation

At low energies: neglect the inelastic scattering and absorption effect is reduced to the elastic forward scattering (refraction) described by the potential  $V$ :

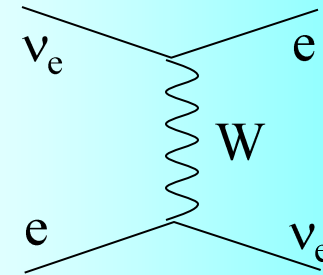
$$H_{\text{int}}(\mathbf{v}) = \langle \psi | H_{\text{int}} | \psi \rangle = V \bar{\mathbf{v}} \mathbf{v}$$

$\psi$  is the wave function of the medium



CC interactions with electrons

$$H_{\text{int}} = \frac{G_F}{\sqrt{2}} \bar{\mathbf{v}} \gamma^\mu (1 - \gamma_5) \mathbf{v} \bar{\mathbf{e}} \gamma_\mu (1 - \gamma_5) \mathbf{e}$$



$$\langle \bar{\mathbf{e}} \gamma_0 (1 - \gamma_5) \mathbf{e} \rangle = n_e \quad - \text{the electron number density}$$

$$\langle \bar{\mathbf{e}} \vec{\gamma} \mathbf{e} \rangle = n_e \vec{\mathbf{v}}$$

$$\langle \bar{\mathbf{e}} \vec{\gamma} \gamma_5 \mathbf{e} \rangle = n_e \vec{\lambda}_e \quad - \text{averaged polarization vector of } e$$

For unpolarized medium at rest:

$$V = \sqrt{2} G_F n_e$$

# Mixing in matter

*in vacuum:*

*in matter:*

Effective Hamiltonian

$$H_0$$



$$H = H_0 + V$$

Eigenstates

$$\nu_1, \nu_2$$



$$\nu_{1m}, \nu_{2m}$$

depend on  $n_e, E$

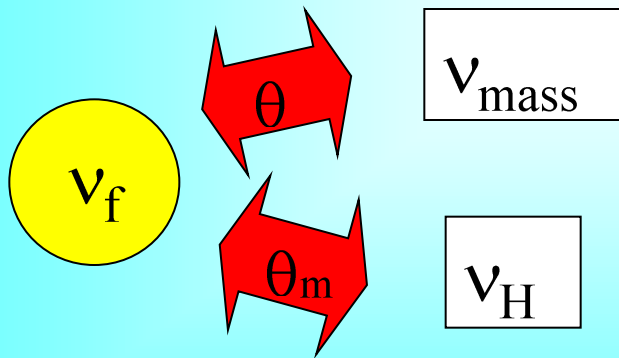
Eigenvalues

$$m_1^2/2E, m_2^2/2E$$

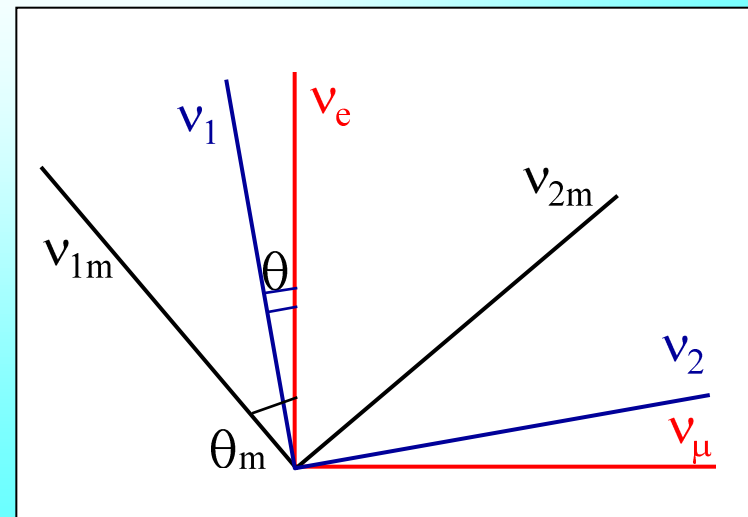


$$H_{1m}, H_{2m}$$

instantaneous



Mixing angle determines flavors (flavor composition) of eigenstates of propagation



# Evolution equation

$$i \frac{dv_f}{dt} = H_{\text{tot}} v_f$$


$$v_f = \begin{pmatrix} v_e \\ v_\mu \end{pmatrix}$$

$H_{\text{tot}} = H_{\text{vac}} + V$  is the total Hamiltonian

$H_{\text{vac}} = \frac{M^2}{2E}$  is the vacuum (kinetic) part

$V = \begin{pmatrix} V_e & 0 \\ 0 & 0 \end{pmatrix}$  matter part  $V_e = \sqrt{2} G_F n_e$

$$i \frac{d}{dt} \begin{pmatrix} v_e \\ v_\mu \end{pmatrix} = \begin{pmatrix} -\frac{\Delta m^2}{2E} \cos 2\theta + V_e & \frac{\Delta m^2}{4E} \sin 2\theta \\ \frac{\Delta m^2}{4E} \sin 2\theta & 0 \end{pmatrix} \begin{pmatrix} v_e \\ v_\mu \end{pmatrix}$$

$H_{\text{tot}}$  

# Mixing in matter

Diagonalization of the Hamiltonian:

$$\sin^2 2\theta_m = \frac{\sin^2 2\theta}{(\cos 2\theta - 2EV/\Delta m^2)^2 + \sin^2 2\theta}$$

$$V = \sqrt{2} G_F n_e$$

Mixing is maximal if

$$V = \frac{\Delta m^2}{2E} \cos 2\theta$$



Resonance  
condition

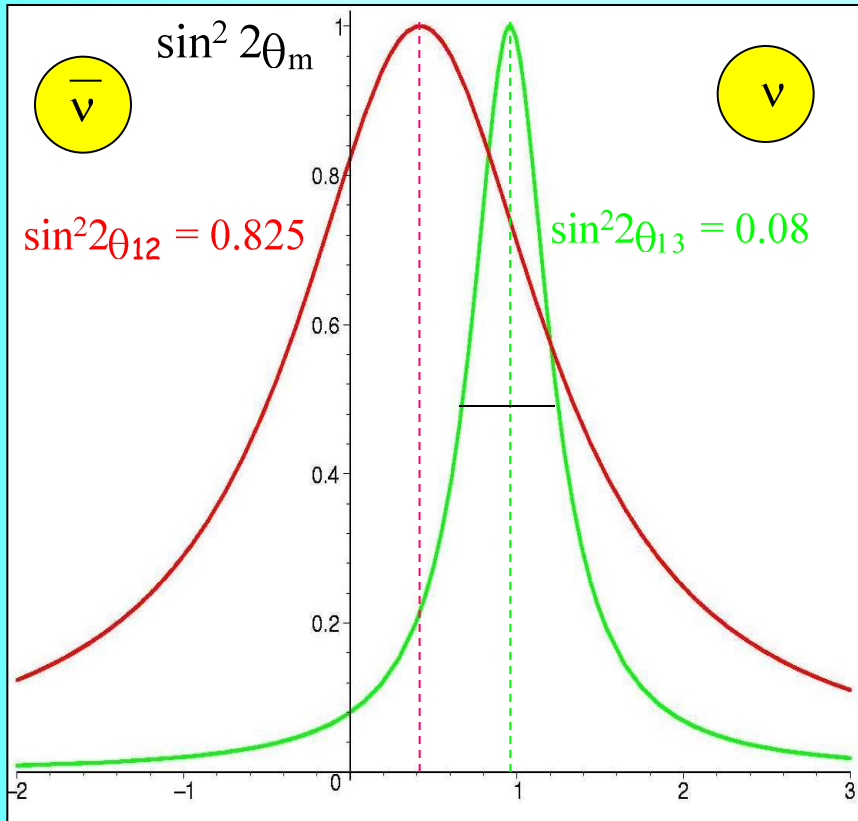
$$H_e = H_\mu$$

$$\sin^2 2\theta_m = 1$$

Difference of the eigenvalues

$$H_{2m} - H_{1m} = \frac{\Delta m^2}{2E} \sqrt{(\cos 2\theta - 2EV/\Delta m^2)^2 + \sin^2 2\theta}$$

# Resonance



In resonance:

$$\sin^2 2\theta_m = 1$$

Flavor mixing is maximal

$$l_v = l_0 \cos 2\theta$$

Vacuum  
oscillation  
length

$\approx$

Refraction  
length

Resonance width:  $\Delta n_R = 2n_R \tan 2\theta$

Resonance layer:  $n = n_R \pm \Delta n_R$

# Level crossing

*V. Rubakov, private comm.*

*N. Cabibbo, Savonlinna 1985*

*H. Bethe, PRL 57 (1986) 1271*

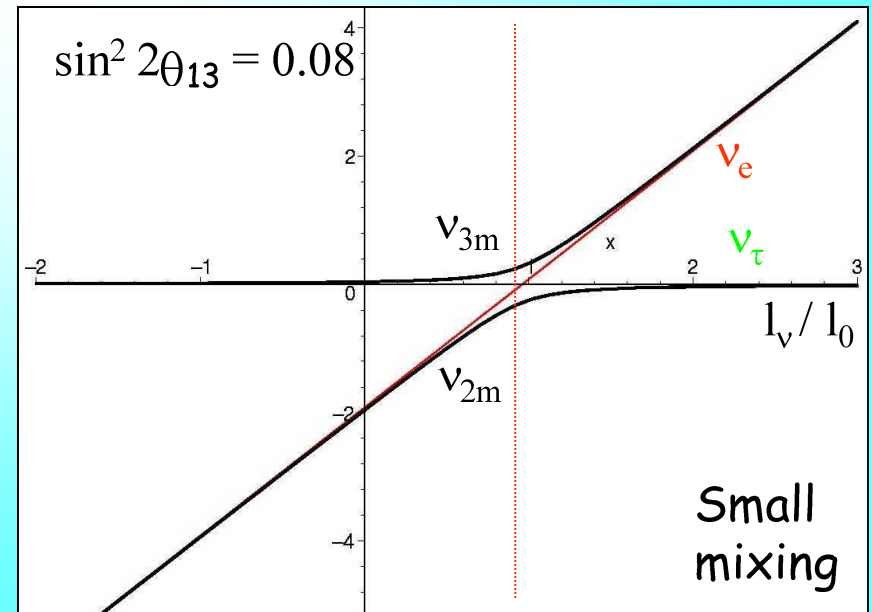
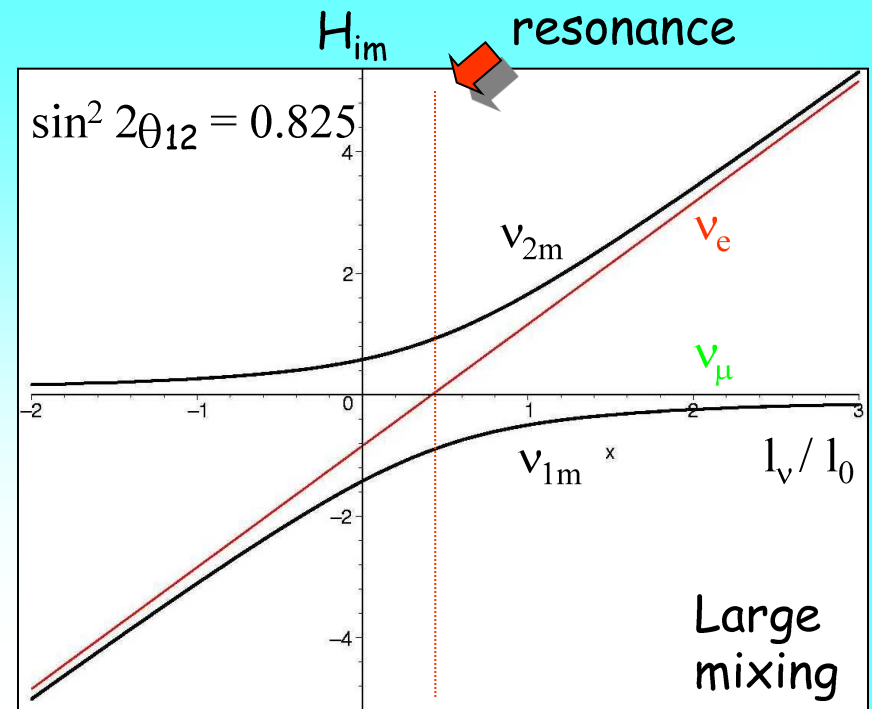
Dependence of the neutrino eigenvalues on the matter potential (density):

$$\frac{l_\nu}{l_0} = \frac{2E V}{\Delta m^2}$$

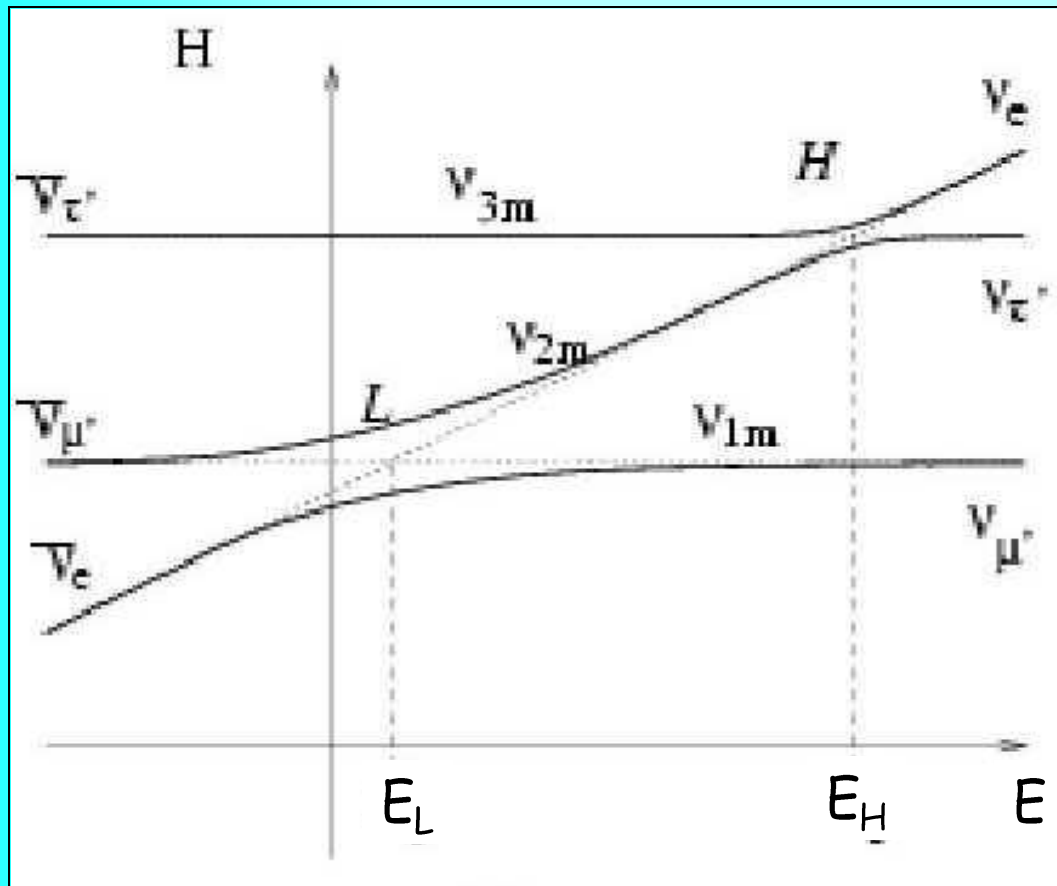
$$\frac{l_\nu}{l_0} = \cos 2\theta$$

Crossing point - resonance

- the level split is minimal
- the oscillation length is maximal



# Level crossings



Normal mass hierarchy

0.1 GeV

6 GeV

Resonance region

High energy range



# Oscillations in matter

Oscillation  
probability  
constant density

$$P(\nu_e \rightarrow \nu_a) = \sin^2 2\theta_m \sin^2 \left( \frac{\pi L}{l_m} \right) \quad \text{half-phase } \phi$$

Amplitude of  
oscillations

oscillatory factor

$\theta_m(E, n)$  - mixing angle in matter

$l_m(E, n)$  - oscillation length in matter

$$l_m = 2\pi / (H_{2m} - H_{1m})$$

In vacuum:

$$\begin{array}{l} \theta_m \rightarrow \theta \\ l_m \rightarrow l_v \end{array}$$

Maximal effect:

$$\sin^2 2\theta_m = 1$$



MSW resonance condition

$$\phi = \pi/2 + \pi k$$

# Oscillation length in matter

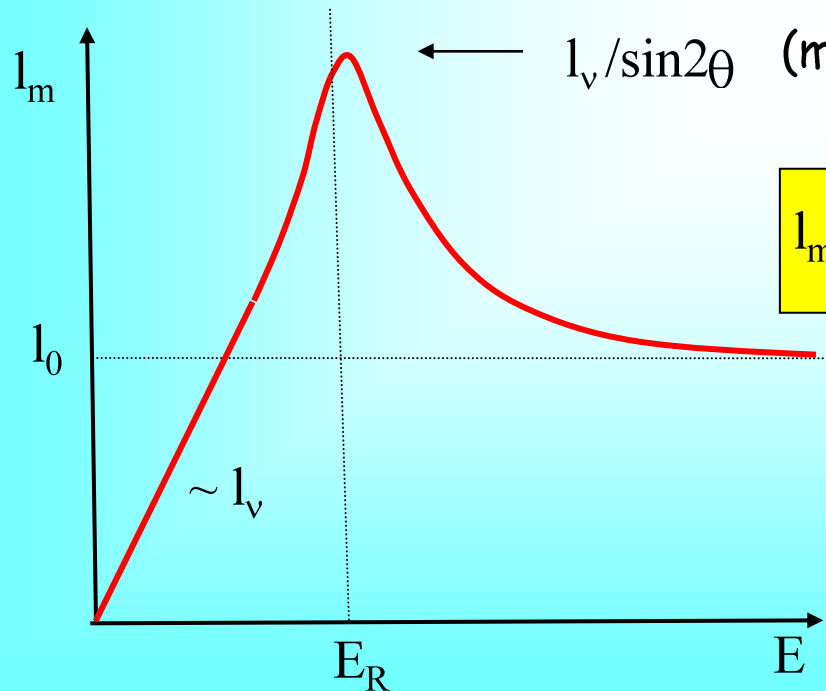
Oscillation length in vacuum

$$l_v = \frac{4\pi E}{\Delta m^2}$$

Refraction length

$$l_0 = \frac{2\pi}{\sqrt{2} G_F n_e}$$

- determines the phase produced by interaction with matter



←  $l_v / \sin 2\theta$  (maximum at  $l_v = l_0 / \cos 2\theta$ )

$$l_m = \frac{2\pi}{H_{2m} - H_{1m}}$$

shifts with respect to resonance energy:

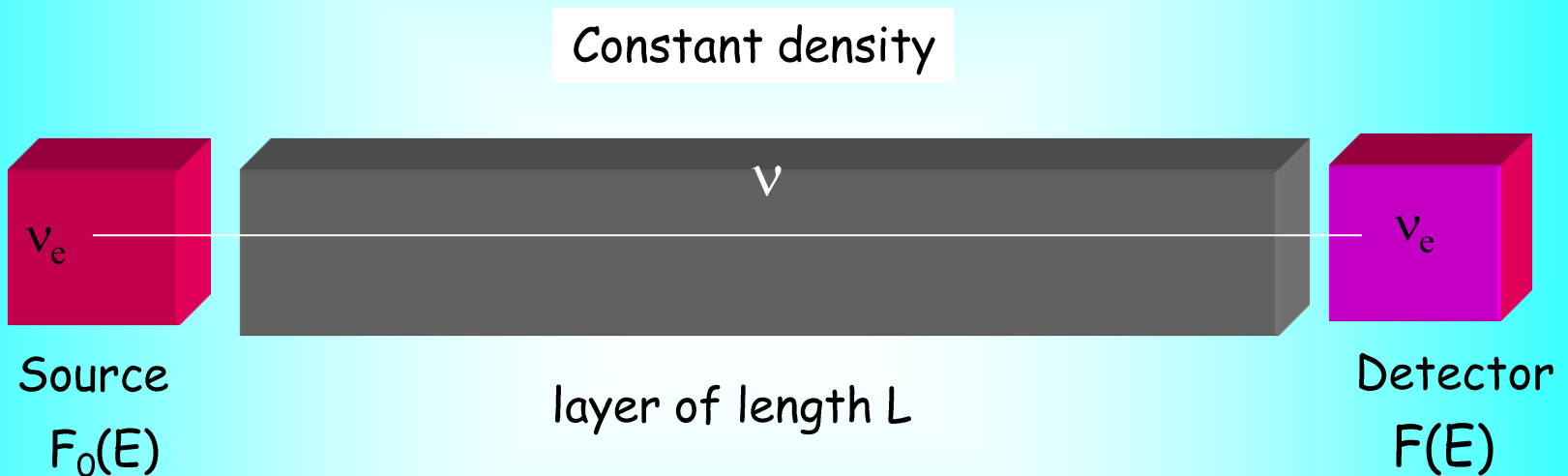
$$l_v(E_R) = l_0 \cos 2\theta$$

converges to the refraction length

# Resonance enhancement of oscillations

Constant density

# Resonance enhancement



Depth of oscillations determined by  $\sin^2 2\theta_m$   
the oscillation length,  $l_m$   
depends on neutrino energy

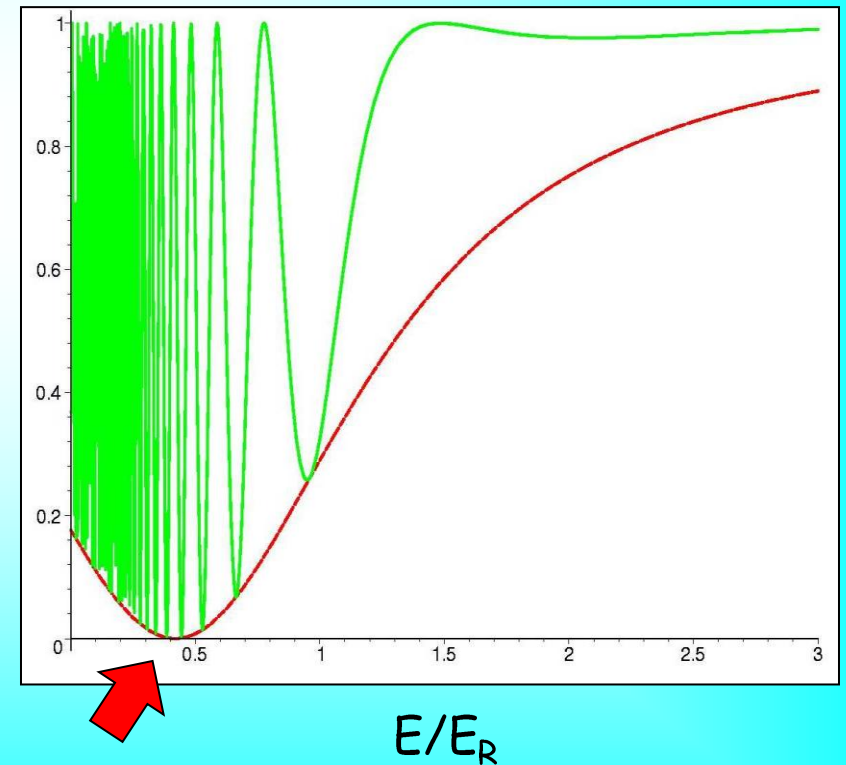
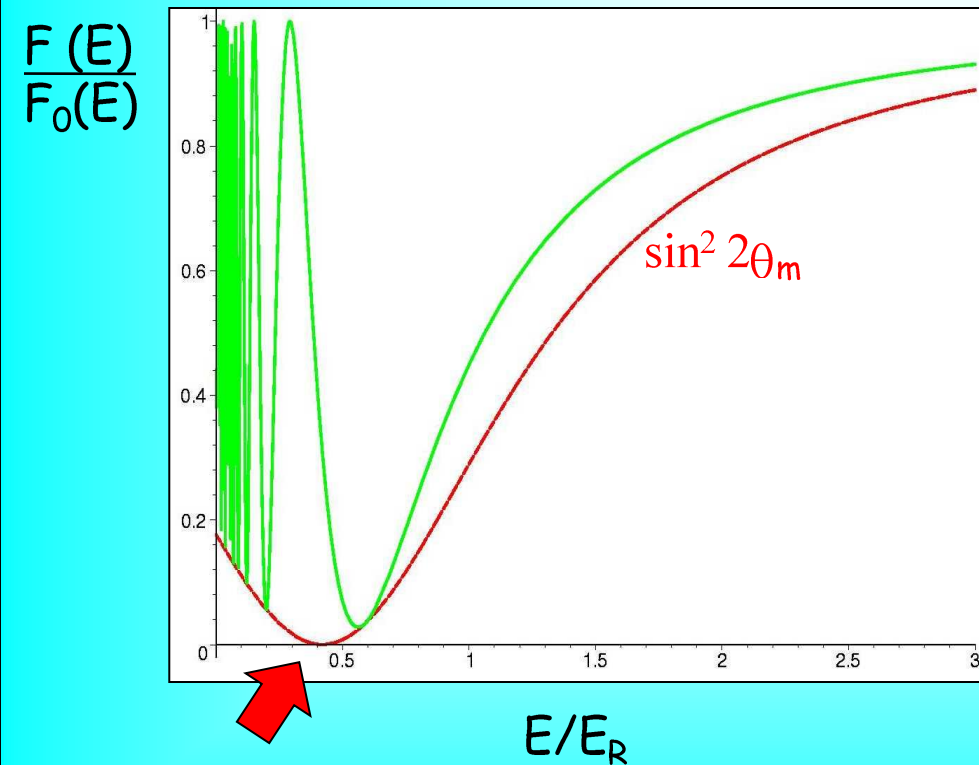
For neutrinos propagating  
in the mantle of the Earth

Large mixing  $\sin^2 2\theta = 0.824$

Layer of length  $L$   $k = \pi L / l_0$

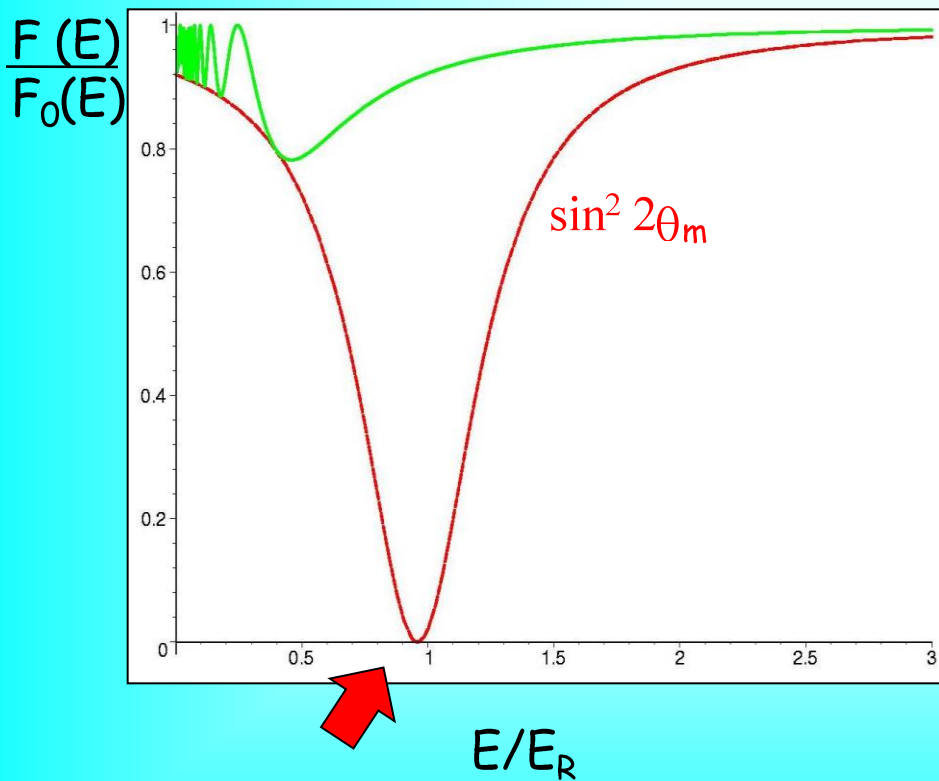
thin layer  $k = 1$

thick layer  $k = 10$

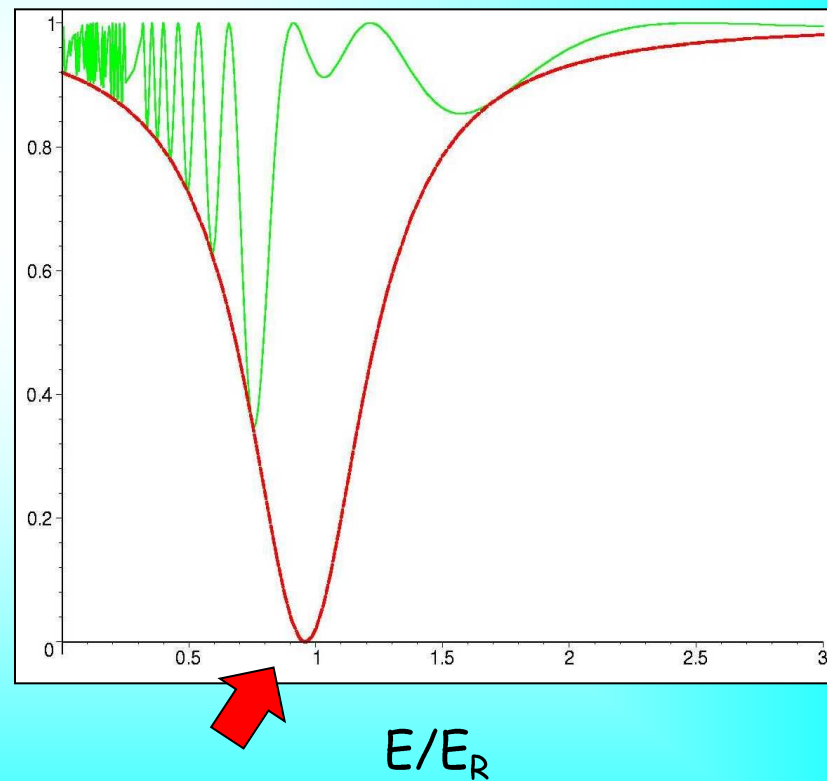


Small mixing  $\sin^2 2\theta = 0.08$

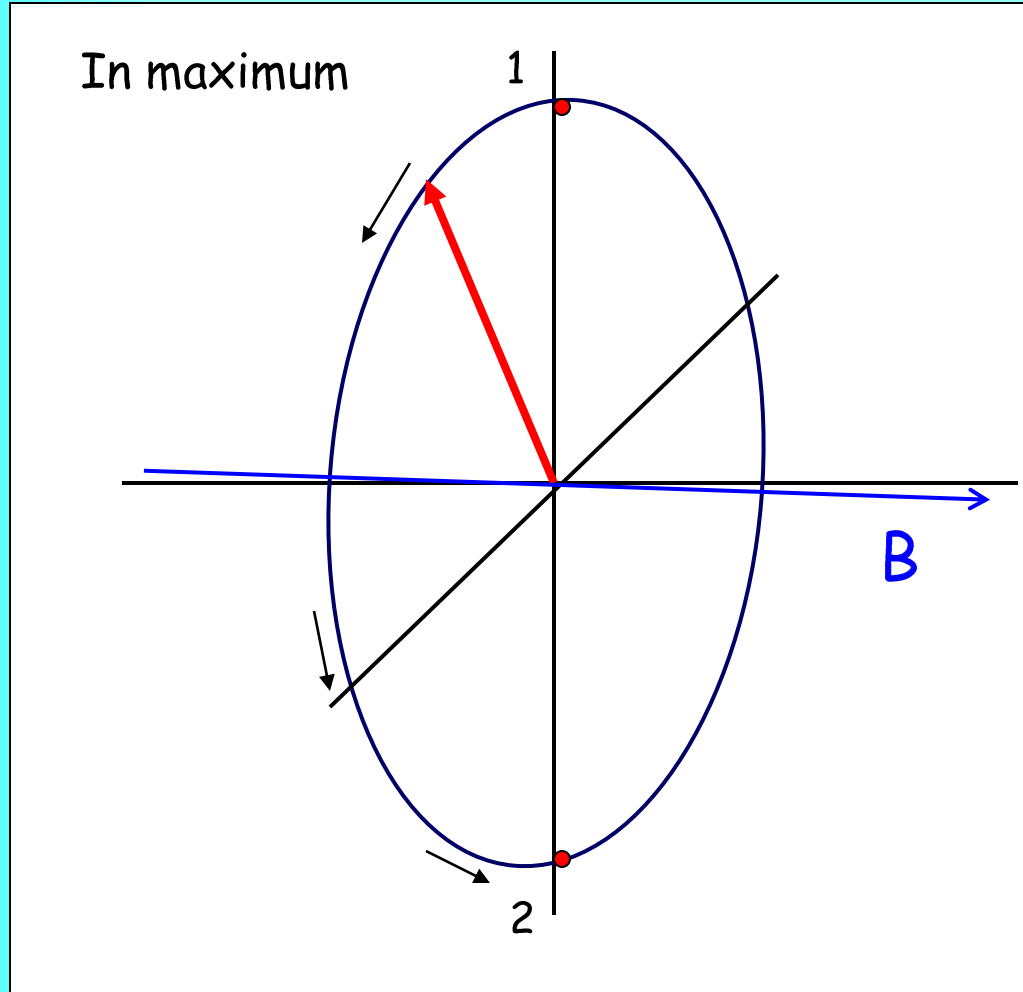
thin layer  $k = 1$



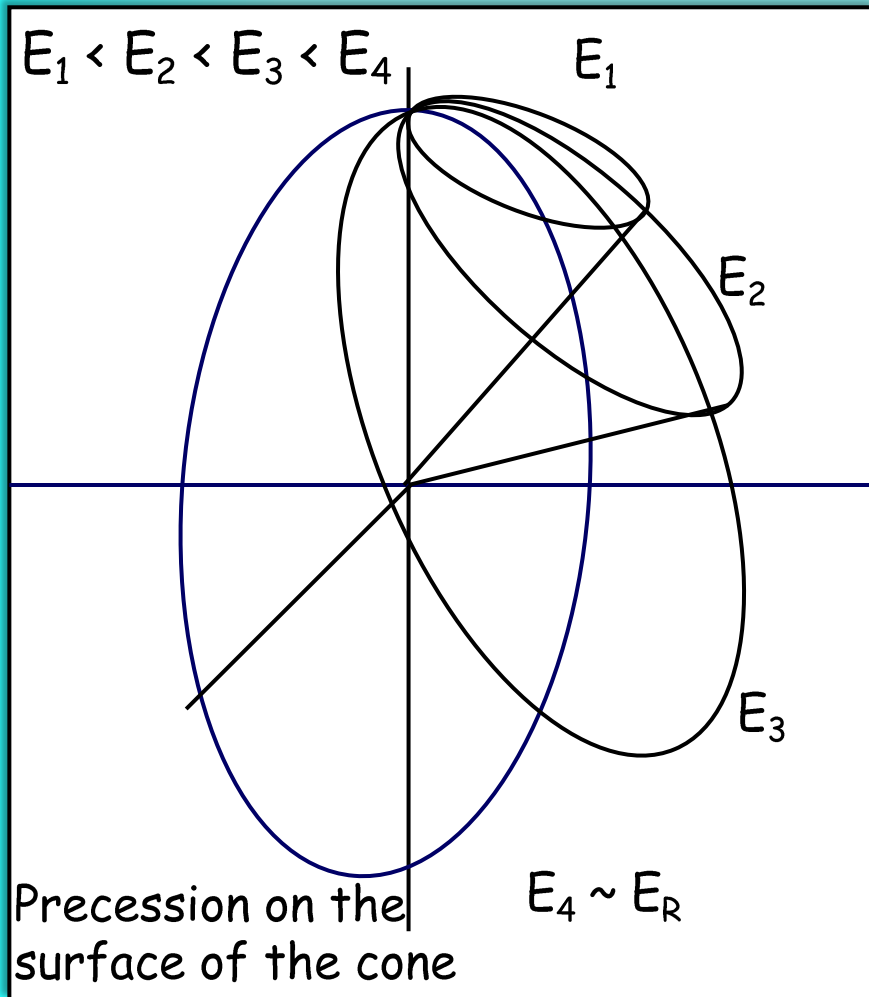
thick layer  $k = 10$



# Resonance enhancement



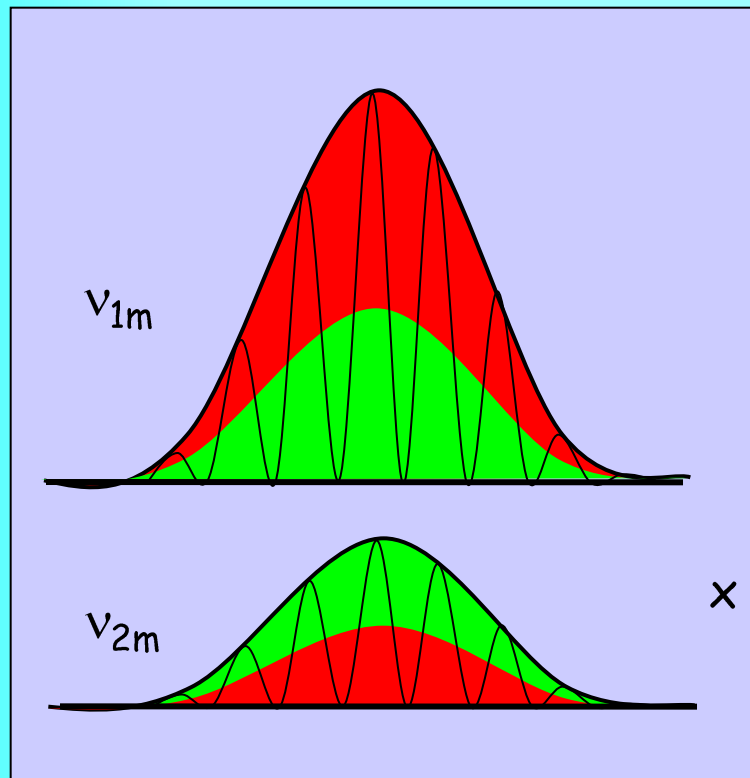
# Graphic representation



## Resonance enhancement



# Oscillations in matter



Constant density medium:  
the same dynamics

Mixing changed  
phase difference changed

$$H_0 \rightarrow H = H_0 + V$$

$$v_k \rightarrow v_{mk}$$

eigenstates  
of  $H_0$

eigenstates  
of  $H$

$$\theta \rightarrow \theta_m(n)$$

Resonance - maximal mixing in matter -  
oscillations with maximal depth

$$\theta_m = \pi/4$$

Resonance condition:

$$V = \cos 2\theta \frac{\Delta m^2}{2E}$$