

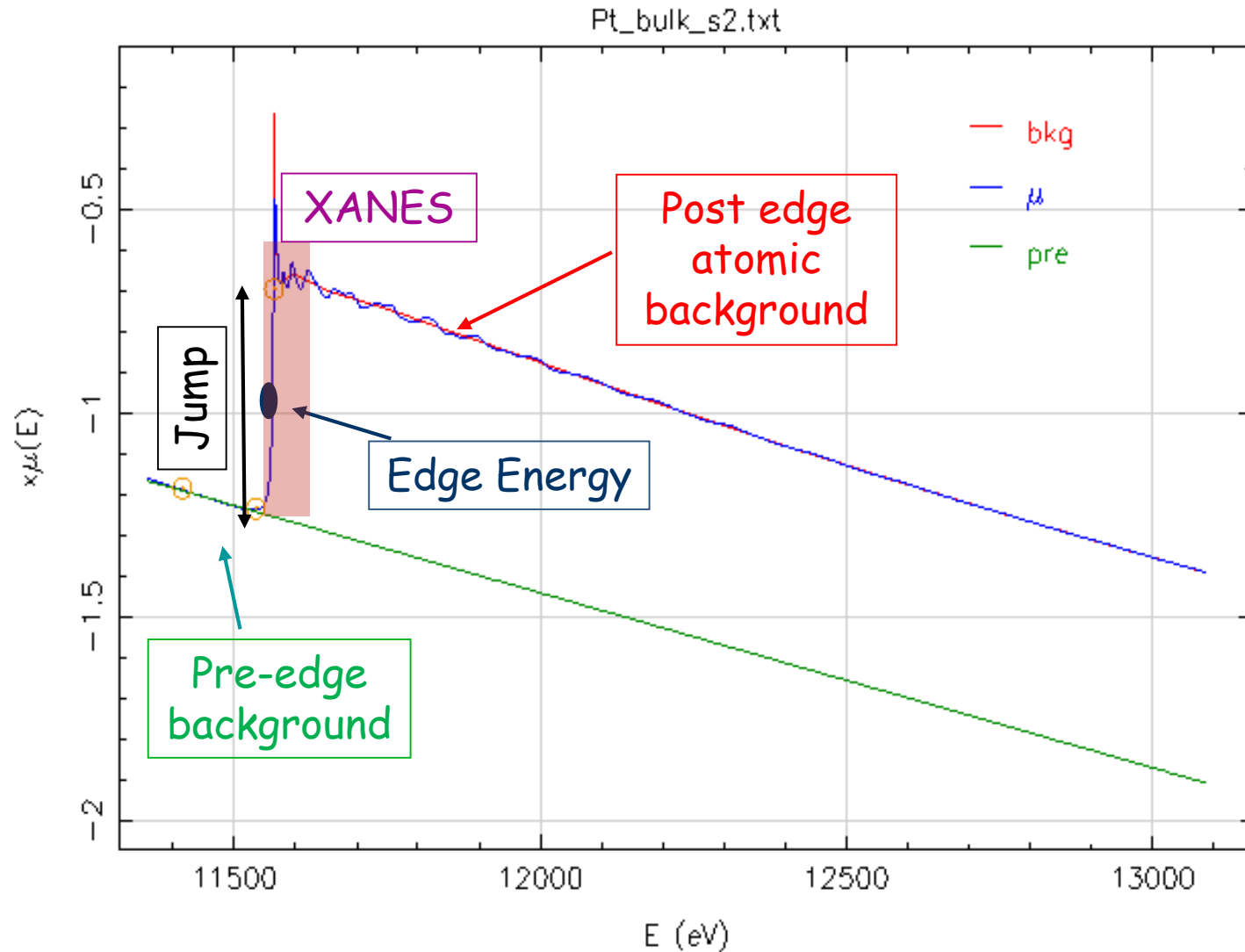
# Introduction to the EXAFS data analysis

Giuliana Aquilanti  
Elettra - Sincrotrone Trieste

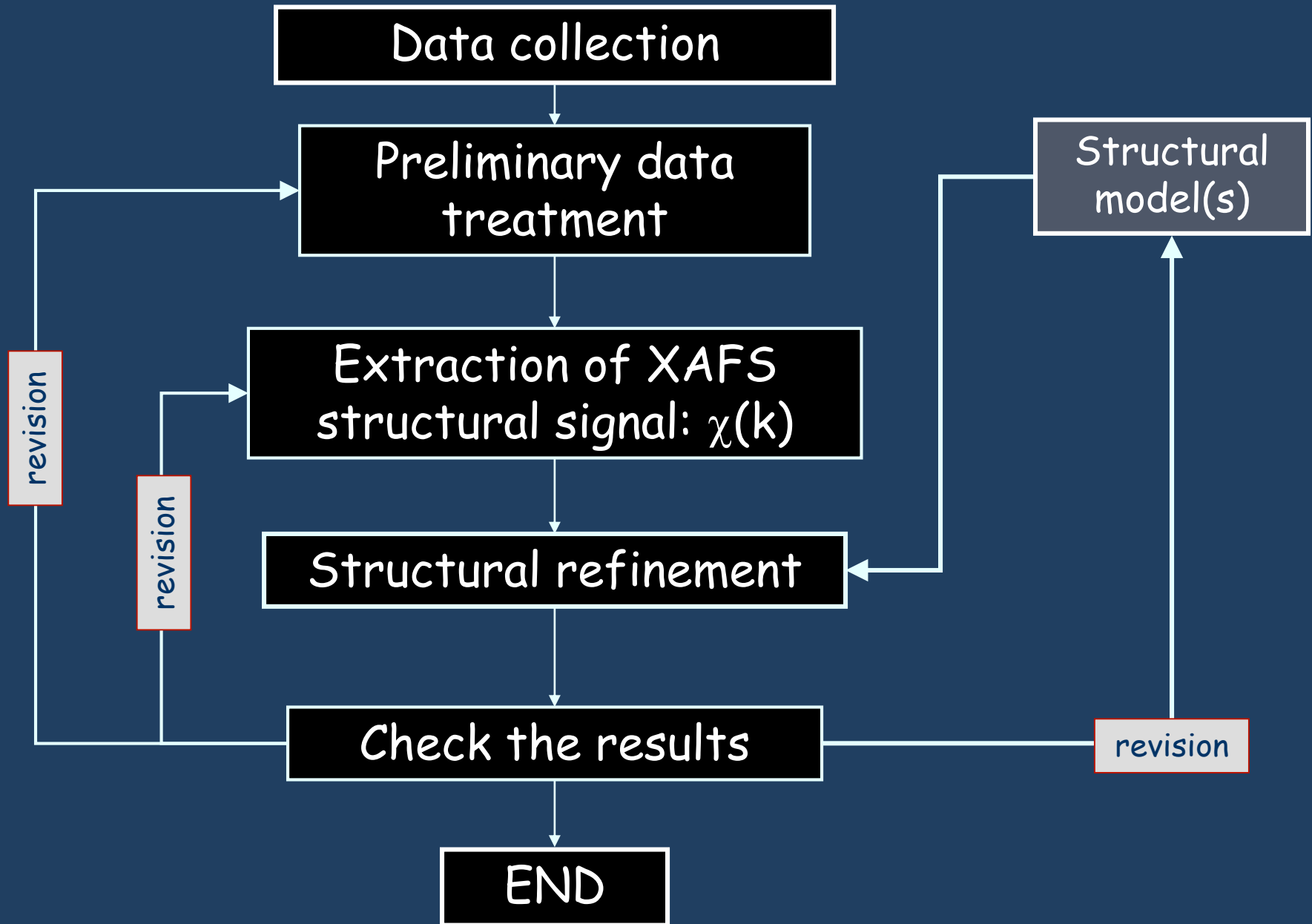


Material almost integrally taken from Carlo Meneghini: EXAFS tutorial at Synchrotron Radiation school of Duino 2011

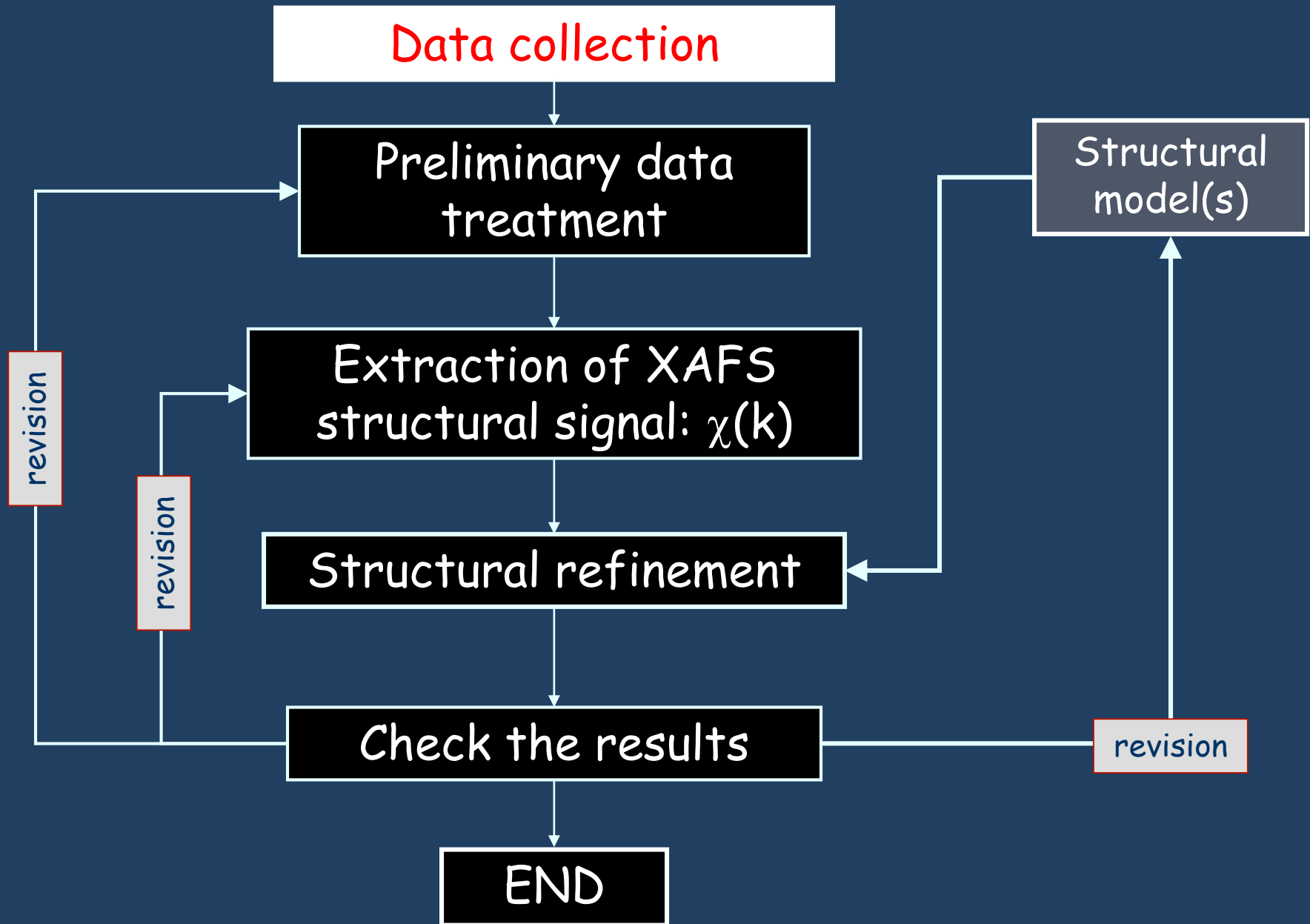
# Characteristics of a XAS spectrum



# XAFS study: from experiment to results



# XAFS study: from experiment to results

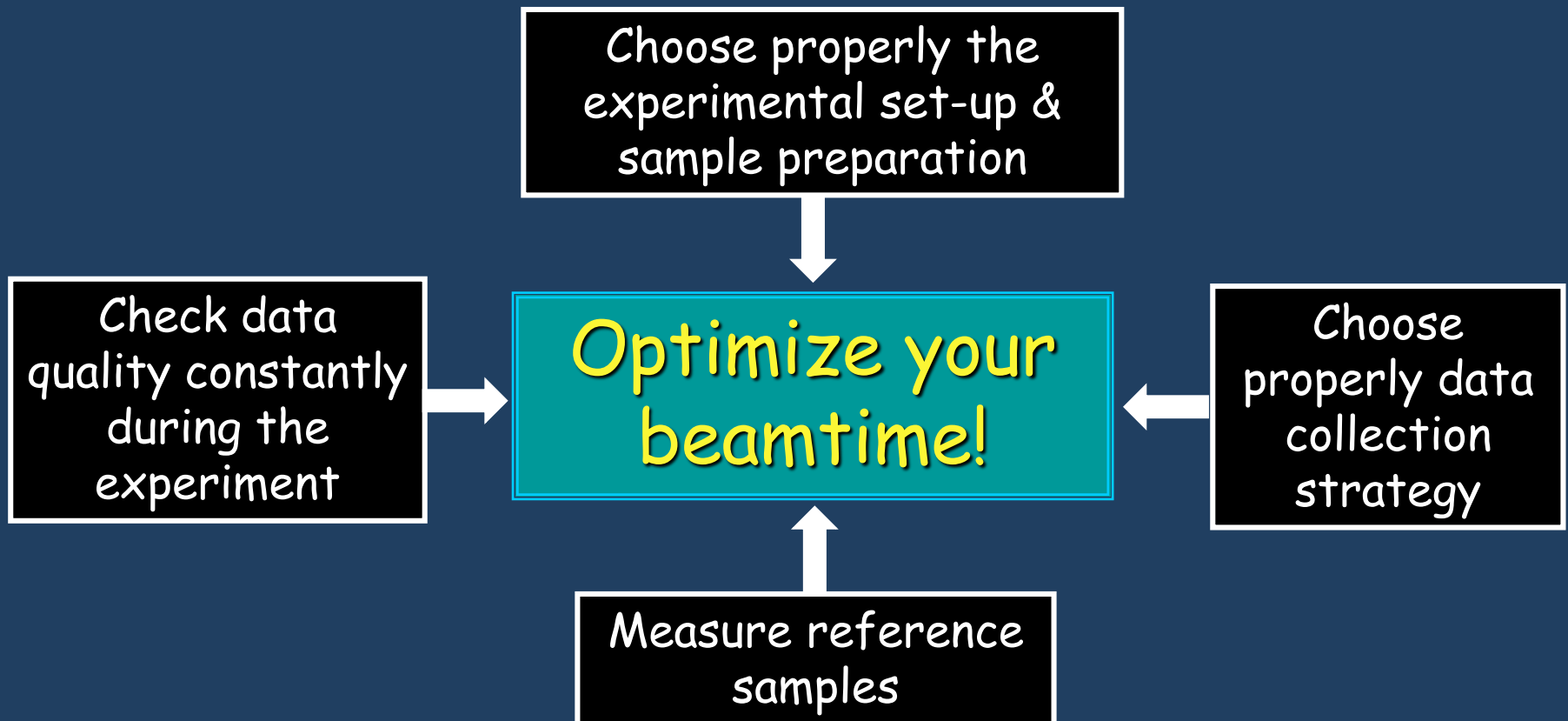


# Data collection

Considerations:

- 1) Proposal submission + proposal evaluation + beamtime scheduling = 6 to 12 months
- 2) Difficult to have new beamtime in case of proposal failure

- Check the proposal submission deadlines
- discuss your experiment with local contacts



# Data collection

Choose properly the experimental set-up & sample preparation

- For massive concentrated samples: **TRANSMISSION**

Jump  $0.5 \leq \Delta\mu t \leq 1.5$

Total absorption  $\mu t \leq 2.$



inhomogeneities, holes, not parallel surfaces, etc...

- For thin concentrated or thin diluted samples: **FLUORESCENCE**

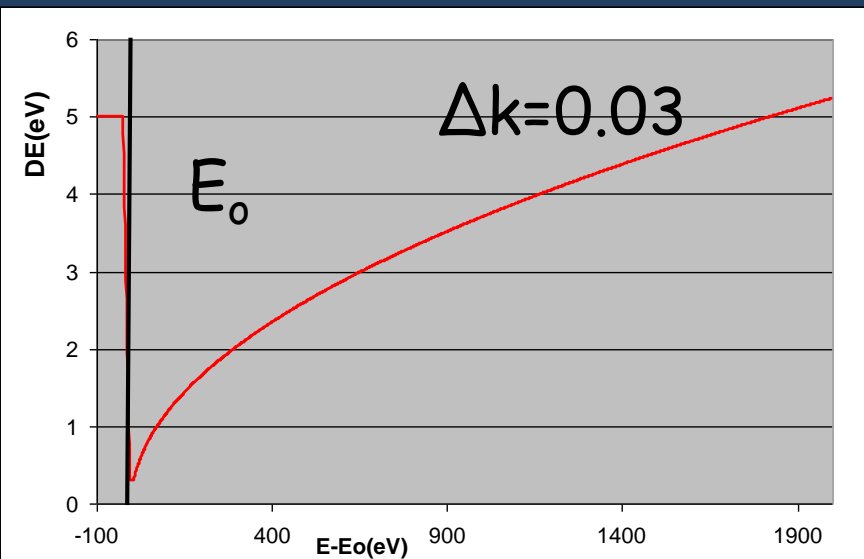


Self absorption, detector linearity, Bragg reflections

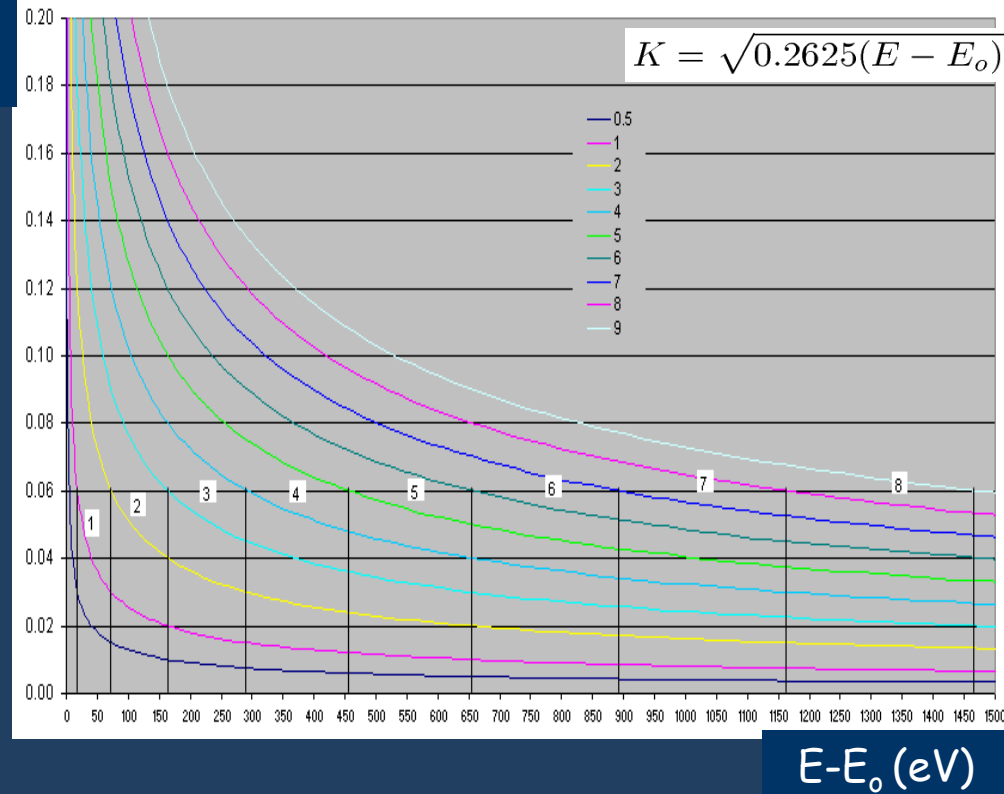
# Data collection

Choose properly the data collection strategy

- Acquisition time per point
- Single scan or repeated scans
- $\Delta E$  or  $\Delta k$  step



$\Delta k$

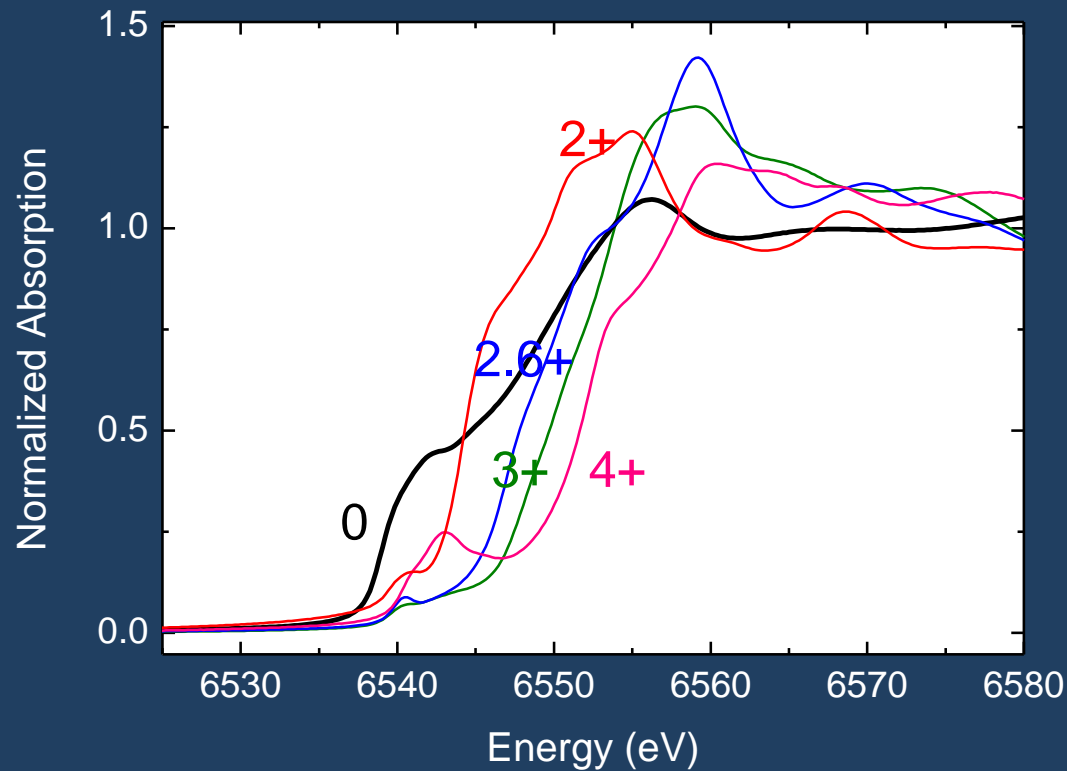
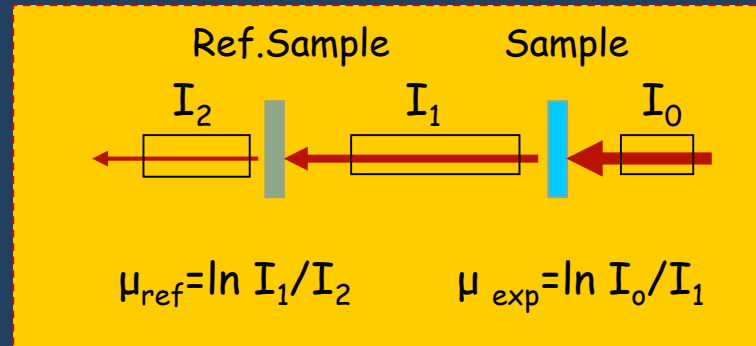


## Constant $\Delta k$ acquisition

- Optimizes the number of collected points
- More efficient
- Faster

# Data collection

Measure reference samples

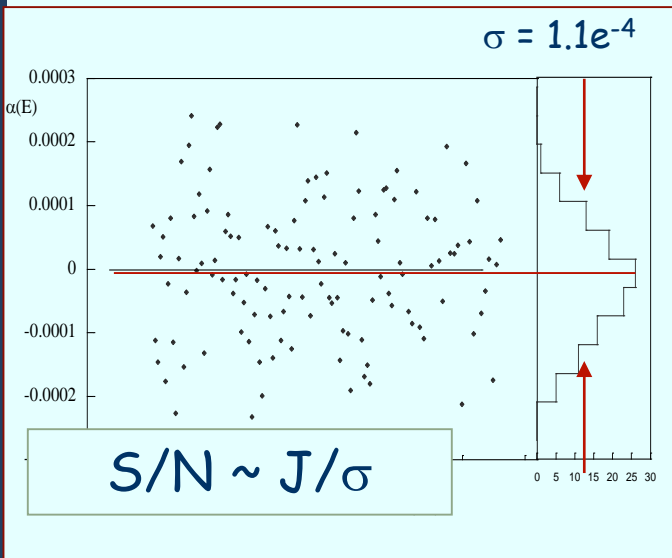
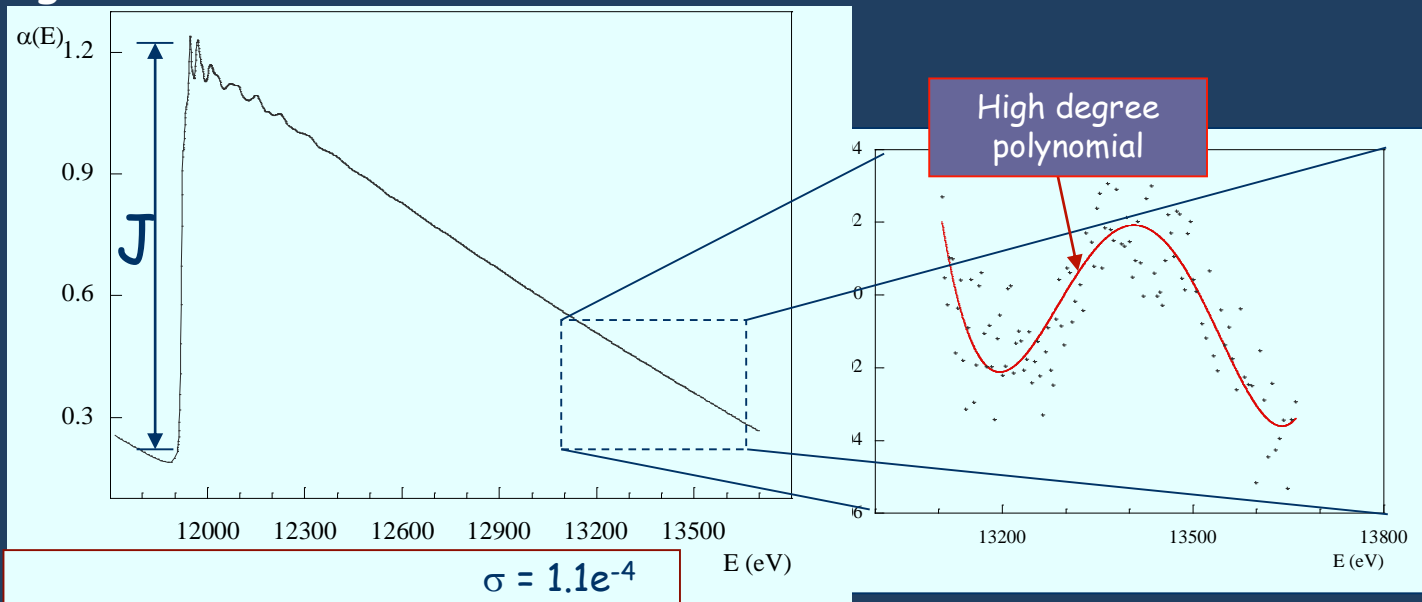




# Data collection

Check data quality constantly during the experiment

- Evaluate signal/noise ratio



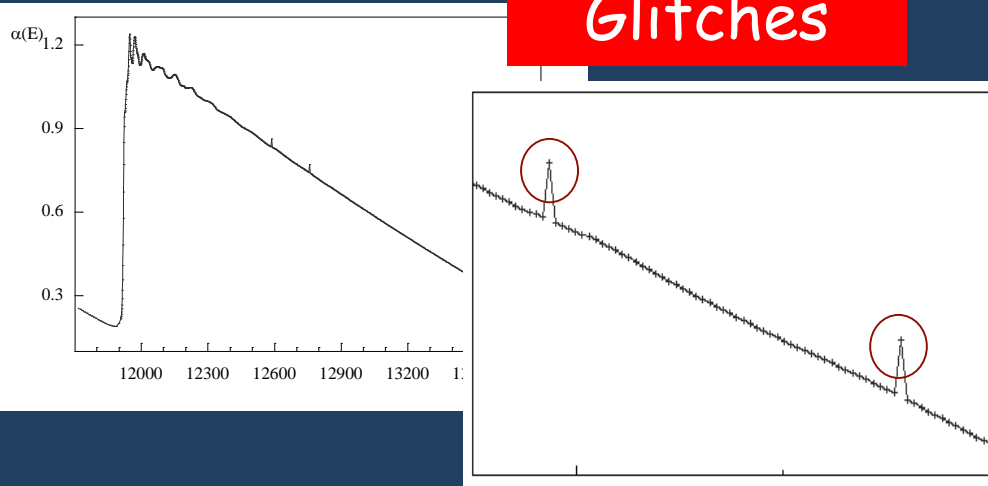
S/N ratio should be less than  $10^{-3}$

# Data collection

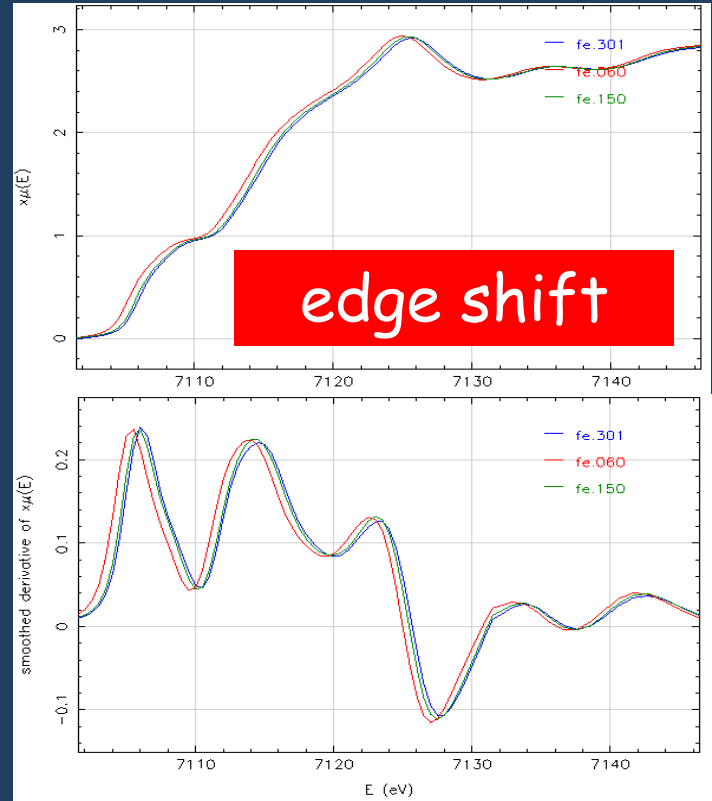
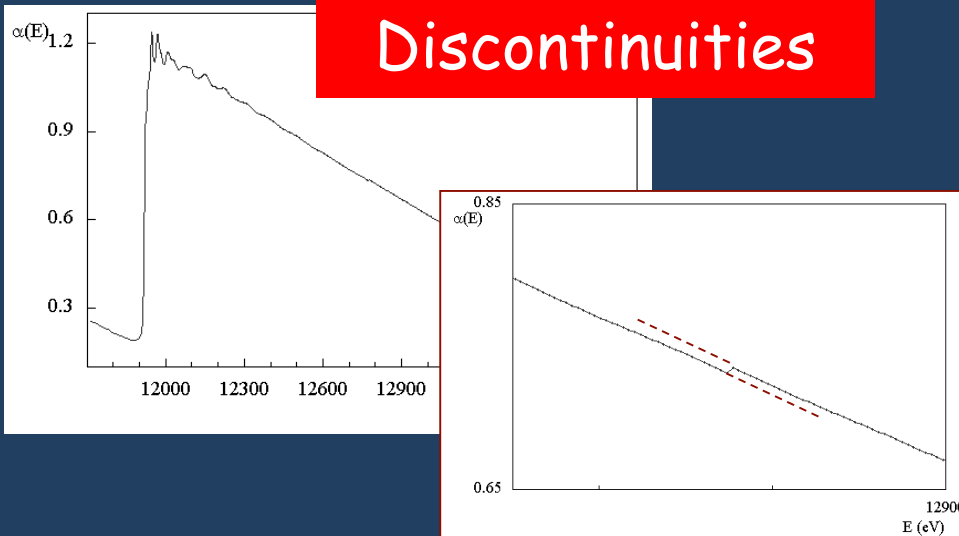
Check data quality constantly during the experiment

- Check for:

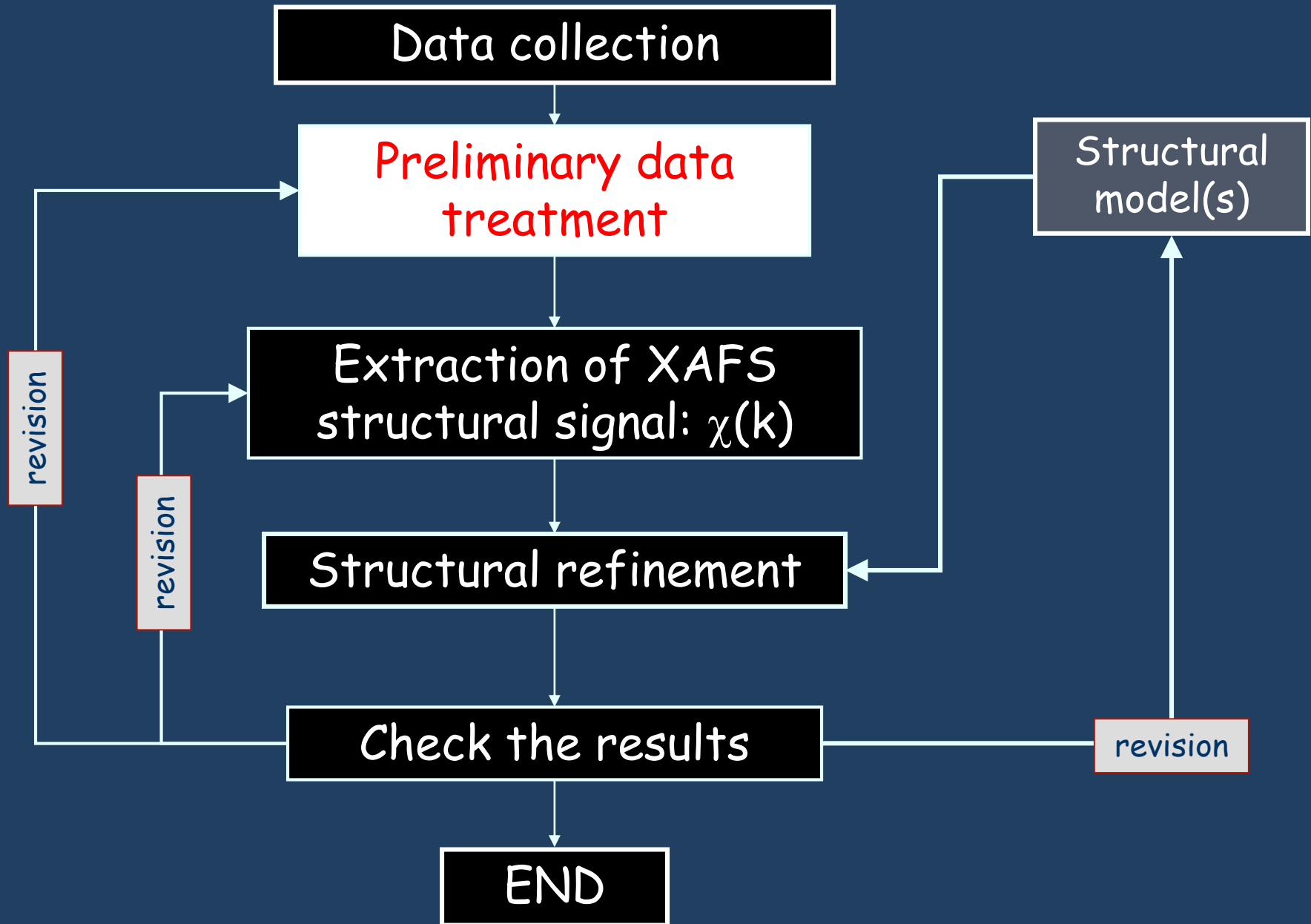
## Glitches



## Discontinuities

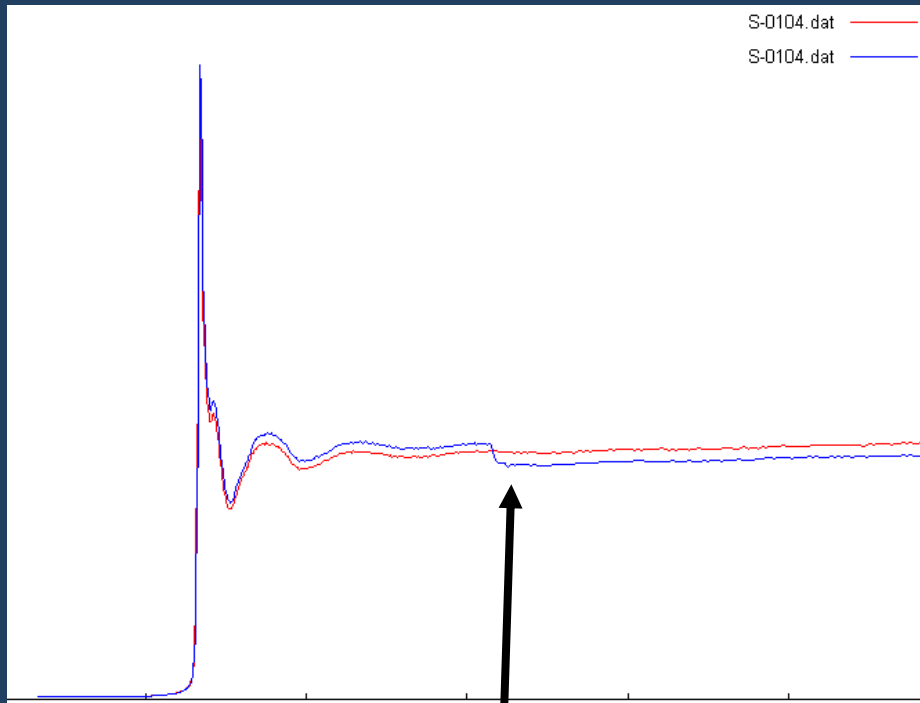


# XAFS analysis: from experiment to results

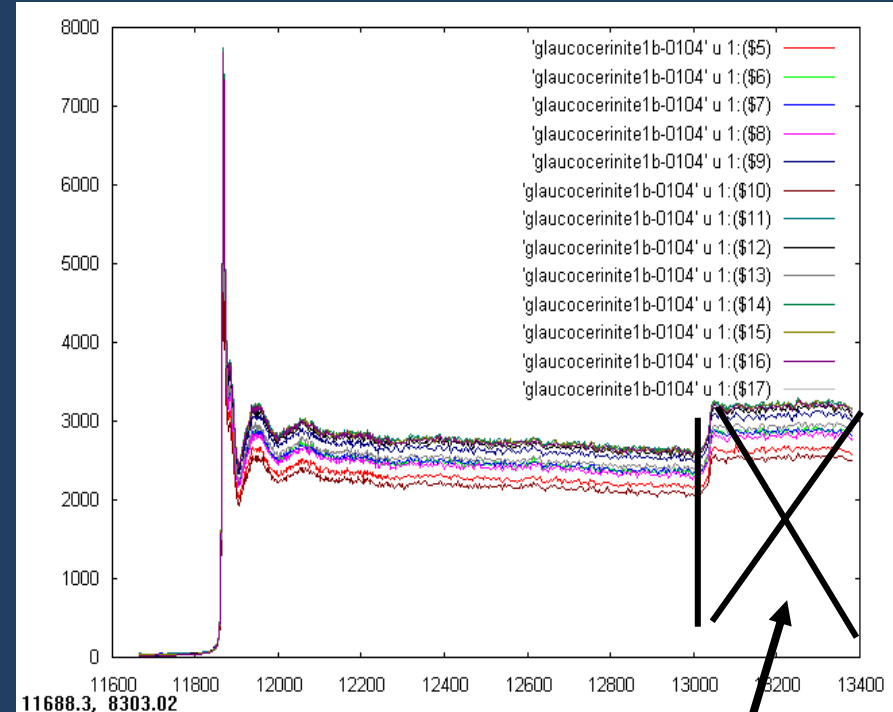


# Preliminary data treatment

Choose the best spectra and useful data regions



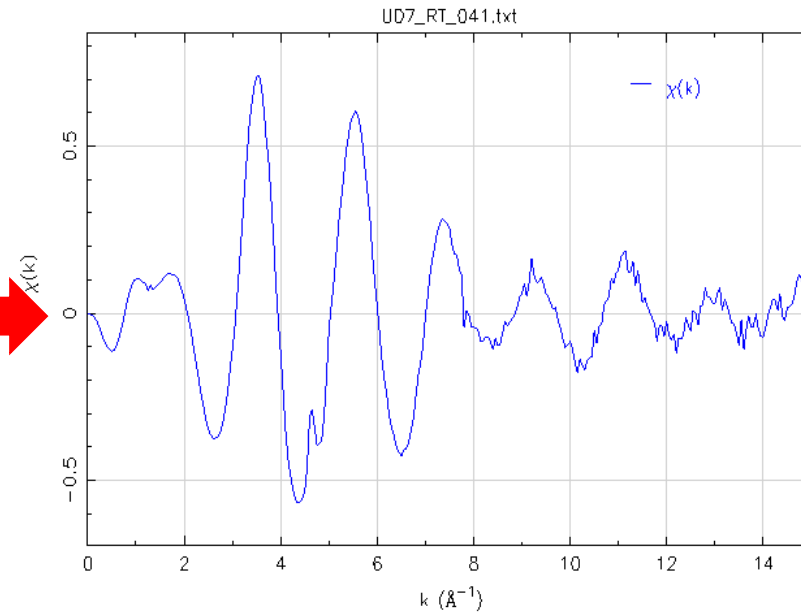
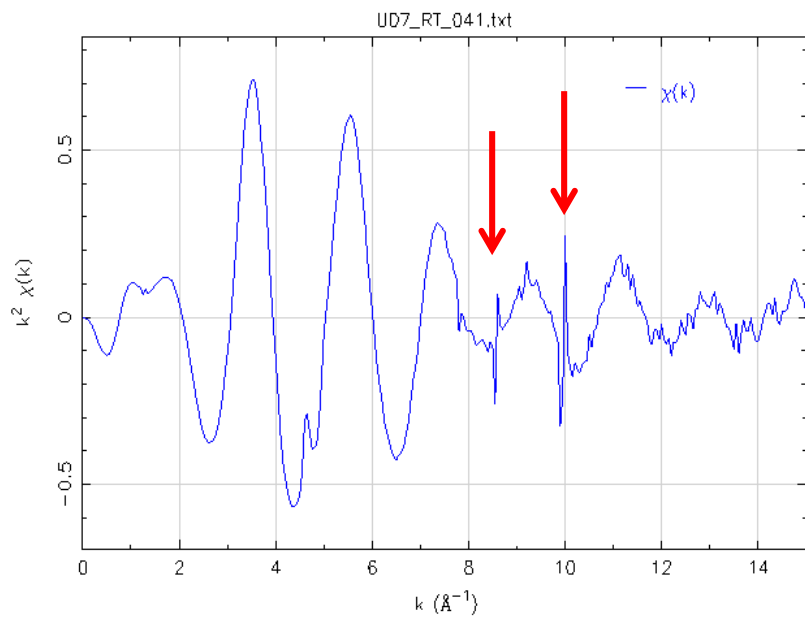
do not use the blue one!



do not use data beyond 13000 eV !

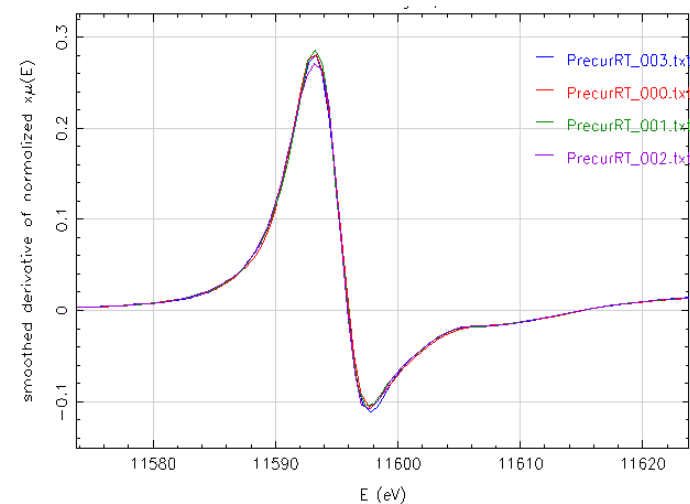
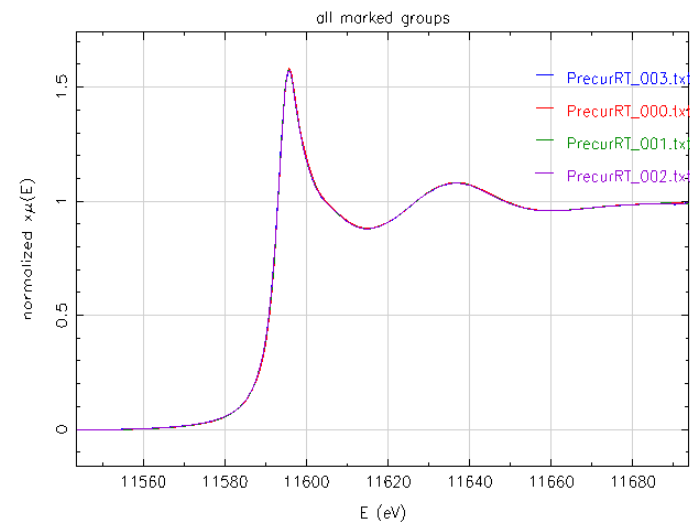
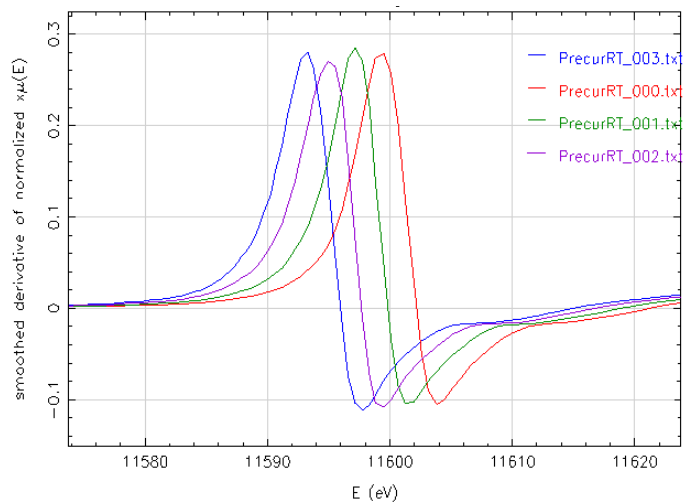
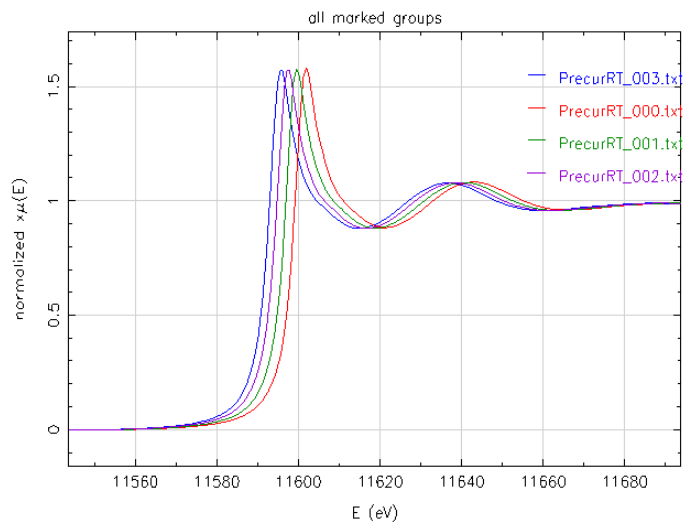
# Preliminary data treatment

## De-glitch



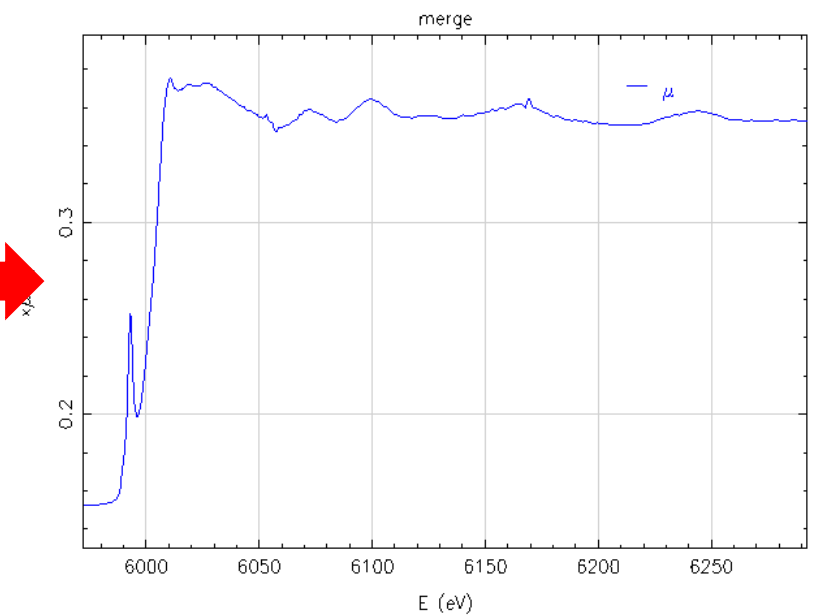
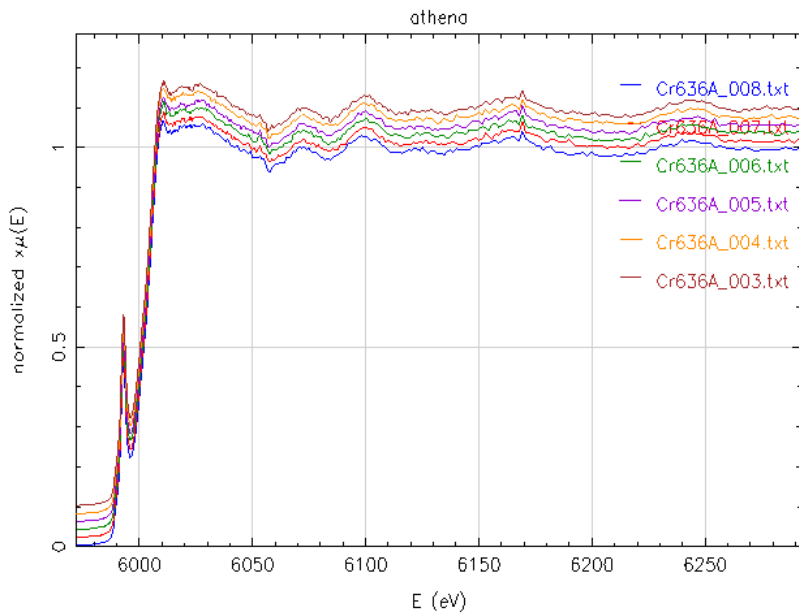
# Preliminary data treatment

## Align



# Preliminary data treatment

Average



# Preliminary data treatment

Preliminary data treatment is boring, it may be long...

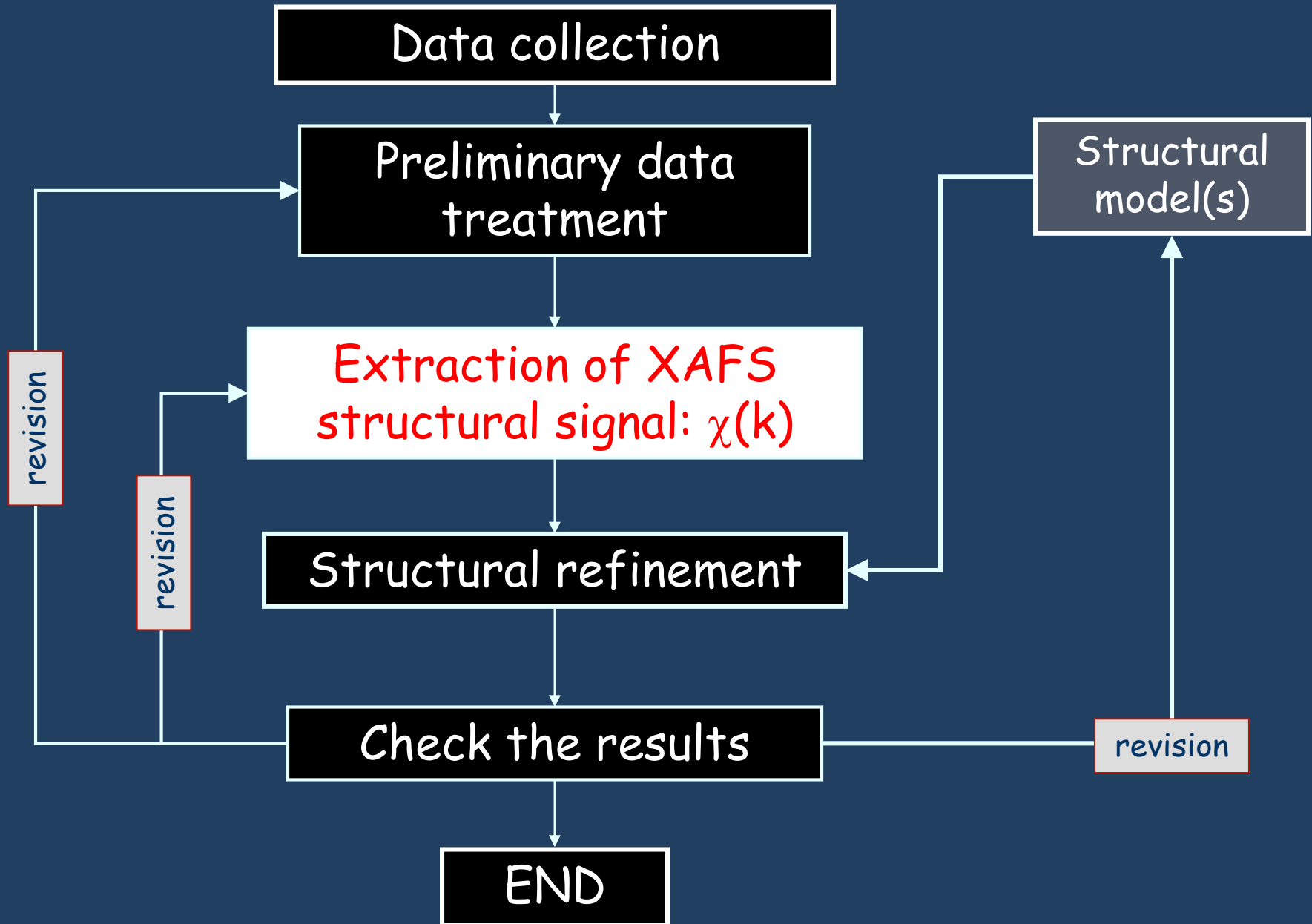
While you are waiting for your data collection to finish...

**Do it on already collected data!!**

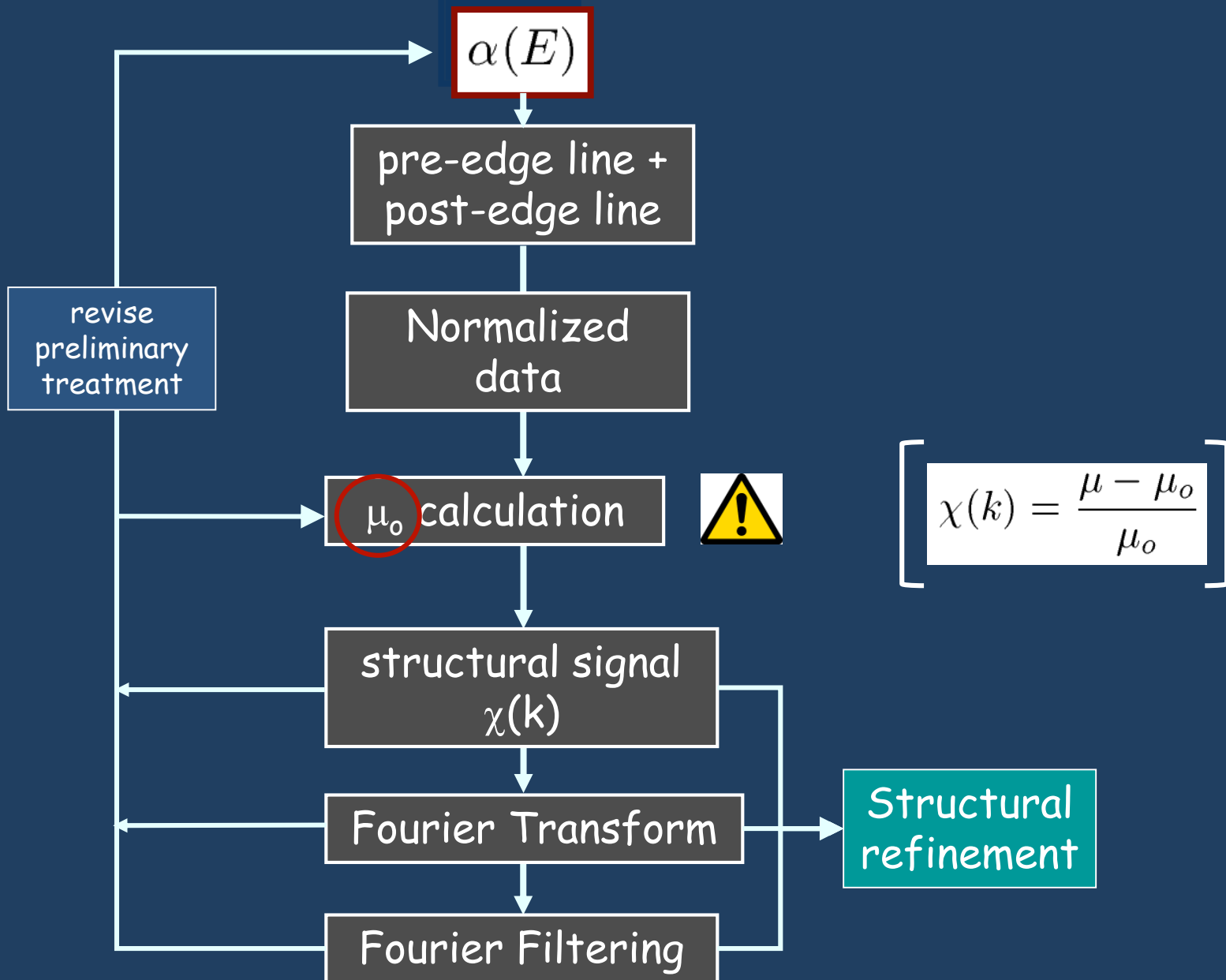
**You will save your time at home!!**



# XAFS analysis: from experiment to results



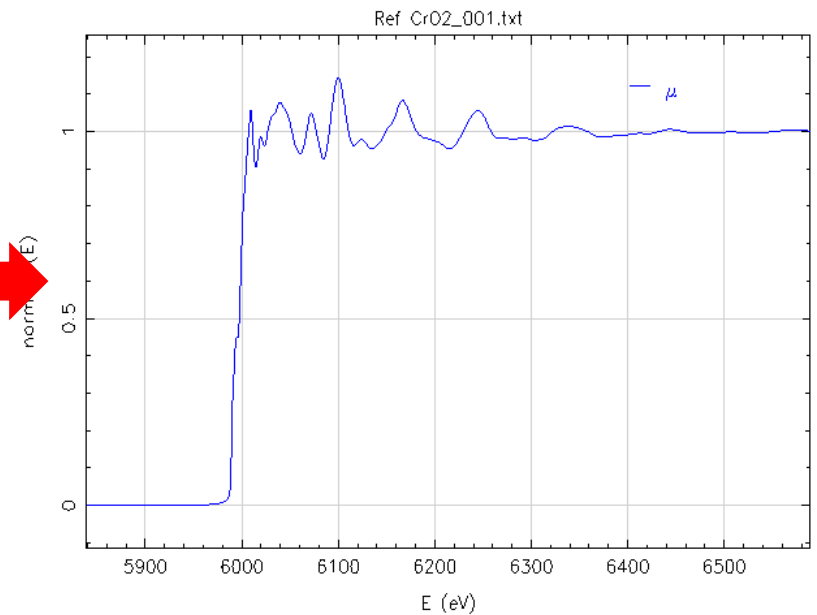
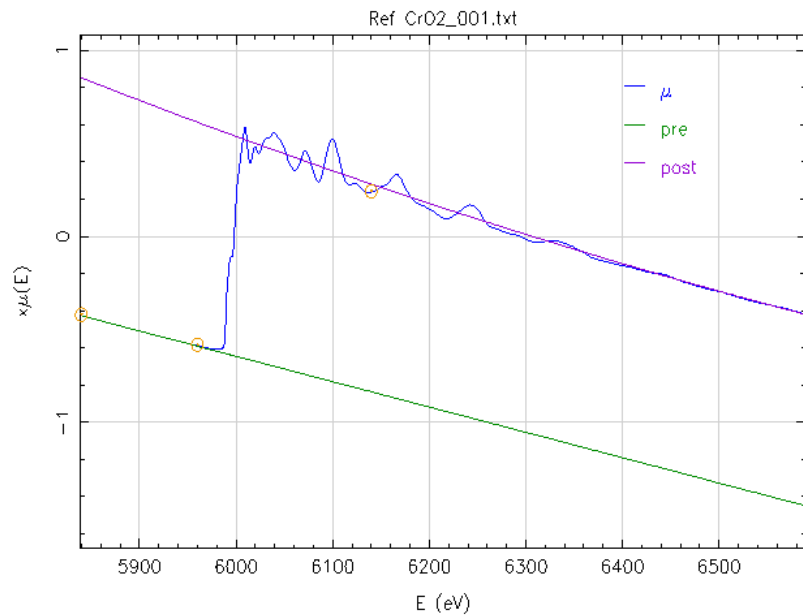
# Extraction of the EXAFS signal



# Extraction of the EXAFS signal

pre-edge line + post-edge line

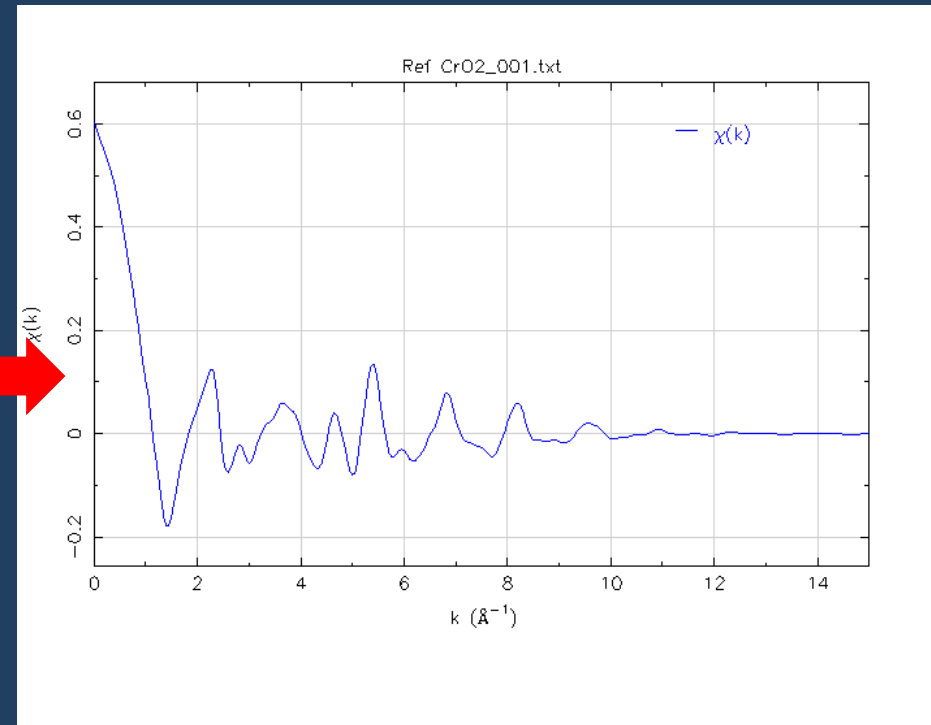
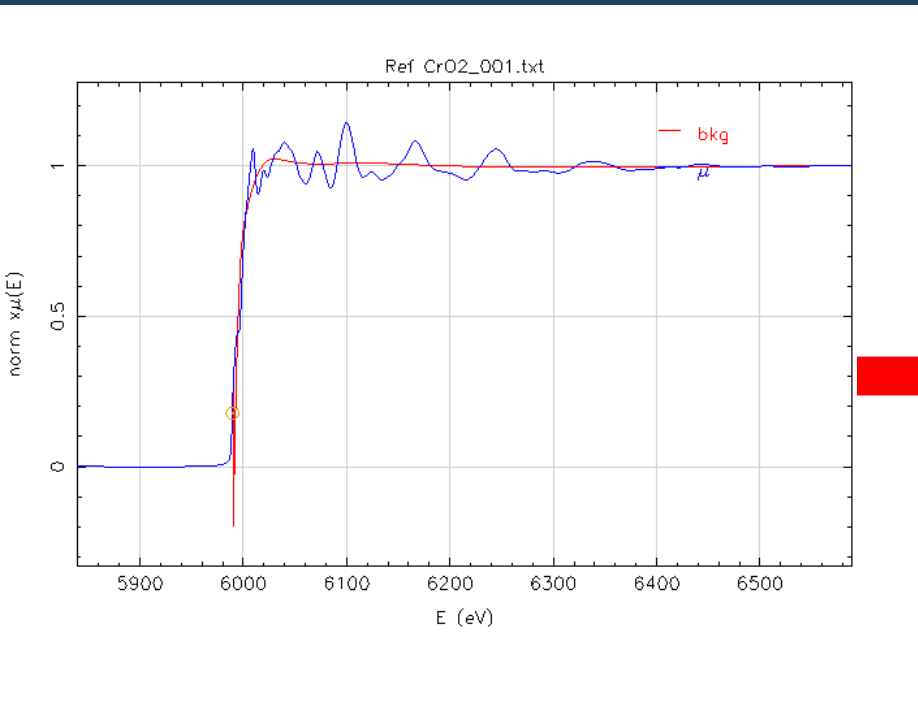
Normalized data



# Extraction of the EXAFS signal

$\mu_0$  calculation

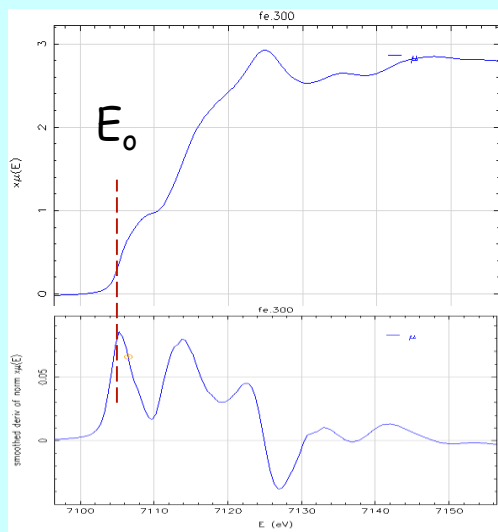
structural signal  $\chi(k)$



# Extraction of the EXAFS signal

## $\mu_0$ calculation

### 1) Define $E_0$



$E_0$  will allow to set the starting point of  $\chi(k)$ .

It is generally taken at the maximum of the 1<sup>st</sup> derivative of the absorption

### 2) Calculate $\mu_0$

$\mu_0$  is the bare atom atomic background.

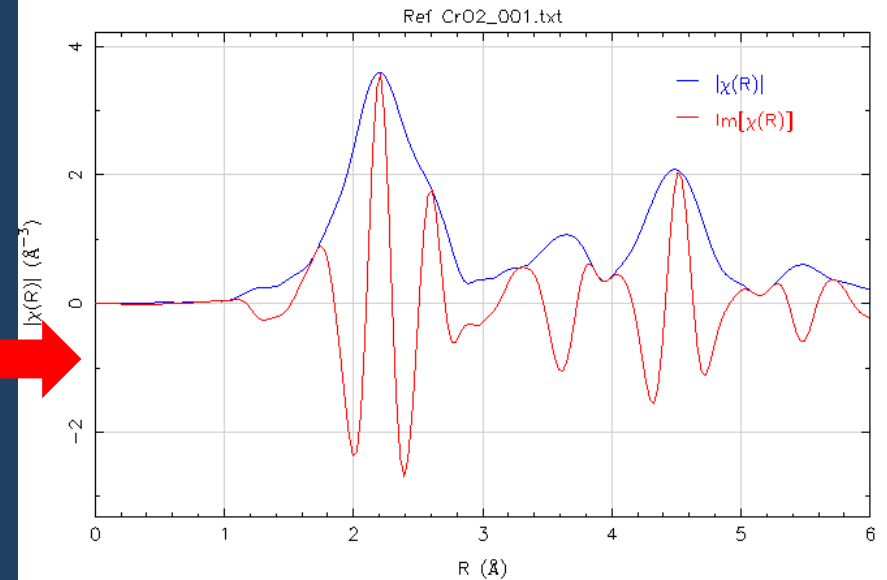
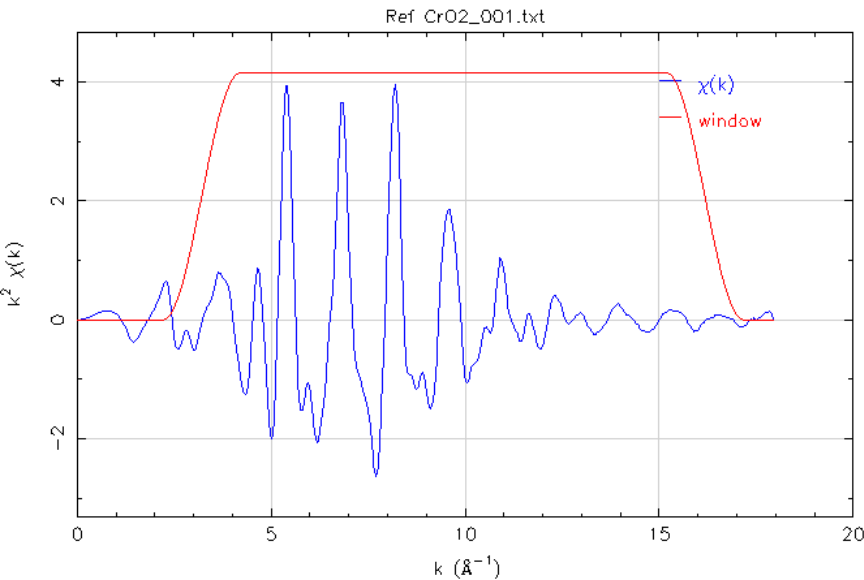
It is calculated empirically as a smooth curve across the data.

Different XAFS data analysis softwares apply different (equivalent) approaches

### 3) Subtract $\mu_0$ from $\mu$

# Extraction of the EXAFS signal

## Fourier Transform

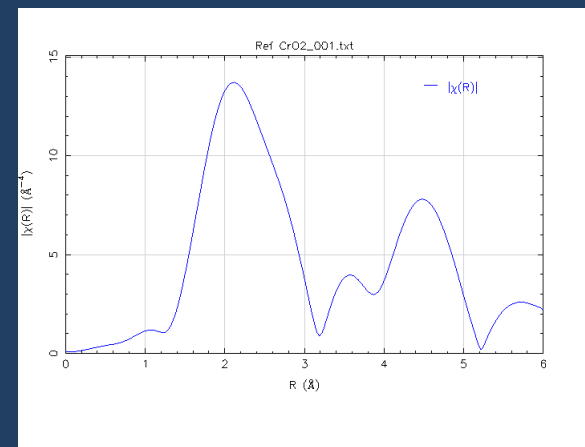
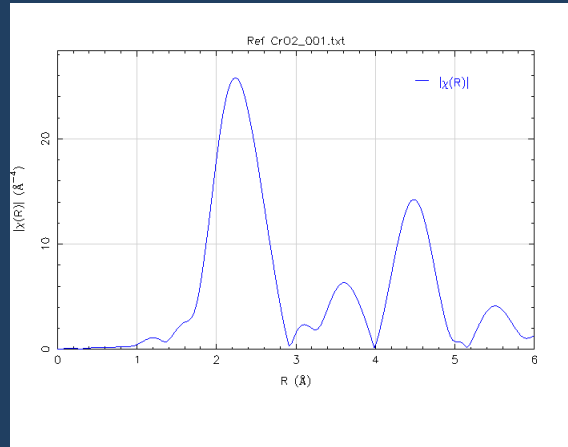
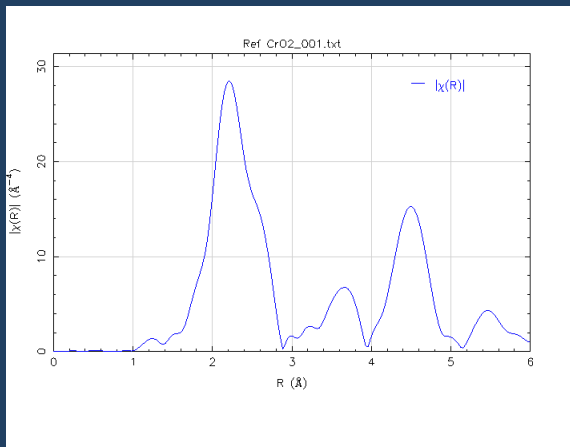
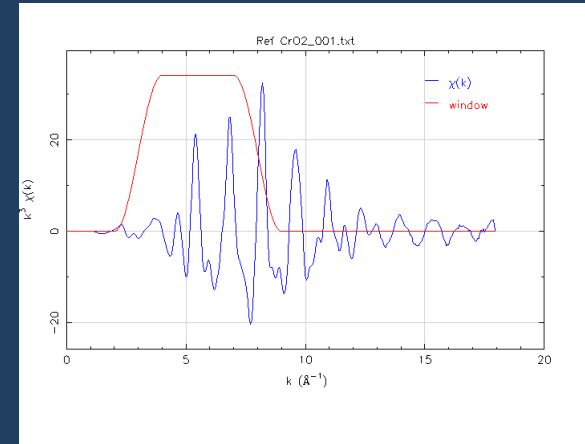
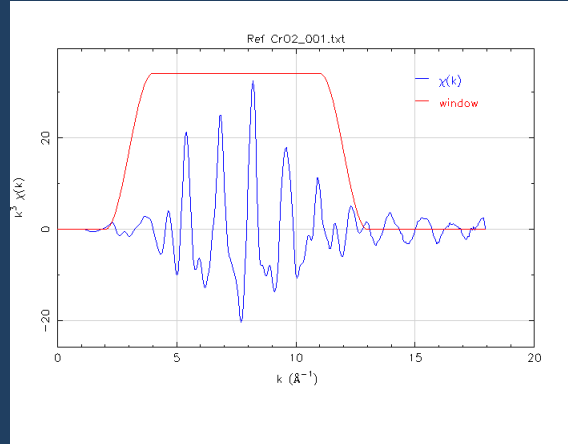
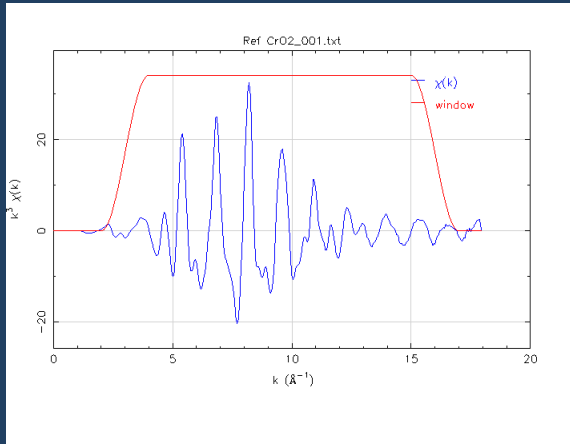


FT shows more intuitively the main structural features in the real space: the FT modulus represent a pseudo-radial distribution function (RDF)

$|\text{FT}|$  peaks represent interatomic correlation

Peak position are not the true correlation distances due to the phase shift effect

# Fourier Transform - window size effect



Minor effects are given by type of windows (Hanning, Kaiser-Bessel, Sine) and apodization

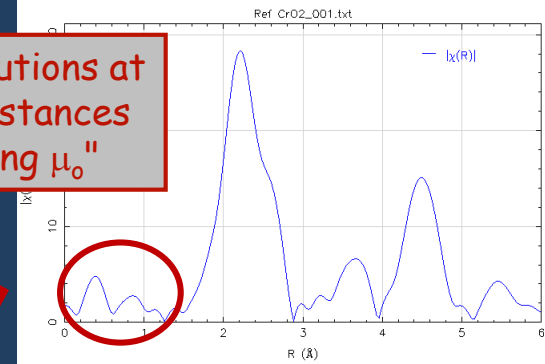
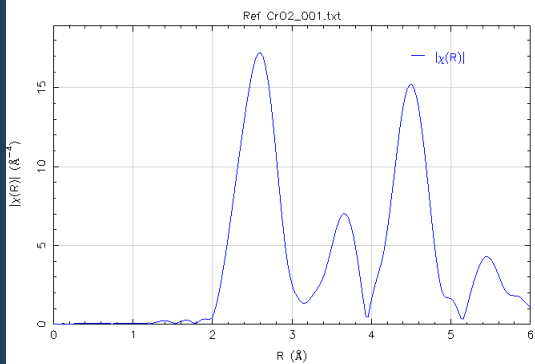
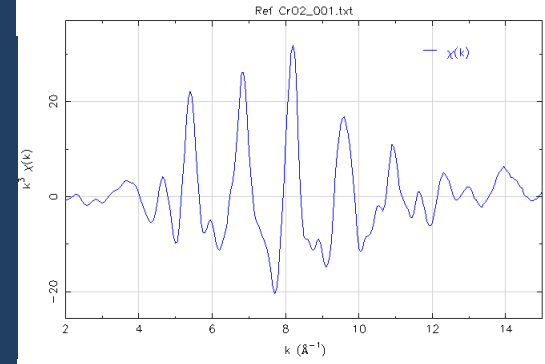
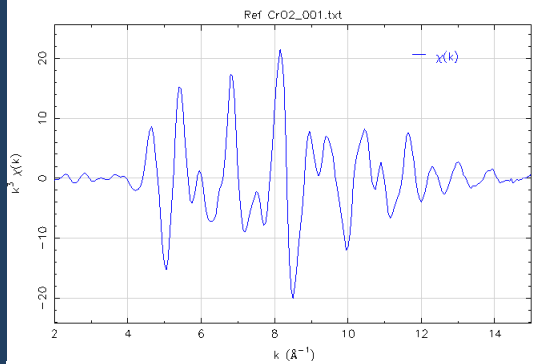
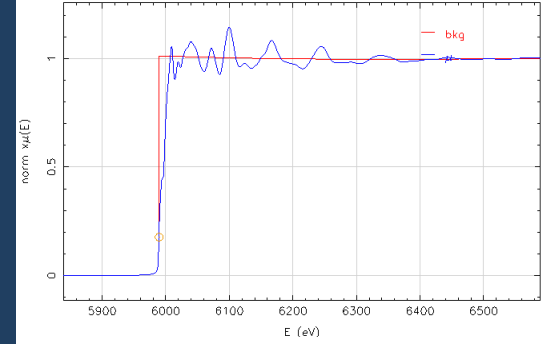
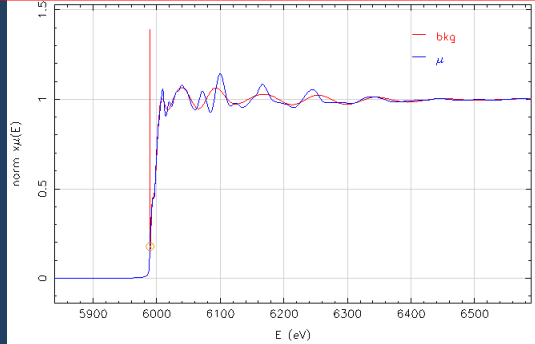
# Extraction of the EXAFS signal



DO NOT REMOVE TRUE STRUCTURAL FEATURES



DO NOT DO THE OPPOSITE ERROR



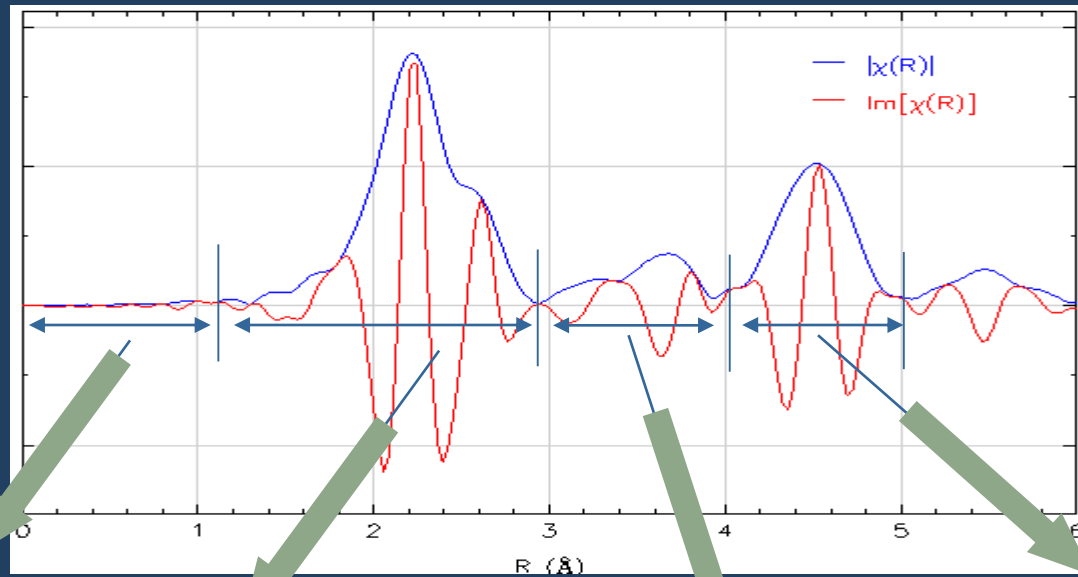
Large |FT| contributions at low (unphysical) distances may signify "wrong  $\mu_0$ "



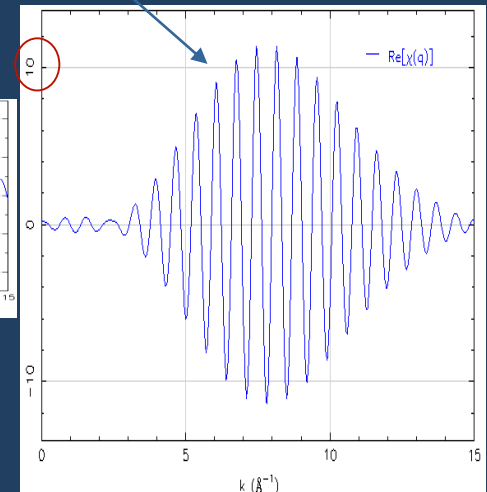
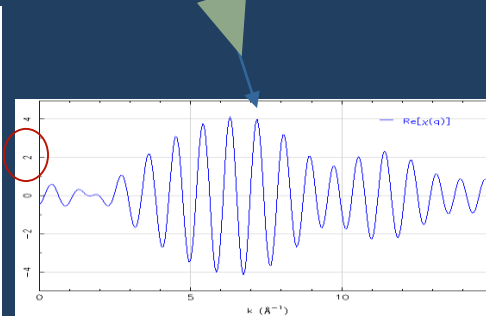
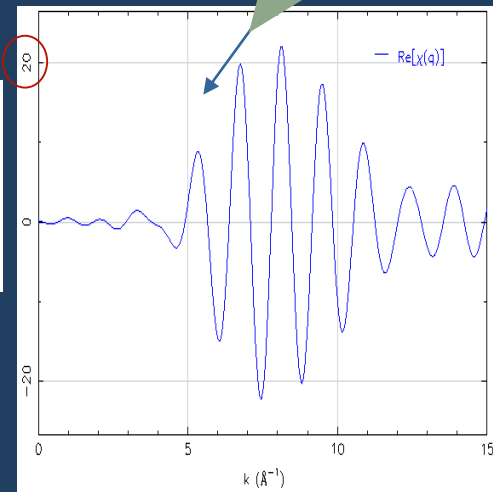
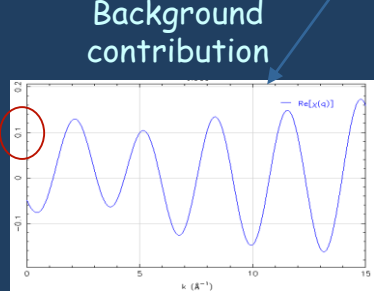
# Extraction of the EXAFS signal

Fourier filtering allows isolating contributions of selected regions of the FT

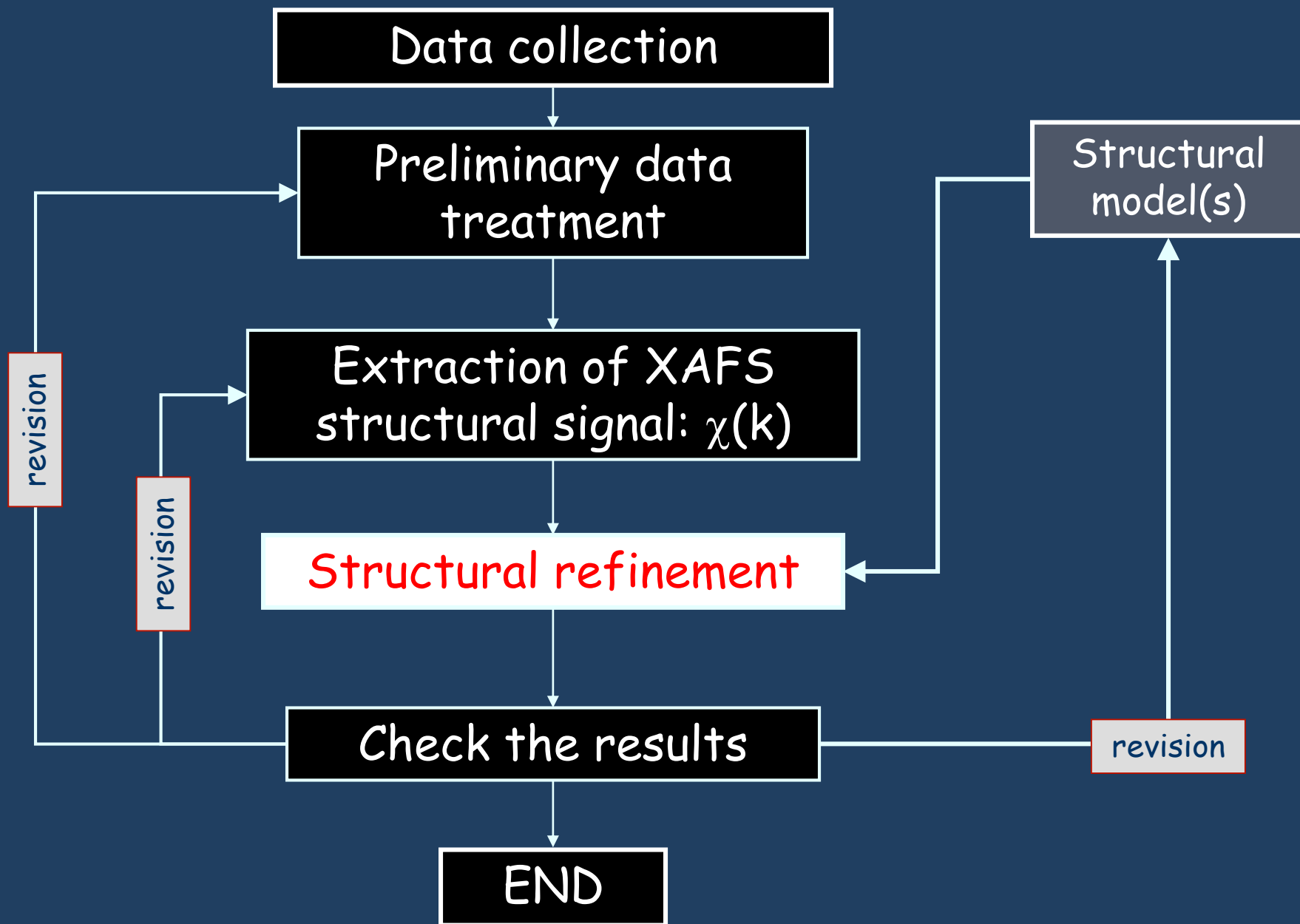
## Fourier Filtering



Background contribution



# XAFS analysis: from experiment to results

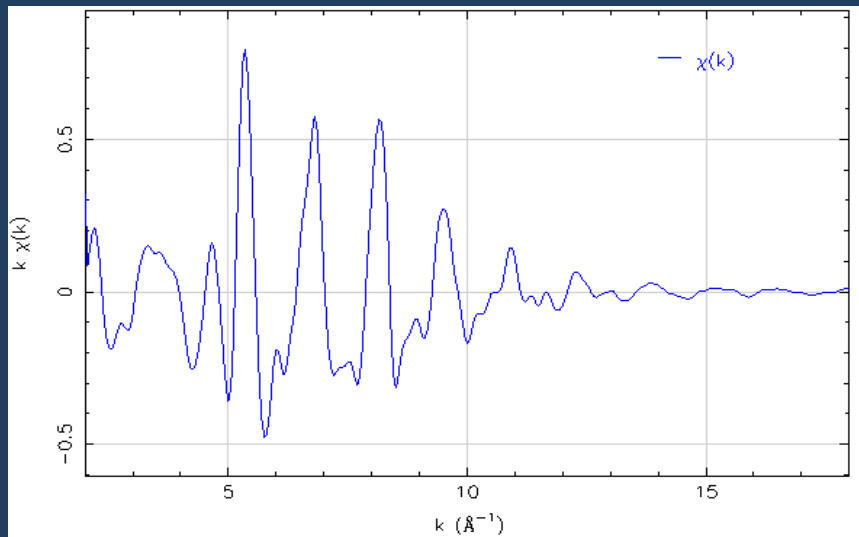


# Structural refinement

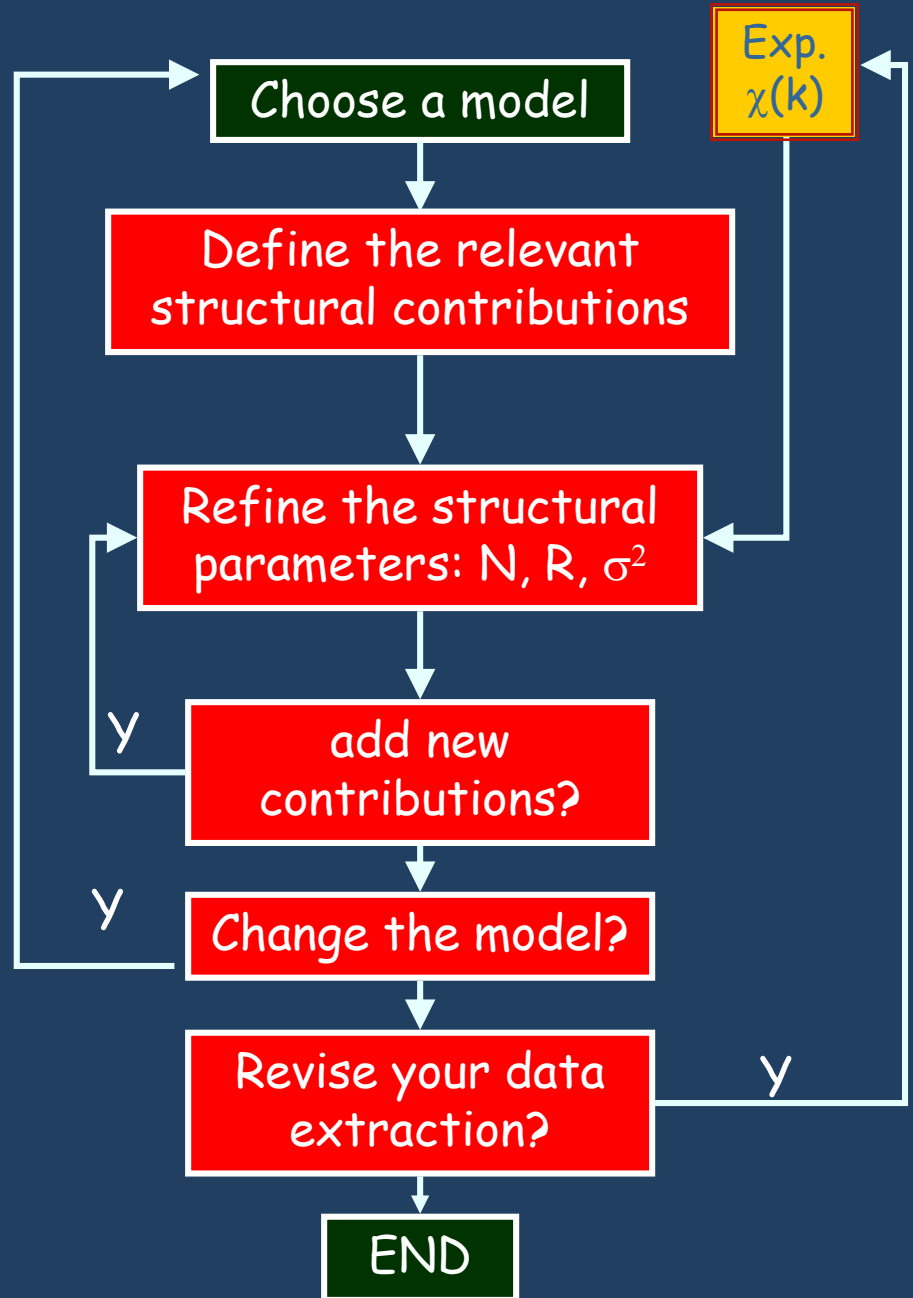
Theoretical  $\chi(k)$

$$\chi(k) = \sum_j \frac{N_j S_0^2 f_j(k) e^{-2R_j/\lambda(k)} e^{-2k^2\sigma_j^2}}{kR_j^2} \sin[2kR_j + \delta_j(k)]$$

Experimental  $\chi(k)$



Require data analysis programs



# Structural refinement

Choose a model

How to find a model structure

How to visualize the structure


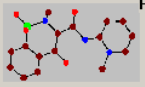
How to calculate distances and geometries

<https://icsd.fizkarlsruhe.de>

Database for inorganic structures



PowderCell for Windows  
Version 2.4  
8.03.2000



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Federal Institute for Materials Research and Testing  
Rudower Chaussee 5, 12489 Berlin, Germany

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scientific support:  
Günter Reck (BAM) Bernd Müller (Uni Jena) U. Müller (Uni Kassel)  
guenter.reck@bam.de bernd.mueller@uni-jena.de subgroup data  
IPAP Size and Strain

## ATOMS on the Web

<http://millenia.cars.aps.anl.gov/cgi-bin/atoms/atoms.cgi>

Run ATOMS Clear Reset

Gold

[Titles](#)

Operational Parameters

Space Group: Fm-3m Rmax: 6 Edge:

Output Type: feff6.inp Shift:

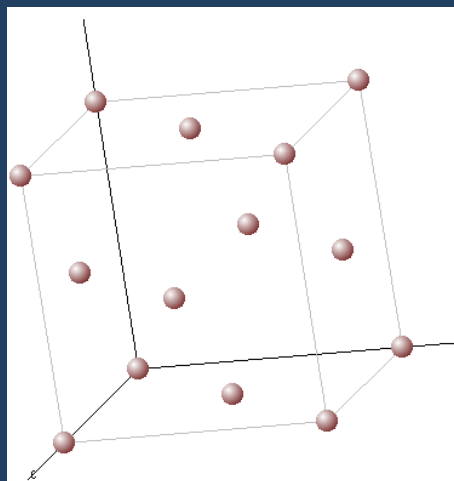
Lattice Constants and Angles

A: 4.08 B: 4.08 C: 4.08  
Alpha: 90 Beta: 90 Gamma: 90

Run ATOMS Clear Reset

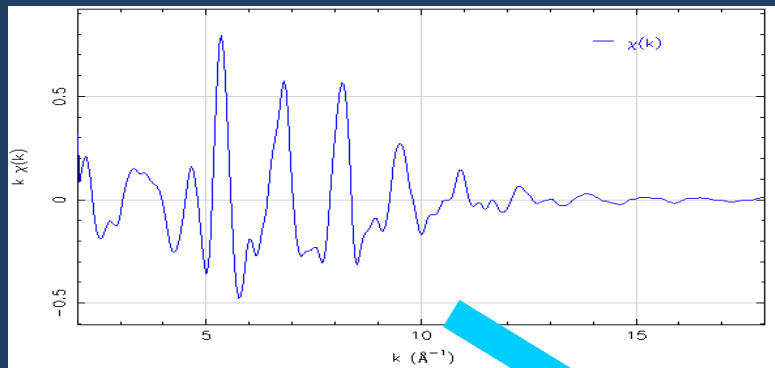
Table of Crystallographic Sites

Cent.	Element	X	Y	Z	Tag
1	Au	0	0	0	Au



<http://database.iem.ac.ru/mincryst/>

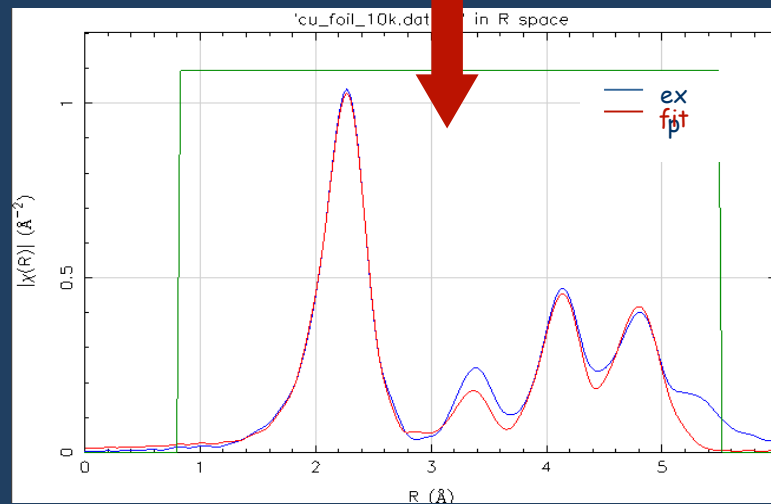
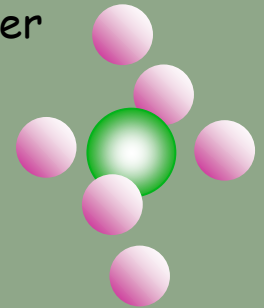
# ICSD database



$$\chi(k) = \sum_j \frac{N_j S_0^2 f_j(k) e^{-2R_j/\lambda(k)} e^{-2k^2 \sigma_j^2}}{k R_j^2} \sin[2kR_j + \delta_j(k)]$$

Data refinement program

Amplitude and phase functions from atomic cluster models



# XAFS data analysis softwares

<http://www.xafs.org/>

[www.ixasportal.net](http://www.ixasportal.net)

<http://cars9.uchicago.edu/ifeffit/>

Click DOWNLOADS

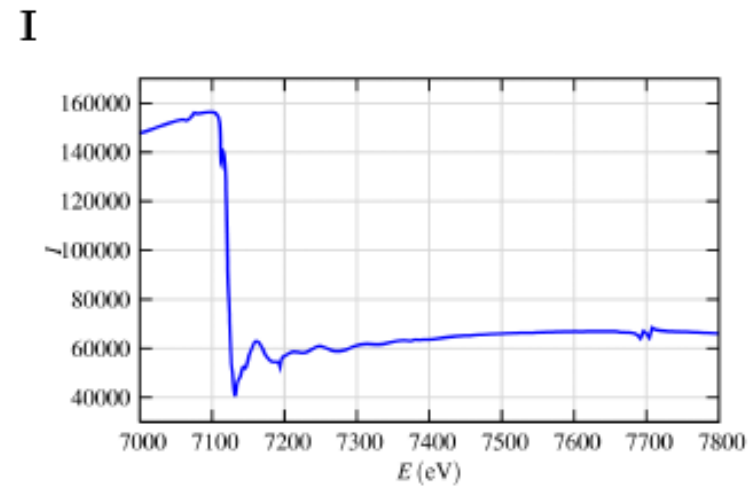
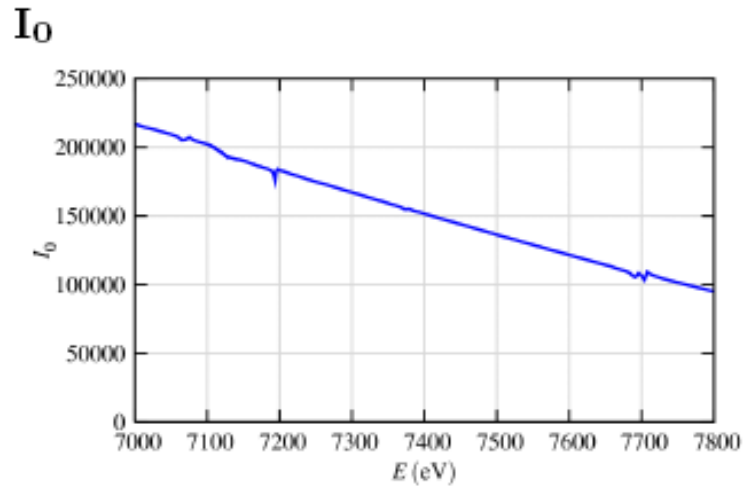
Click [ifeffit-1.2.11.exe](#)

<http://bruceravel.github.io/demeter/>

Step for reducing measured data to  $\mu(E)$  and then to  $\chi(k)$ :

1. convert measured intensities to  $\mu(E)$
2. subtract a smooth pre-edge function, to get rid of any instrumental background, and absorption from other edges.
3. normalize  $\mu(E)$  to go from 0 to 1, so that it represents 1 absorption event
4. remove a smooth post-edge background function to approximate  $\mu_0(E)$  to isolate the XAFS  $\chi$ .
5. identify the threshold energy  $E_0$ , and convert from E to k space:  $k = \frac{\sqrt{2m(E - E_0)}}{\hbar}$
6. weight the XAFS  $\chi(k)$  and Fourier transform from k to R space.
7. isolate the  $\chi(k)$  for an individual “shell” by Fourier filtering.

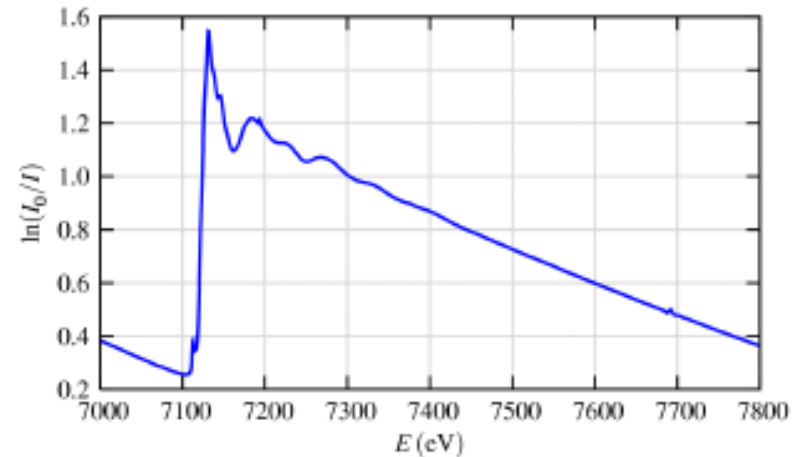
# Converting raw data to $\mu(E)$



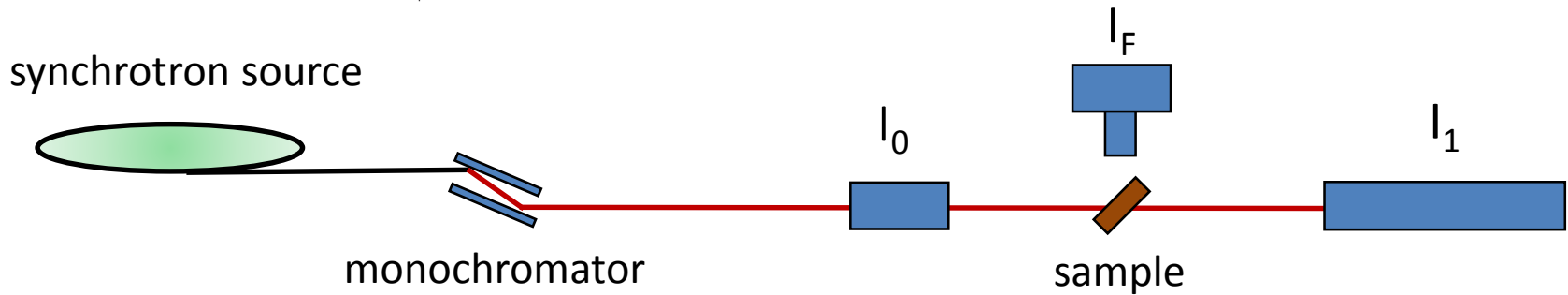
For transmission XAFS:

$$I = I_0 \exp[-\mu(E) t]$$

$$\mu(E) t = \ln [I_0/I]$$







## Transmission

The absorption is measured directly by measuring what is transmitted through the sample

$$I = I_0 e^{-\mu(E)t}$$

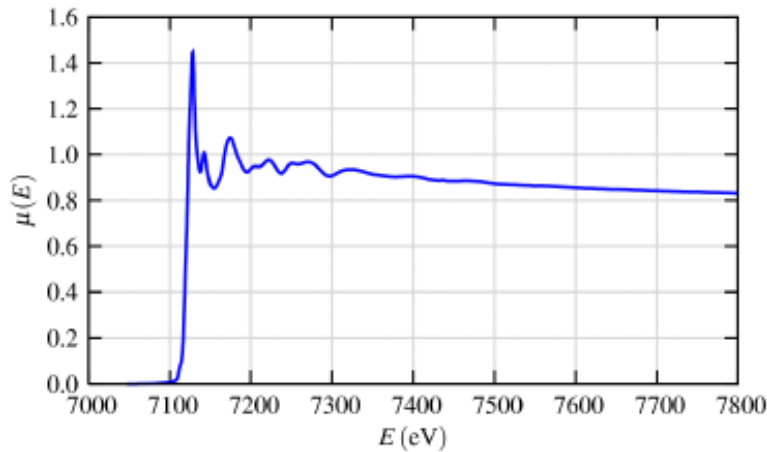
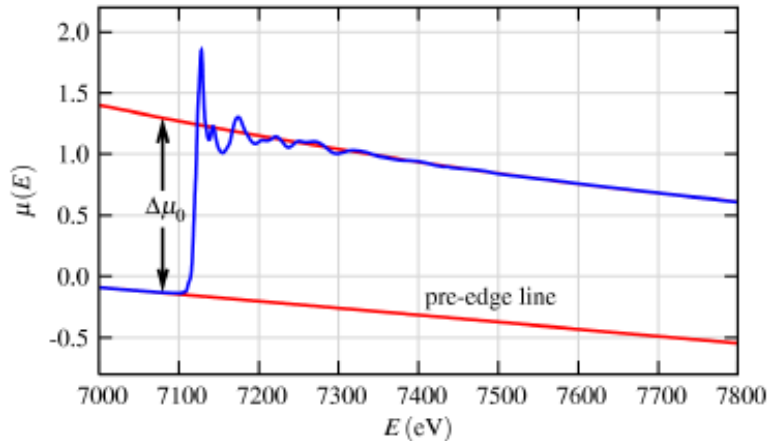
$$\mu(E)t = \alpha = \ln I_0 / I_1$$

## Fluorescence

The re-filling the deep core hole is detected. Typically the fluorescent X-ray is measured

$$\alpha \propto I_F / I_0$$

# Pre-edge subtraction and normalization

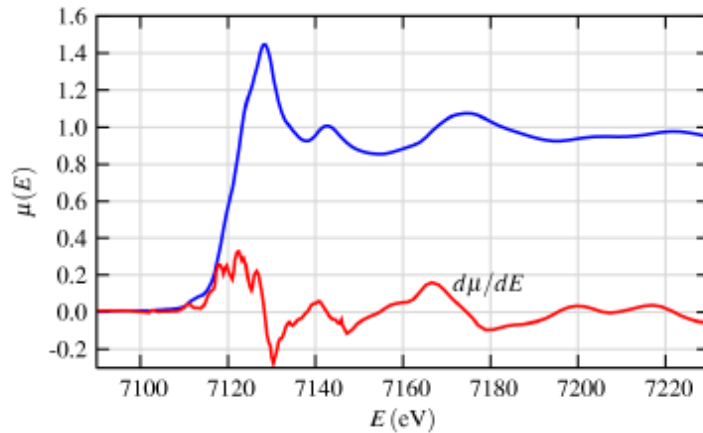
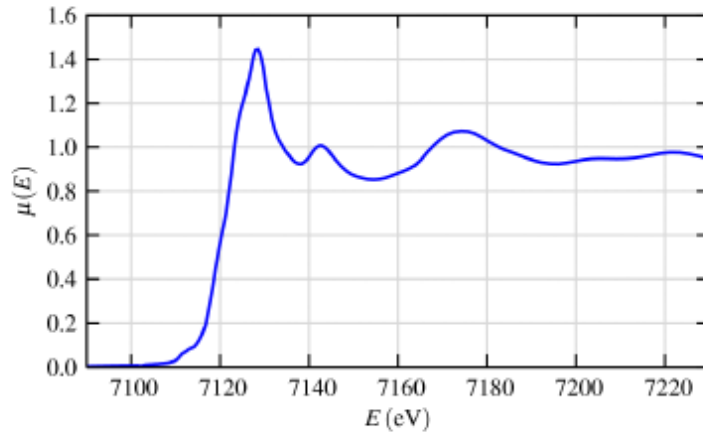


## Pre-edge subtraction

We subtract away the background that fits the *pre edge* region. This gets rid of the absorption due to other edges (say, the Fe  $L_{III}$  edge).

## Normalization

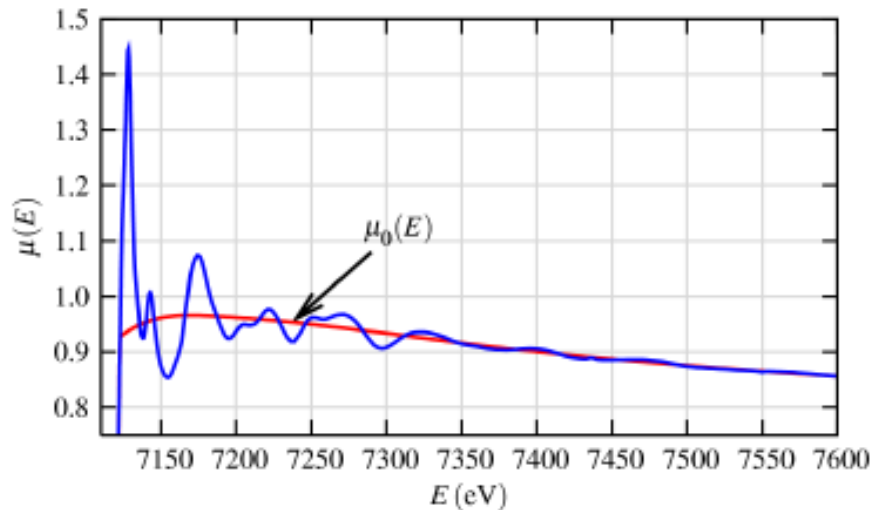
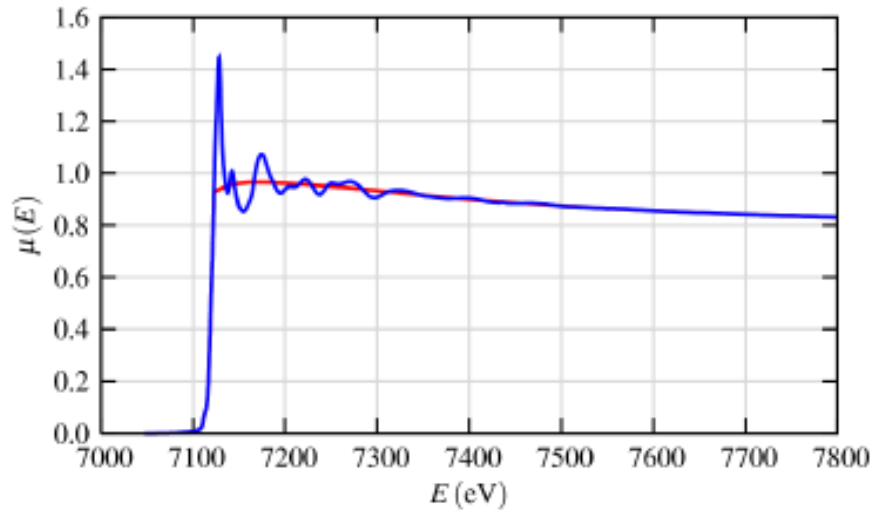
We estimate the *edge step*,  $\mu_0(E_0)$  by extrapolating a simple fit to the above  $\mu(E)$  to the edge.



## Derivative and $E_0$

We can select  $E_0$  roughly as the energy with the maximum derivative. This is somewhat arbitrary, so we will keep in mind that we may need to refine this value later on.

# Post-edge background subtraction

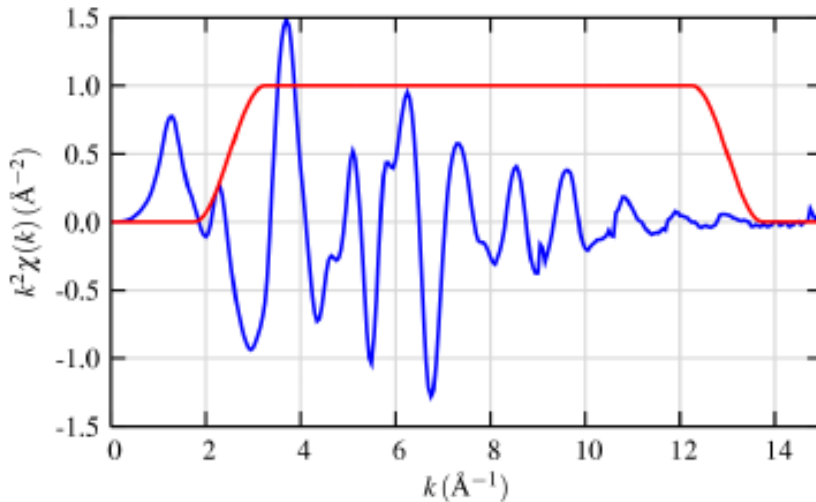
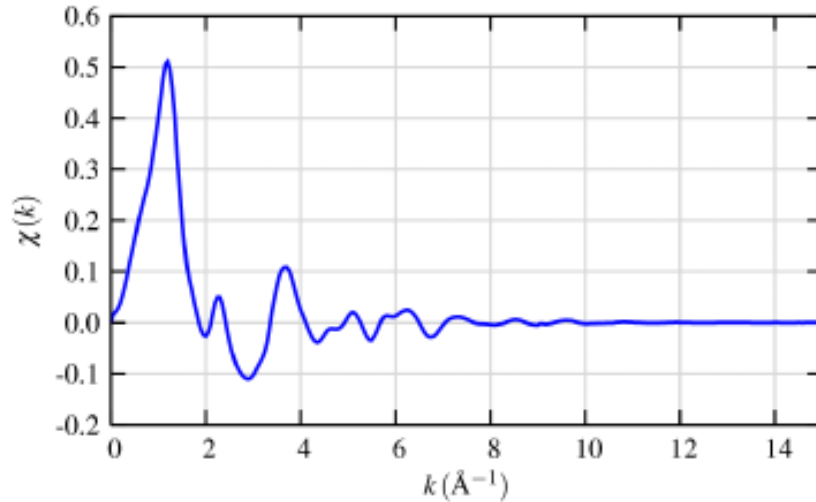


## Post-edge background

- We do not have a measurement of  $\mu_0(E)$  (the absorption coefficient without neighboring atoms).
- We approximate  $\mu_0(E)$  by an adjustable, smooth function: a *spline*.
- A flexible enough spline should not match the  $\mu(E)$  and remove all the EXAFS. We want a spline that will match the *low frequency* components of  $\mu_0(E)$ .



## $\chi(k)$ , $k$ -weighting



### $\chi(k)$

The raw EXAFS  $\chi(k)$  usually decays quickly with  $k$ , and difficult to assess or interpret by itself.

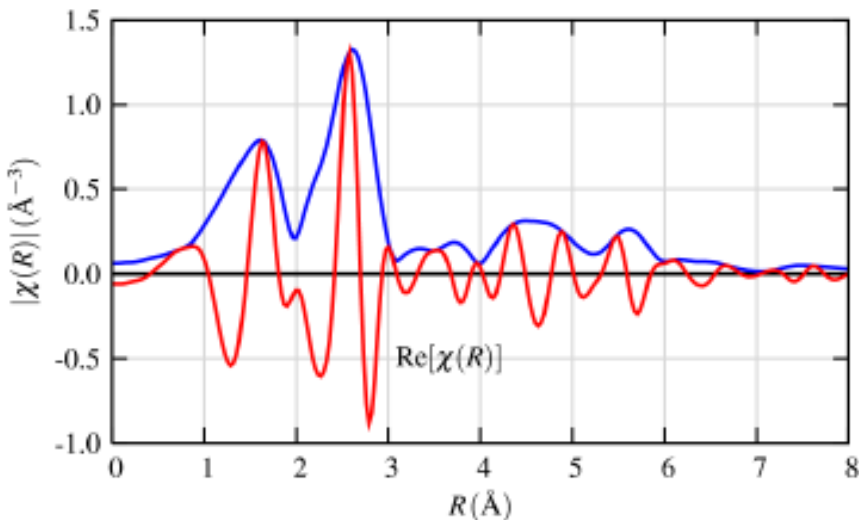
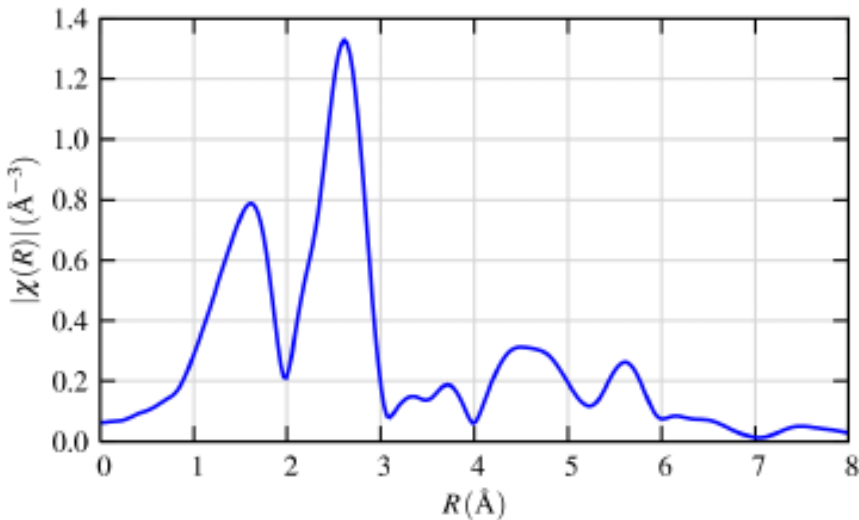
It is customary to weight the higher  $k$  portion of the spectra by multiplying by  $k^2$  or  $k^3$ .

### $k$ -weighted $\chi(k)$ : $k^2\chi(k)$

$\chi(k)$  is composed of sine waves, so we'll Fourier Transform from  $k$  to  $R$ -space.

To avoid “ringing”, we'll multiply by a *window function*.

## Fourier Transform: $\chi(R)$



### $\chi(R)$

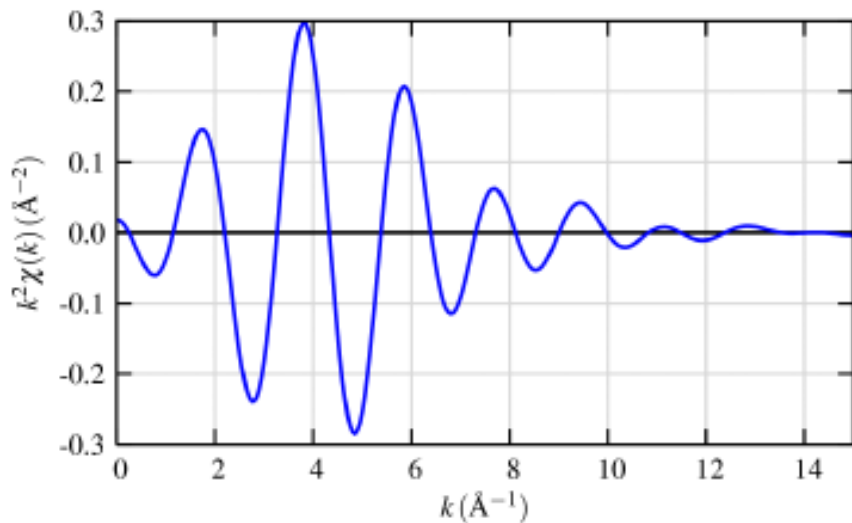
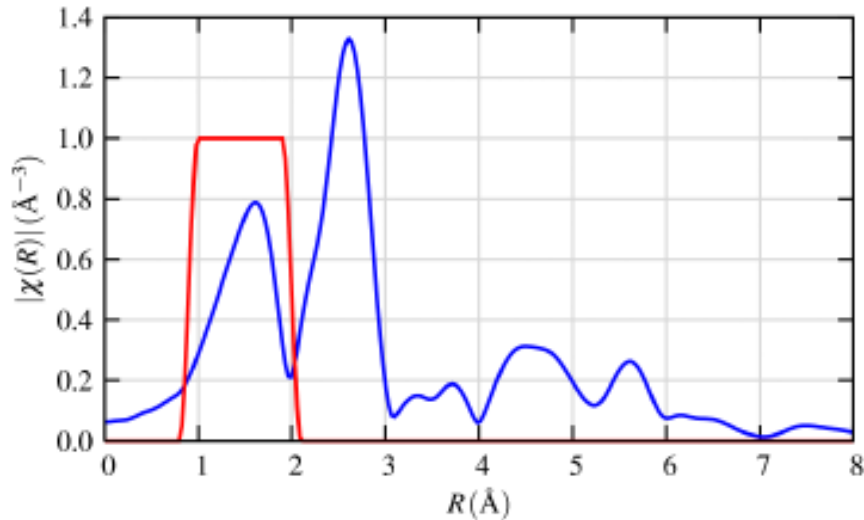
The Fourier Transform of  $k^2(k)$  has 2 main peaks, for the first 2 coordination shells: Fe-O and Fe-Fe. The Fe-O distance in FeO is  $2.14\text{\AA}$ , but the first peak is at  $1.66\text{\AA}$ .

This shift in the first peak is due to the *phase-shift*,  $\delta(k)$ :  $\sin[2kR + \delta(k)]$ .

A shift of  $-0.5\text{\AA}$  is typical.

### $\chi(R)$ is complex:

The FT makes  $c(R)$  complex. Usually only the amplitude is shown, but there are really oscillations in  $c(R)$ . Both real and imaginary components are used in modeling.



$\chi(R)$  often has well separated peaks for different “shells”.

This shell can be isolated by a Filtered Back-Fourier Transform, using the window shown for the first shell of FeO.

This results in the filtered  $\chi(k)$  for the selected shell. Many analysis programs use such filtering to remove shells at higher  $R$ .

Beyond the first shell, isolating a shell in this way can be difficult.

- The number of parameters we can reliably measure from our data is limited:

$$N \approx \frac{2 \Delta k \Delta R}{\pi}$$

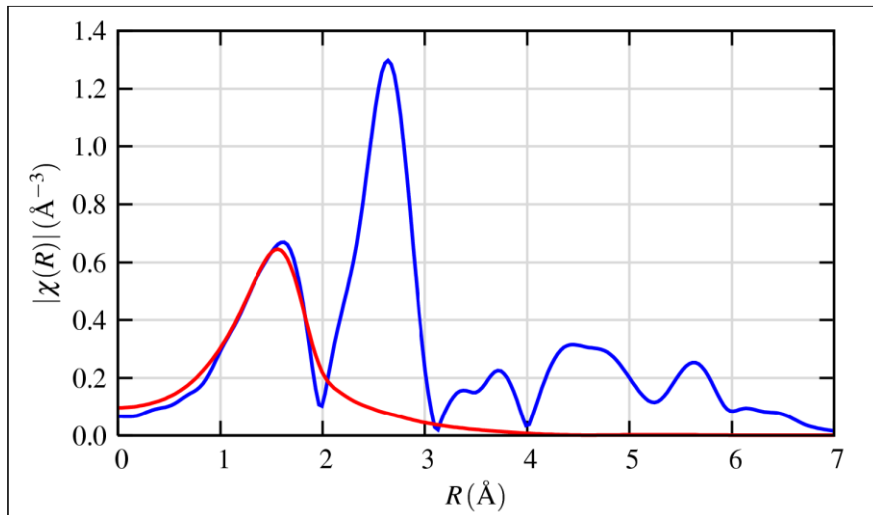
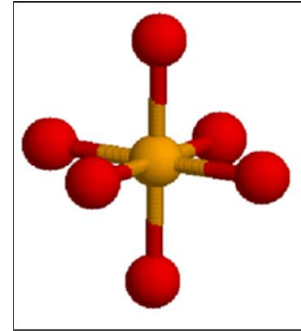
where  $\Delta k$  and  $\Delta R$  are the k- and R-ranges of the usable data.

- For the typical ranges like  $k = [3.0, 12.0] \text{ \AA}^{-1}$  and  $R = [1.0, 3.0] \text{ \AA}$ , there are  $\sim 11$  parameters that can be determined from EXAFS.
- The “Goodness of Fit” statistics, and confidence in the measured parameters need to reflect this limited amount of data.
- It is often important to constrain parameters  $R$ ,  $N$ ,  $\sigma^2$  for different paths or even different data sets (different edge elements, temperatures, etc)
- Chemical Plausibility can also be incorporated, either to weed out obviously bad results or to use other knowledge of local coordination, such as the Bond Valence Model (relating valence, distance, and coordination number).
- Use as much other information about the system as possible!



FeO has a rock-salt structure.

To model the FeO EXAFS, we calculate the scattering amplitude  $f(k)$  and phase-shift  $\delta(k)$ , based on a guess of the structure, with Fe-O distance  $R = 2.14 \text{ \AA}$  (a regular octahedral coordination). We will use these functions to *refine* the values  $R$ ,  $N$ ,  $\sigma^2$ , and  $E_0$  so our model EXAFS function matches our data.



$|\chi(R)|$  for FeO (blue), and a 1<sup>st</sup> shell fit (red).

## Fit results

$$N = 5.8 \pm 1.8$$

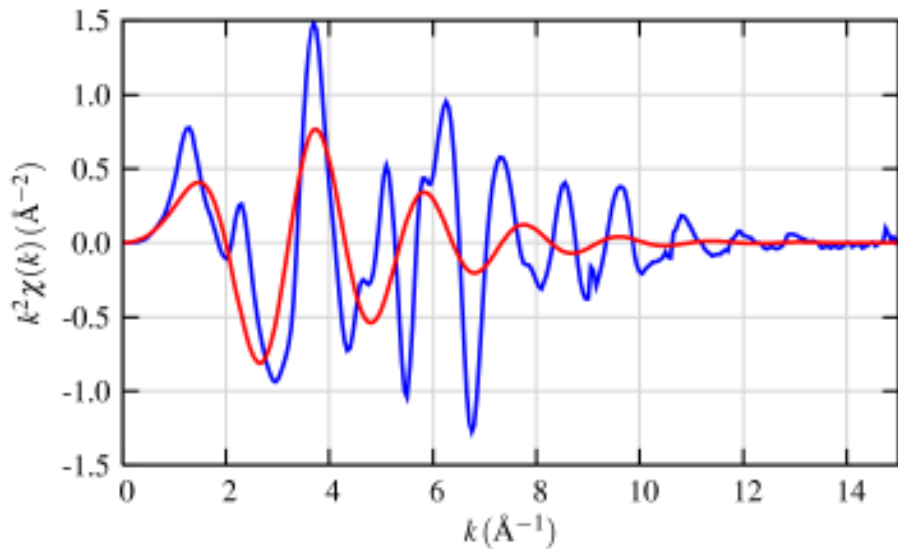
$$R = 2.10 \pm 0.02 \text{ \AA}$$

$$E_0 = -3.1 \pm 2.5 \text{ eV}$$

$$\sigma^2 = 0.015 \pm 0.005 \text{ \AA}^2.$$

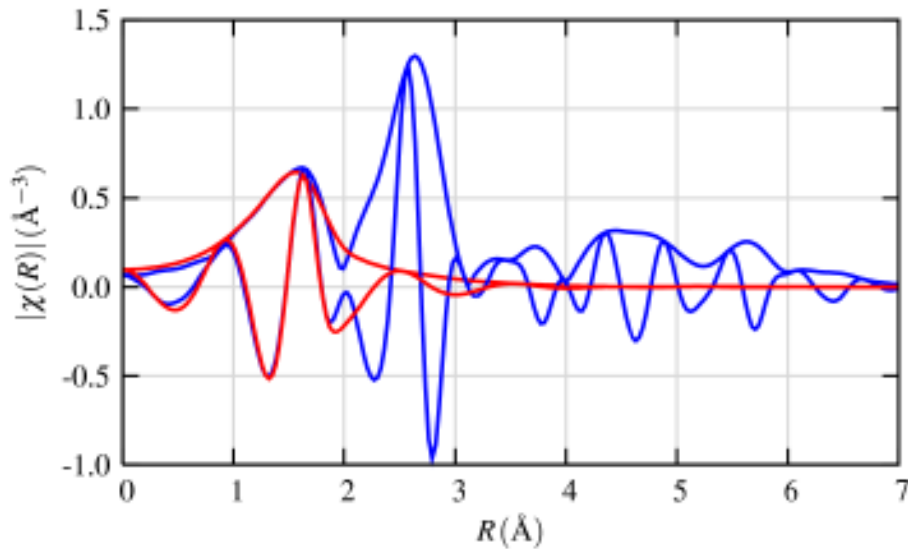


## Modeling the first shell of FeO - 2



### 1<sup>st</sup> shell fit in k space

The 1<sup>st</sup> shell fit to FeO in k space. There is clearly another component in the XAFS

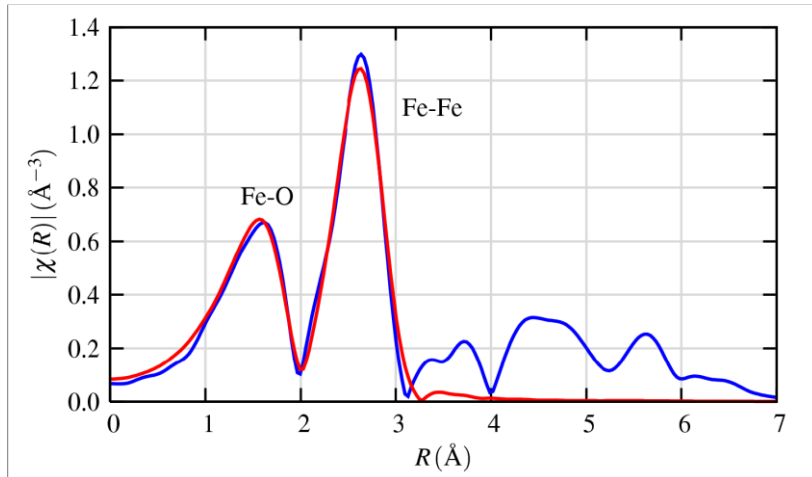


### 1<sup>st</sup> shell fit in R space

$|\chi(R)|$  and  $\text{Re}[\chi(R)]$  for FeO (blue), and a 1<sup>st</sup> shell fit (red).

To add the second shell Fe to the model, we use calculation for  $f(k)$  and  $\delta(k)$  based on a guess of the Fe-Fe distance, and refine the values  $R, N, \sigma^2$ .

Such a fit gives a result like this:



$|\chi(R)|$  data for FeO (blue), and fit of 1<sup>st</sup> and 2<sup>nd</sup> shells (red).

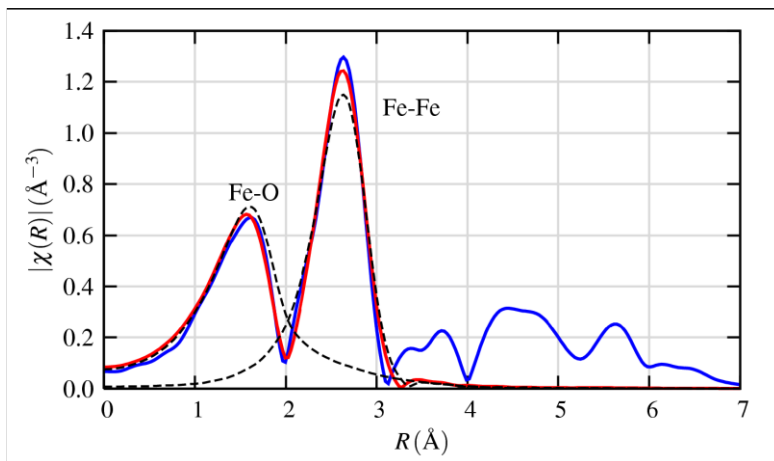
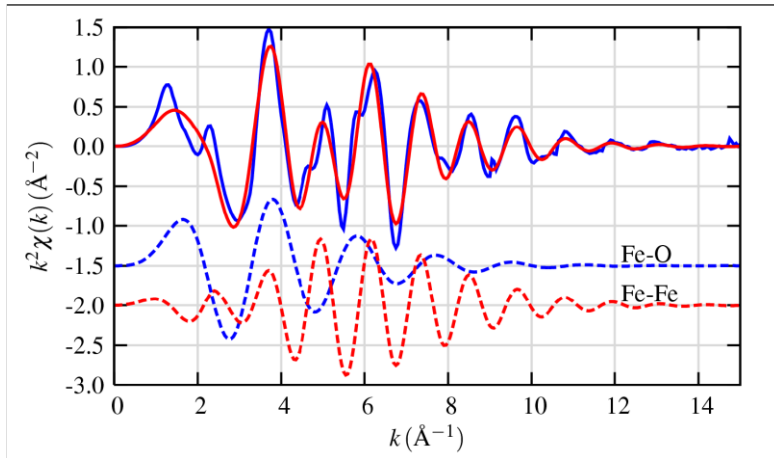
The results are fairly consistent with the known values for crystalline FeO:

6 O at 2.13Å, 12 Fe at 3.02Å .

Fit results (uncertainties in parentheses):

Shell	N	R (Å)	$\sigma^2$ (Å <sup>2</sup> )	$\Delta E_0$ (eV)
Fe-O	6.0(1.0)	2.10(.02)	0.015(.003)	-2.1(0.8)
Fe-Fe	11.7(1.3)	3.05(.02)	0.014(.002)	-2.1(0.8)

Other views of the data and two-shell fit:



The Fe-Fe EXAFS extends to higher- $k$  than the Fe-O EXAFS. Even in this simple system, there is some *overlap* of shells in R-space. The agreement in  $\text{Re}[\chi(R)]$  look especially good – this is how the fits are done. The modeling can get more complicated than this

