

## PROBLEM LIST 1. CHAIN-RECURRENCE AND HYPERBOLICITY.

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- (1) Prove the equivalence between the two definitions of the chain-recurrent set.  
*Hint:* for  $x \in M$  and  $\varepsilon > 0$ , consider the set of points  $y$  such that there exists a  $\varepsilon$ -pseudo-orbit  $z_0, \dots, z_n$ ,  $n \geq 1$ , from  $x$  to  $y$ .
- (2) Prove the equivalence between: (1) each chain-recurrence class is hyperbolic; (2) the chain-recurrent set is a finite union of hyperbolic sets.
- (3) Prove that the hyperbolic diffeomorphisms have only finitely many chain-recurrence classes and that each of them is transitive.
- (4) Check that the set of hyperbolic diffeomorphism is open in  $\text{Diff}^1(M)$ .
- (5) Prove that the hyperbolic diffeomorphisms are the diffeomorphisms which satisfy the Axiom A and the No-cycle Condition:
  - (a)  $\Omega(f)$  is a finite union of hyperbolic sets.
  - (b)  $\text{Per}(f)$  is dense in  $\Omega(f)$ .
  - (c) Consider transitive sets  $K_0, K_1, \dots, K_n = K_0$  in  $\Omega(f)$  such that for each  $i$ , there exists a heteroclinic orbit from  $K_i$  to  $K_{i+1}$ . Then all the  $K_i$  are contained in a same transitive set.
- (6) Any continuous linear bundle  $E \subset T_K M$  over a compact set  $K \subset M$  admits a continuous extension to a neighborhood of  $K$ .
- (7) Consider a diffeomorphism  $f$ , an invariant compact set  $K$  and a continuous splitting  $T_K M = E \oplus F$  which is preserved by  $f$ . Prove that  $K$  is hyperbolic if and only if for any invariant probability measure supported on  $K$ , the upper Lyapunov exponent along  $E$  is negative and the lower Lyapunov exponent along  $F$  is positive.